

Contract Medical Consultant Audit Worksheet



U.S Department of Labor

Office of Workers' Compensation
Programs
Division of Energy Employees
Occupational Illness Compensation
Washington, D.C. 20210

Objective

The focus of the quarterly audit is the appropriate assignment of medical consultants and the quality of the written medical opinions.

Scope

The review category includes the Contract Medical Consultant (CMC) Reports. The category is broken down into a series of questions. The questions relate to a specific measurable performance item derived from assessment of case evidence received and to the guidance given to the CMC in the Contractor's Physician Reference Manual.

Methodology

The National Office shall randomly select 50 cases for review each fiscal quarter. The cases will be selected based upon invoices received during the quarter and the Quarterly Management Report submitted by the contractor. Twenty five file review cases, 20 impairment evaluations, and 5 second opinions, shall be reviewed each quarter.

The reviewer will review case documents submitted by the District Office to the contractor via the client portal. The reviewer shall code case actions deemed to be appropriate (Y). The reviewer shall code case actions deemed to be inappropriate (N). The reviewer will provide a thorough explanation for all items coded (N). In addition, any exceptional work is to be noted. The reviewer will utilize a manual score sheet to record all responses.

District Office:

Type of Case:

Date Reviewed:

Reviewer:

Claimant's Name:

File No. (Last 4):

Accepted or Claimed Conditions:

Impairment Evaluation

1. Was the appropriate medical specialist assigned?

Choose an item

Remarks

2. Did the CMC provide a clinical history or summary of the facts?

Choose an item.

Yes
No
Unknown
NA

Remarks

3. Did the CMC respond clearly to the Claims Examiner's (CE) questions?

Choose an item.

Yes
No
Unknown
NA

Remarks

4. Did the CMC state the claimant was at MMI?

Choose an item.

Yes
No
Unknown
NA

Remarks

5. Did the CMC use the 5th Edition of the *AMA Guides*?

Choose an item.

Yes
No
Unknown
NA

Remarks

6. Were the appropriate chapters and tables of the *AMA Guides* utilized?

Choose an item.

Yes
No
Unknown
NA

Remarks

7. Did the CMC provide an explanation of the impairment ratings?

Choose an item.

Yes
No
Unknown
NA

Remarks

8. If multiple organs or functions were rated, was the combined values table used appropriately?

Choose an item.

Yes
No
Unknown
NA

Remarks

9. Did the CMC provide a whole body impairment rating for only the accepted conditions?

Choose an item.

- Yes
- No
- Unknown
- NA

Remarks

10. Were the findings presented in a logical sequence?

Choose an item.

- Yes
- No
- Unknown
- NA

Remarks

11. Was the rating consistent with the evidence in the file?

Choose an item.

- Yes
- No
- Unknown
- NA

Remarks

12. Did the report contain the signed Potential Conflict of Interest Statement?

Choose an item.

- Yes
- No
- Unknown
- NA

Remarks

Audit Score Sheet

Date of Audit:

District Office:

Categories:

1. Impairment Evaluation

Choose an item. Yes
No
Unknown
NA

2. File Review

Choose an item. Yes
No
Unknown
NA

3. Second Medical Opinion

Choose an item. Yes
No
Unknown
NA

Category Grade (check one):

- Exceeds
- Pass
- Needs Improvement

Comments:

Reviewer: