

Application

Federal Award Identification Number (FAIN)	SD-2024-011-00
Temporary Application Number	7331-2023-1
Award Name	FFY 2021 5311 ARP Tribal Formula and ARP Tribal Discretionary - Operating and Capital
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	12/31/2024		
Current Period of Performance End Date	12/31/2024	Revision #: 0	Approved?: No

Budget Period Start Date	N/A		
Budget Period End Date	12/31/2024		

Part 1: Recipient Information

Name: SISSETON WAHPETON OYATE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7331	Indian Tribe	SISSETON-WAHPETON OYATE	EGWDM51RW7	050750470

Location Type	Address	City	State	Zip
Mailing Address	12554 BIA HWY 711	AGENCY VILLAGE	SD	57262
Physical Address	12554 BIA HIGHWAY 711	AGENCY VILLAGE	SD	57262

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY 2021 5311 ARP Tribal Formula and ARP Tribal Discretionary - Operating and Capital

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
SD-2024-011-00	Final Concurrence / Reservation	Grant	Region 8	6/6/2023	6/6/2023	No

Application Executive Summary

The Sisseton Wahpeton Oyate Tribe will use Lapsing FFY2021 Section 5311 Tribal ARP Act formula funds in the amount of \$97,388 (full apportionment) 100% Federal share, and Lapsing FFY2021 Section 5311 Tribal ARP Discretionary funds in the amount of \$342,981 (full apportionment) to fund operating and capital purchases. The Discretionary ID is D2022-RPTC-010. Local matching dollars for the Discretionary funds will come from the FHWA Tribal Transportation Program.

This application utilizes ARP funding to prevent, prepare for, and respond to coronavirus. The application scope of work includes Operating and capital equipment as described in the Discretionary Application.

Sisseton Wahpeton Oyate Tribe agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

Pre-award authority will be used for the full amount of the grant of \$440,369

Two cameras purchased and installed totaling \$3,955

Operating expenses of \$436,414 from Jan 1, 2023 through June 30, 2024

Supporting Information:

- Discretionary Application

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The Sisseton Wahpeton Oyate Tribe will utilize this award to maintain existing transit services on the reservation and to purchase vehicle capital.

Activities to be performed:

The Sisseton Wahpeton Oyate Tribe will utilize this award to maintain existing transit services on the reservation and to purchase vehicle capital.

Expected outcomes:

Continued provision of rural transit services to the residents of the Sisseton Wahpeton Oyate Tribe.

Intended beneficiaries:

Transit users of the Sisseton Wahpeton Oyate Tribe.

Subrecipient Activities:

NA

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Terry	Gonzales	Program Management Specialist	terry.gonzales@dot.gov	(303) 362-2401
Cliff	Eberhardt	SWO Dot Director	clifforde@swo-nsn.gov	(605) 698-8355

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$97,388
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$342,981

Local			\$38,109
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$478,478

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2024-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$97,388.00	\$0.00	\$97,388.00	1
SD-2024-011-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$97,388.00	\$0.00	\$97,388.00	1
SD-2024-011-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$342,981.00	\$38,109.00	\$381,090.00	3
SD-2024-011-02-00	11.42.09 ACQUIRE - MOBILE SURV/ SECURITY EQUIP	\$3,955.00	\$440.00	\$4,395.00	2
SD-2024-011-02-00	30.09.07 EMER RELIEF - OPERATING ASSIST - 90% Fed Share	\$339,026.00	\$37,669.00	\$376,695.00	1

Discretionary Allocations

Discretionary ID	Project Title	Amount Applied	FAIN
D2022-RPTC-010	SWO Transit Program Continued Operation Costs	\$342,981	SD-2024-011-00

Part 3: Project Information

Project Title: Operating Assistance
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2024-011-01-00	7331-2023-1-P1	6/6/2023	1/1/2023	5/31/2023

Project Description

FY21 Section 5311 TTP ARP formula funds in the amount of \$97,388 will be used for funding the transit operations, as provided through a contracted service provider Community Transit and fund Tribal administration of the program.

Project Benefits

Maintain transit services throughout the Tribe and surrounding communities.

Additional Information

None provided.

Location Description

Lake Traverse Reservation / Northeast corner of South Dakota

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

District	State
1	South Dakota

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$97,388

Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$97,388

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2024-011-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$97,388.00	\$0.00	\$97,388.00	1
SD-2024-011-01-00	30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$97,388.00	\$0.00	\$97,388.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating expenses are from 1/1/2023 through 5/31/2023. The funds are for staff salaries and fringe benefits, fuel, oil, and licenses, less revenues. The transit services are provided by contractor, Community Transit.

Funds are 100% Federal.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 -Tribal Transit Program Formula (ARPA)	5311-10C	20509	\$97,388

Local		\$0
Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$97,388

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2023	Begin incurring operating expenses
End Date	5/31/2023	End incurring operating expenses

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/5/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	1	\$97,388.00	\$97,388.00

Project Title: Discretionary Award - Operating and Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
SD-2024-011-02-00	7331-2023-1-P2	6/6/2023	3/2/2020	6/30/2024

Project Description

FY21 section 5311 ARP Tribal competitive funds in the amount of \$342,981 will be used to fund operating and the purchase and installation of video cameras on existing fleet. This award is 90/10 per the Discretionary Application.

Project Benefits

Maintain transit services throughout the tribe and surrounding communities.

Additional Information

None provided.

Location Description

Lake Traverse Reservation / NE corner of South Dakota.

Project Location (Urbanized Areas)

UZA Code	Area Name
460000	South Dakota

Congressional District Information

District	State
1	South Dakota

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$342,981
Local			\$38,109
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$381,090

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
SD-2024-011-02-00	600-00 (600-A2) OTHER PROGRAM COSTS	\$342,981.00	\$38,109.00	\$381,090.00	3
SD-2024-011-02-00	11.42.09 ACQUIRE - MOBILE SURV/SECURITY EQUIP	\$3,955.00	\$440.00	\$4,395.00	2
SD-2024-011-02-00	30.09.07 EMER RELIEF - OPERATING ASSIST - 90% Fed Share	\$339,026.00	\$37,669.00	\$376,695.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	2

Extended Budget Description

This includes the purchase of cameras to be installed for safety purposes on two buses within the fleet. The cameras are a proactive measure toward rider and driver safety. Each camera is less than \$2,500, useful life does not apply. Per Tribal Transit Discretionary Program guidelines, the purchases will be 90/10 Federal/local share and will be purchased according to tribal procurement standards. The Tribe will be utilizing FHWA TTP funding for local match.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$3,955
Local			\$440

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,395

Milestone Name	Est. Completion Date	Description
Start Date	3/2/2020	Bid out
Contract with vendor	4/3/2020	
Delivery and Installation 2 Cameras	5/31/2023	Receipt of cameras and test
End Date	6/30/2023	Close Contract

Budget Activity Line Item: 30.09.07 - EMER RELIEF - OPERATING ASSIST - 90% Fed Share

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.07	EMER RELIEF - OPERATING ASSIST - 90% Fed Share	OPERATING ASSISTANCE	1

Extended Budget Description

Operating expenses commence on 6/1/2023 through 06/30/2024. The funds are for staff salaries and fringe benefits, fuel, oil, and licenses, less revenues. Per discretionary grant, the local matching portion is 10% and will be provided through Tribal Transportation Program (FHWA) funds. Federal share is 90%.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Competitive (ARPA)	5311-10B	20509	\$339,026
Local			\$37,669
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$376,695

Milestone Name	Est. Completion Date	Description
Start Date	6/1/2023	Start of Operating Expenses

End Date	6/30/2024	End of Operating Expenses
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Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/5/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.07	EMER RELIEF - OPERATING ASSIST - 90% Fed Share	1	\$339,026.00	\$376,695.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 05: Activities, including repairs, replacements, and rehabilitations, designed to promote transportation safety, security, accessibility and effective communication within or adjacent to existing right-of-way, such as: the deployment of Intelligent Transportation Systems and components; installation and improvement of safety and communications equipment, including hazard elimination and mitigation; installation of passenger amenities and traffic signals; and retrofitting existing transportation vehicles, facilities or structures, or upgrading to current standards.

Date Description	Date
Class IIc CE Approved	8/5/2024

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA	Total
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	Number		Amount	Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP 2	\$3,955.00	\$4,395.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.07	EMER RELIEF - OPERATING ASSIST - 90% Fed Share	1	\$339,026.00	\$376,695.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM	11.42.09	ACQUIRE - MOBILE	2	\$3,955.00	\$4,395.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Terry Gonzales

Comment Type DOL Review for Certification

Date 8/13/2024

Comment Lapsing Funds
Agency Contact Information:
Clifford Eberhardt
clifforde@swo-nsn.gov
605-698-8355

Application Review Comments

Comment By Robyn Kullas

Comment Type Environmental Findings

Date 8/5/2024

Project Title Operating Assistance

Project Number SD-2024-011-01-00

Comment operating assistance - CE list C type 4 applicable.

Comment By Robyn Kullas

Comment Type Environmental Findings

Date 8/5/2024

Project Title Discretionary Award - Operating and Capital

Project Number SD-2024-011-02-00

Comment operating and purchase of safety equipment; CE list C types 4 and 5 are applicable.