

Application

Federal Award Identification Number (FAIN)	TN-2024-040-00
Temporary Application Number	1007-2024-4
Award Name	FFY2022, 2023, and 2024 5311 State Admin & RTAP
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	3/30/2036		
Current Period of Performance End Date	3/30/2036	Revision #: 0	Approved?: No

Budget Period Start Date	N/A
Budget Period End Date	3/30/2036

Part 1: Recipient Information

Name: TENNESSEE DEPARTMENT OF TRANSPORTATION

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1007	State Agency	TENNESSEE DEPARTMENT OF TRANSPORTATION	ML56NPH3NFF9	878297712

Location Type	Address	City	State	Zip
Mailing Address	505 DEADRICK ST STE 800	NASHVILLE	TN	37243
Physical Address	505 DEADERICK ST	NASHVILLE	TN	37243

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY2022, 2023, and 2024 5311 State Admin & RTAP

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
TN-2024-040-00	Final Concurrence / Reservation	Grant	Region 4	7/16/2024	7/16/2024	No

Application Executive Summary

Application Executive Summary

This grant application obligates a total of \$3,815,940 in Rural Technical Assistance Program and State Administration funds for Federal Fiscal Years 2022, 2023. Tennessee DOT reserved 5% of the FFY2022 Section 5311 Apportionment for State Administration, and 5% of the apportionments in FFY2023. TDOT expects to amend this grant to obligate 5% of the apportionment in FFY2024 upon completion of the intercity bus assessment. For Fiscal Years 2022 and 2023, Tennessee operated under a Governor's Certification (attached as an application document) certifying that intercity bus needs were met by rural providers and TDOT did not need to use 15% of 5311 funds on the 5311(f) program.

Upon submission of this grant for initial FTA Review, it was discovered that the 5% State Administration set aside by TDOT from FFY2022 was instead obligated into grant TN-2023-046. TDOT confirms understanding that any references to FFY2022 funds in this grant, executive summary, and Program of Projects will be fulfilled by FTA with FFY2023 funds when this grant is obligated.

Upon submission of this grant for initial FTA Review, it was discovered that remaining RTAP from FFY2023 was not obligated for subrecipient use in TN-2023-046. That grant was obligated with part of the remaining FFY2022 RTAP funding. Therefore, this grant will obligate the remaining balance of RTAP from FFY2023 in the total of \$449,388.

Fund Source FTA Amount

2022_RTAP \$166,630.00

2023_RTAP \$449,388.00

Total \$616,018.00

2022_5311Admin \$1,847,188.00

2023_5311Admin \$1,352,734.00

Total \$3,199,922.00

Grand Total \$3,815,940.00

Note on FFY2022: Remainder of RTAP apportionment for FFY2022 was obligated for subrecipient use in TN-2022-019 (FFY2022 subrecipient contracts) and TN-2023-046 (FFY2023 subrecipient contracts).

Note on FFY2024: TDOT will create an amendment to this grant to add in the 2024 funds upon completion of the Intercity Bus Needs Assessment.

TDOT will follow all 3rd party procurement policies as defined in C4220. 1F (Third Party Contracting Guidance).

TDOT will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

Obligation of State administration and Rural Technical Assistance Program funding

Activities to be performed:

State administration of federal grants for rural subrecipients, as well as both receiving technical assistance through the use of consultant and providing technical assistance to subrecipients.

Expected outcomes:

Program administration and technical assistance

Intended beneficiaries:

The State and its subrecipients

Subrecipient Activities:

None - funds will be used by TDOT to pay staff and third-party contractors.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Michael	Sherman	Community Planner	michael.sherman@dot.gov	(404) 865-5616

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,815,940
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,815,940

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2024-040-01-00	610-00 STATE / PROGRAMS ADMINISTRATION (610-A2)	\$3,199,922.00	\$0.00	\$3,199,922.00	0
TN-2024-040-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,199,922.00	\$0.00	\$3,199,922.00	0
TN-2024-040-01-00	635-00 RURAL TRANSIT ASSISTANCE PROGRAM (635-A1)	\$616,018.00	\$0.00	\$616,018.00	0
TN-2024-040-01-00	43.50.02 TECHNICAL ASSISTANCE	\$616,018.00	\$0.00	\$616,018.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY2022, 2023, 2024 5311 State Admin & RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
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TN-2024-040-01-00

1007-2024-4-P1

7/16/2024

11/21/2023

3/31/2030

Project Description

This grant application obligates Rural Technical Assistance Program and State Administration funds for Federal Fiscal Years 2022, 2023, and 2024. The funding will be utilized for State administration of federal grants for rural subrecipients, as well as both receiving technical assistance through the use of consultant and providing technical assistance to subrecipients.

Project Benefits

The project will benefit both the State and its subrecipients by funding program administration and technical assistance activities.

Additional Information

None provided.

Location Description

Statewide

Project Location (Urbanized Areas)

UZA Code	Area Name
470000	Tennessee

Congressional District Information

District	State
1	Tennessee
3	Tennessee
4	Tennessee
5	Tennessee
9	Tennessee
2	Tennessee
6	Tennessee
7	Tennessee
8	Tennessee

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,815,940
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,815,940

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
TN-2024-040-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$3,199,922.00	\$0.00	\$3,199,922.00	0
TN-2024-040-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$3,199,922.00	\$0.00	\$3,199,922.00	0
TN-2024-040-01-00	635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM	\$616,018.00	\$0.00	\$616,018.00	0
TN-2024-040-01-00	43.50.02 TECHNICAL ASSISTANCE	\$616,018.00	\$0.00	\$616,018.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

Extended Budget Description

The Tennessee Department of Transportation will utilize Rural Technical Assistance Program funds to

facilitate statewide technical assistance initiatives and contractor assistance for execution of the rural public transportation programs. Contractor assistance may include but is not limited to: Administration of the TNRTAP program, conduct driver and staff trainings, provide technical assistance and guidance on program compliance topics and FTA rules & regulations.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$616,018
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$616,018

Milestone Name	Est. Completion Date	Description
On-Call Contract Procurement	11/21/2023	On-call consultant procurement was completed and contracts executed on 11/21/2023. Contracts were awarded to 5 consulting firms. Contracts active through 11/21/2028.
Start Date	1/1/2024	RTAP Activities begin
End Date	3/31/2030	RTAP Activities complete

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

Extended Budget Description

The Tennessee Department of Transportation will utilize State Administration to fund staff operations and contractor assistance for the administration of the rural public transportation programs. Funds will be used by TDOT Multimodal for grantee monitoring activities, staff travel and training expenses, staff salaries, and consultant work to support staff needs.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,199,922
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$3,199,922

Milestone Name	Est. Completion Date	Description
On-Call Contract Procurement	11/21/2023	On-call consultant procurement was completed and contracts executed on 11/21/2023. Contracts were awarded to 5 consulting firms. Contracts active through 11/21/2028.
Start Date	1/1/2024	State Administration activities begin.
End Date	3/31/2030	State Administration activities complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$616,018.00	\$616,018.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$3,199,922.00	\$3,199,922.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Michael Sherman

Comment Type	DOL Review for Information
Date	9/16/2024
Comment	Sent to DOL for information 9/16/2024.

Application Review Comments

Comment By Michael Sherman

Comment Type	Pre-Award Manager Returns Application
Date	7/26/2024
Comment	Please remove 2024 references in the application as it only has 2022 and 2023 Section 5311 funds.