

U.S. Department of Labor

Employment Standards Administration
Office of Labor-Management Standards
Seattle District Office
1111 Third Avenue
Suite 605
Seattle, WA 98101
(206) 398-8099 Fax:(206) 398-8090



October 11, 2007

Mr. Denis Sullivan, Financial Secretary
IUPAT Local 1094
2800 First Avenue
Rm. 216
Seattle, WA 98121

LM File Number: 032-393
Case Number:

Dear Mr. Sullivan:

This office has recently completed an audit of IUPAT, Local 1094 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you on September 26, 2007, the following problem was disclosed during the CAP. The matter listed below is not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violation

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report Form LM-2 filed by Local 1094 for fiscal year ending December 31, 2006, was deficient in the following area:

Failure to File By-Laws

The audit disclosed a violation of LMRDA Section 201(a), which requires that a union submit a copy of its revised constitution and bylaws with its LM report when it makes changes to its constitution or bylaws. Local 1094 revised its bylaws in 2006, but did not file a copy with its LM report for that year. The revised bylaws have now been submitted to OLMS and forwarded to the Office of Disclosure.

Letter/Mr. Denis Sullivan

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I want to extend my personal appreciation to you for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]

[REDACTED]

Supervisory Investigator

cc: [REDACTED]