

Application

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| Federal Award Identification Number (FAIN) | SD-2021-009-01 |
| Temporary Application Number | 6881-2021-1 |
| Award Name | FY19 Tribal Formula and FY19 Rural Formula 5311 - Operating, PM and Admin and 5311 COVID-19 ER for Admin Leave |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|--|
| Period of Performance Start Date | 5/27/2021 |
| Original Period of Performance End Date | 6/30/2023 |
| Current Period of Performance End Date | 12/31/2024 Revision #: 0 Approved?: No |

Part 1: Recipient Information

Name: Rosebud Sioux Tribe

| Recipient ID | Recipient OST Type | Recipient Alias | UEI | DUNS |
|--------------|--------------------|---------------------|--------------|-----------|
| 6881 | Indian Tribe | ROSEBUD SIOUX TRIBE | HB9JG5KNPX67 | 105151518 |

| Location Type | Address | City | State | Zip |
|------------------|---------------|---------|-------|-------|
| Headquarters | 11 LEGION AVE | ROSEBUD | SD | 57570 |
| Physical Address | 11 LEGION AVE | ROSEBUD | SD | 57570 |
| Mailing Address | PO BOX 430 | ROSEBUD | SD | 57570 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY19 Tribal Formula and FY19 Rural Formula 5311 - Operating, PM and Admin and 5311 COVID-19 ER for Admin Leave

| FAIN | Application Status | Award Type | Application Cost Center | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|------------|-------------------------|--------------|-------------------|------------|
| SD-2021-009-01 | Final Concurrence / Reservation | Grant | Region 8 | 7/3/2024 | 7/3/2024 | No |

Application Executive Summary

Amendment #1 - The Tribe has \$27,596 unspent FY19 Tribal Transit Program (TTP) funds that were programed for Emergency Relief (ER) activities and are past their period of availability/lapsed.

This amendment will de-obligate \$20,521 from Project #2 and will then subsequently be moved into Project #3 (Operating Assistance) in a second amendment which re-obligates the funds.

\$7,075 of the unspent funds were improperly drawn down after they had lapsed but before they had been deobligated and reobligated in this grant amendment. The Tribe refunded this amount back to FTA and this money was reserved to the ACC for operating assistance. As such, the deobligation amount in this amendment is the difference between the original unspent funds and the amount refunded to the grant: \$ 20,521

The Period of Performance has also been extended to allow for adequate time to spend these funds.

BACKGROUND

In January 2022, FTA Region 8 notified the Tribe that ER flexibilities would be coming to an end on 1/20/2022 and if any remaining funds were left in this activity, they would need to be de-obligated. Because these funds were past their period of availability, they would no longer be accessible to the Tribe to be re-obligated. Several months later the Tribe inquired about moving the ER funds into another activity rather than deobligating and losing the funds.

Following this request, Region 8 contacted TPM to see if this was an option for lapsed funds that had been transferred into an ER account and FTA HQ advised the Region to pursue a Zero Dollar Amendment to transfer of lapsed funds into another line item in the existing grant. This approach is consistent with how typical TTP funds would be handled.

FTA approved this scope change on Nov 8, 2022 via email. This email conversation is attached in the application documents.

Original grant.

The Rosebud Sioux Tribe (RST) uses FFY19 5311 Tribal Formula, COVID-19 ER Response funds and FY19 Rural Formula 5311 funds in this Operating, Preventive Maintenance, Project Administration and CoVid-19 Emergency Response grant. The Rural 5311 funds have been transferred to the Tribe by the State of South Dakota, documentation allowing the Tribe to come into FTA for these directly is provided in the Recipient Documents. All funds are lapsing.

The full grant amount is \$871,922, \$818,065 Federal, as follows:

FY19 Rural Formula - \$244,516 (full apportionment)

FY19 Tribal Formula - \$573,549 (full apportionment)

- \$35,000 has been transferred to Emergency Response Activities in a separate project (Project 2) in this grant

- \$538,549 to be used for typical TTP 5311 Activities

Project 1 uses \$244,516 in 5311 Rural for the following activities:

- Admin expenses
- Vehicle preventive maintenance
- Facility preventive maintenance

Project 2 uses \$35,000 in COVID-19 ER response funds for the following activities:

- Admin Leave for operating and administration staff during the COVID-19 pandemic

Project 3 uses \$538,549 TTP formula funds for the following activities:

- Operating Assistance

Local matching funds for the Rural 5311 formula funds comes from the State of South Dakota and RST's fare revenue.

The recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: 23.43% per US Department of Interior approval letter, however the 5311 program limits IDC to 10%.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The Rosebud Sioux Tribe (RST) uses FFY19 5311 Tribal Formula, COVID-19 ER Response funds and FY19 Rural Formula 5311 funds in this Operating, Preventive Maintenance, Project Administration and CoVid-19 Emergency Response grant. The Rural 5311 funds have been transferred to the Tribe by the State of South Dakota, documentation allowing the Tribe to come into FTA for these directly is provided in the Recipient Documents.

Activities to be performed:

Administrative expenses
Vehicle preventive maintenance
Facility preventive maintenance
Admin Leave for operating and administration staff during the COVID-19 pandemic
Operating Assistance

Expected outcomes:

To maintain robust transit service throughout the Reservation, while retaining employee personnel needed to provide this service.

Intended beneficiaries:

Members of the Rosebud Sioux Tribe and visitors.

Subrecipient Activities:

None

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|--------------|-----------|-------------------|---------------------------------|----------------|
| John Charles | Arcoren | Director | johncharles.arcoren@rst-nsn.gov | (605) 747-2718 |
| Peter | Hadley | Community Planner | peter.hadley@dot.gov | (303) 362-2393 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|-----------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$244,516 |
| 5311 COVID-19 ER Response Tribal Formula | 5311-8C | 20509 | \$14,479 |
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$538,549 |
| Local | | | \$7,855 |
| Local/In-Kind | | | \$0 |
| State | | | \$46,002 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |

| | | | |
|----------------------------|--|--|------------------|
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$851,401 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| SD-2021-009-01-01 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$244,516.00 | \$53,857.00 | \$298,373.00 | 3 |
| SD-2021-009-01-01 | 11.79.00 PROJECT ADMINISTRATION | \$208,891.00 | \$46,010.00 | \$254,901.00 | 1 |
| SD-2021-009-01-01 | 11.7A.00 PREVENTIVE MAINTENANCE - Vehicles | \$23,750.00 | \$5,231.00 | \$28,981.00 | 1 |
| SD-2021-009-01-01 | 11.7A.00 PREVENTIVE MAINTENANCE - Facility | \$11,875.00 | \$2,616.00 | \$14,491.00 | 1 |
| SD-2021-009-02-01 | 600-00 (600-A2) OTHER PROGRAM COSTS | \$14,479.00 | \$0.00 | \$14,479.00 | 2 |
| SD-2021-009-02-01 | 11.79.00 PROJECT ADMINISTRATION | \$2,500.00 | \$0.00 | \$2,500.00 | 1 |
| SD-2021-009-02-01 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$11,979.00 | \$0.00 | \$11,979.00 | 1 |
| SD-2021-009-03-01 | 600-00 (600-A3) OTHER PROGRAM COSTS | \$538,549.00 | \$0.00 | \$538,549.00 | 1 |
| SD-2021-009-03-01 | 30.09.01 100% FEDERAL SHARE | \$538,549.00 | \$0.00 | \$538,549.00 | 1 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

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|--|
| Project Title: FY19 5311 Rural Formula - Project Admin and PM (vehicles and facility) |
|--|

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| SD-2021-009-01-01 | 6881-2021-1-P1 | 7/3/2024 | 6/1/2021 | 3/31/2023 |

Project Description

Amendment #1 - no change to this Project.

This project will use FY19 5311 Rural formula funds from SDDOT for the following activities: Admin Expenses, and Preventive Maintenance for Vehicles and Facilities. These activities use the sliding scale Federal Share of 81.95%, allowable per FTA Circular 9040.1G. The total Federal share for this project is \$ 244,516, with local share covered by the State of South Dakota in the amount of \$46,002 and \$7,855 from the Tribe.

Project Benefits

These funds are essential for the Tribe to continue to operate and maintain transit service throughout the Reservation.

Additional Information

None provided.

Location Description

We provide services through-out the entire federally recognized boundaries of the Rosebud Sioux Tribe, as well as the State of South Dakota and a small portion of Nebraska. I would like to provide nationwide services, if feasible. We are located in south central South Dakota, in the County of Todd.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|--------------|
| 460000 | South Dakota |

Congressional District Information

| District | State |
|----------|--------------|
| 1 | South Dakota |

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$244,516 |
| Local | | | \$7,855 |
| Local/In-Kind | | | \$0 |
| State | | | \$46,002 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$298,373 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|--------------|----------------|-----------------------|----------|
| SD-2021-009-01-01 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$244,516.00 | \$53,857.00 | \$298,373.00 | 3 |
| SD-2021-009-01-01 | 11.79.00 PROJECT ADMINISTRATION | \$208,891.00 | \$46,010.00 | \$254,901.00 | 1 |
| SD-2021-009-01-01 | 11.7A.00 PREVENTIVE MAINTENANCE - Vehicles | \$23,750.00 | \$5,231.00 | \$28,981.00 | 1 |
| SD-2021-009-01-01 | 11.7A.00 PREVENTIVE MAINTENANCE - Facility | \$11,875.00 | \$2,616.00 | \$14,491.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION | OTHER CAPITAL ITEMS (BUS) | 1 |

Extended Budget Description

Funds will be used for general administrative expenses, including, but not limited to the following: salaries and fringe benefits of director, secretary, admin and bookkeeping staff; marketing/advertising expenses; insurance; office supplies; facilities/equipment rental; standard overhead rates; costs of administering drug and alcohol testing; utilities; and consultant support for admin type activities including but not limited to NTD reporting.

This activity utilizes the allowable sliding scale rate of 81.95% Federal Share noted in the FTA Circular 9040.1G noted on page III-17.

Admin expenses are for the time period of 6/1/2021 to 3/31/2023.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|------------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$208,891 |
| Local | | | \$6,710 |
| Local/In-Kind | | | \$0 |
| State | | | \$39,300 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$254,901 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|------------------------|
| Start Date | 6/1/2021 | Begin incurring costs |
| End Date | 3/31/2023 | End incurring expenses |

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - Vehicles

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.7A.00 | PREVENTIVE MAINTENANCE | OTHER CAPITAL ITEMS (BUS) | 1 |

Extended Budget Description

Funds for preventive maintenance of vehicles. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit program vehicles.

This activity utilizes the allowable sliding scale rate of 81.95% Federal Share noted in the FTA Circular 9040.1G noted on page III-17.

This is for the time period of 6/1/2021 to 3/31/2023.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------|--------------------|-------------|----------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$23,750 |
| Local | | | \$763 |
| Local/In-Kind | | | \$0 |

| | | |
|-----------------------------------|--|-----------------|
| State | | \$4,468 |
| State/In-Kind | | \$0 |
| Other Federal | | \$0 |
| Transportation Development Credit | | \$0 |
| Adjustment | | \$0 |
| Total Eligible Cost | | \$28,981 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--------------------------|
| Start Date | 6/1/2021 | Begin incurring expenses |
| End Date | 3/31/2023 | End incurring expenses |

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE - Facility

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.7A.00 | PREVENTIVE MAINTENANCE | OTHER CAPITAL ITEMS (BUS) | 1 |

Extended Budget Description

Funds for preventive maintenance of the transit facility. This includes all activities, supplies, materials, labor, services and associated costs required to preserve or extend the functionality and serviceability of the transit facility.

This activity utilizes the allowable sliding scale rate of 81.95% Federal Share noted in the FTA Circular 9040.1G noted on page III-17.

This is for the time period of 6/1/2021 to 3/31/2023.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|-----------------------------------|--------------------|-------------|-----------------|
| 5311 - Rural Area Formula | 5311-1A | 20509 | \$11,875 |
| Local | | | \$382 |
| Local/In-Kind | | | \$0 |
| State | | | \$2,234 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$14,491 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--------------------------|
| Start Date | 6/1/2021 | Begin incurring expenses |

| | | |
|----------|-----------|------------------------|
| End Date | 3/31/2023 | End incurring expenses |
|----------|-----------|------------------------|

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 5/20/2021 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|------------------------|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION | 1 | \$208,891.00 | \$254,901.00 |

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

| Date Description | Date |
|-----------------------|-----------|
| Class IIc CE Approved | 5/20/2021 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|-------------------|------------------|----------------|----------|-------------|---------------------|
| OTHER PROGRAM | 11.7A.00 | PREVENTIVE | 1 | \$23,750.00 | \$28,981.00 |

COSTS (600-00)

MAINTENANCE -
Vehicles

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint and do not result in a change in functional use, such as: improvements to bridges, tunnels, storage yards, buildings, stations, and terminals; construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

| Date | Description |
|-----------|-----------------------|
| 5/20/2021 | Class IIc CE Approved |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|-----------------------------------|----------|-------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.7A.00 | PREVENTIVE MAINTENANCE - Facility | 1 | \$11,875.00 | \$14,491.00 |

Project Title: FY19 5311 TTP COVID-19 ER - Operations and Admin

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|------------|
| SD-2021-009-02-01 | 6881-2021-1-P2 | 7/3/2024 | 6/1/2021 | 12/31/2021 |

Project Description

Amendment #1 - The Tribe has \$20,521 unspent FY19 Tribal Transit Program (TTP) funds that were programed for Emergency Relief (ER) activities and are past their period of availability/lapsed.

This amendment will de-obligate \$20,521 from this project and will then be moved into the Project #3 (Operating Assistance) in a second amendment which re-obligates the funds.

FTA approved this scope change on Nov 8, 2022 via email. This email conversation is attached in the application documents. There were delays in processing this amendment due to staff turnover and an improper draw on the funds from the Tribe.

Original:

FY19 5311 Tribal COVID-19 ER response funds in the amount of \$35,000 will be used for admin leave for operating and admin staff during the COVID-19 pandemic. The Rosebud Tribal Transit Program had to shut down operations and employees were placed on leave.

Project Benefits

These funds are essential for the Tribe to keep employees on the payroll and be ready to operate and maintain transit service throughout the Reservation.

Additional Information

None provided.

Location Description

We provide services within the federally recognized boundaries of the Rosebud Sioux Tribe, as well as the State of South Dakota and a small portion of Nebraska. I hope to provide nationwide services, if feasible. We are located in south central South Dakota, in the County of Todd.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|--------------|
| 460000 | South Dakota |

Congressional District Information

| District | State |
|----------|--------------|
| 1 | South Dakota |

Program Plan Information**STIP/TIP**

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|-----------------|
| 5311 COVID-19 ER Response Tribal Formula | 5311-8C | 20509 | \$14,479 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$14,479 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|--|-------------|----------------|-----------------------|----------|
| SD-2021-009-02-01 | 600-00 (600-A2) OTHER PROGRAM COSTS | \$14,479.00 | \$0.00 | \$14,479.00 | 2 |
| SD-2021-009-02-01 | 11.79.00 PROJECT ADMINISTRATION | \$2,500.00 | \$0.00 | \$2,500.00 | 1 |
| SD-2021-009-02-01 | 30.09.08 EMER RELIEF - OPERATING ASSIST - 100% Fed Share | \$11,979.00 | \$0.00 | \$11,979.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|------------------------|---------------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION | OTHER CAPITAL ITEMS (BUS) | 1 |

Extended Budget Description

Amendment #1 - de-obligating \$9,050 from this activity to be moved into Project #3 (Operating Assistance) in a second amendment.

FY19 5311 Tribal COVID-19 ER response funds will be used for admin leave for admin staff (director, secretary and admin assistant) during the COVID-19 pandemic from June 1, 2021 through December 31, 2021.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|----------------|
| 5311 COVID-19 ER Response Tribal Formula | 5311-8C | 20509 | \$2,500 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$2,500 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 6/1/2021 | Begin incurring expenses |
| End Date | 12/31/2021 | Estimated end date of administrative leave |

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|---|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | OPERATING ASSISTANCE | 1 |

Extended Budget Description

Amendment #1 - de-obligating \$11,471 from this activity to be moved into Project #3 (Operating Assistance) in a second amendment.

FY18 5311 Tribal COVID-19 ER response funds will be used for admin leave for transit operations staff (drivers, mechanics, maintenance and dispatch) during the COVID-19 pandemic from June 1, 2021 to December 31, 2021.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|--|--------------------|-------------|-----------------|
| 5311 COVID-19 ER Response Tribal Formula | 5311-8C | 20509 | \$11,979 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$11,979 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 6/1/2021 | Begin incurring expenses |
| End Date | 12/31/2021 | Estimated end date of Administrative leave |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an

environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date | Description | Date |
|------|-----------------------|-----------|
| | Class IIc CE Approved | 5/20/2021 |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|---|----------|-------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 11.79.00 | PROJECT ADMINISTRATION | 1 | \$2,500.00 | \$2,500.00 |
| OTHER PROGRAM COSTS (600-00) | 30.09.08 | EMER RELIEF - OPERATING ASSIST - 100% Fed Share | 1 | \$11,979.00 | \$11,979.00 |

Project Title: FY19 TTP Formula - Operating

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|----------|
| SD-2021-009-03-01 | 6881-2021-1-P3 | 7/3/2024 | 6/1/2021 | 8/1/2022 |

Project Description

Amendment #1 - no change to this Project. \$20,521 in funds will be re-obligated to this Project in a future, second Amendment.

This project will use FFY19 TTP formula funds for Operating Assistance. The total Federal share is 100%.

Project Benefits

Maintain transit services.

Additional Information

None provided.

Location Description

Rosebud Sioux Tribe

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|--------------|
| 460000 | South Dakota |

Congressional District Information

| District | State |
|----------|--------------|
| 1 | South Dakota |

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$538,549 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$538,549 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| SD-2021-009-03-01 | 600-00 (600-A3) OTHER PROGRAM COSTS | \$538,549.00 | \$0.00 | \$538,549.00 | 1 |
| SD-2021-009-03-01 | 30.09.01 100% FEDERAL SHARE | \$538,549.00 | \$0.00 | \$538,549.00 | 1 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - 100% FEDERAL SHARE

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 1 |

Extended Budget Description

Amendment #1 - no change to this ALI. \$20,521 in funds will be re-obligated to this Project in a future, second Amendment. The milestones for this activity will be updated in the next Milestone Progress Report to reflect the new, extended Period of Performance.

Operating expenses include, but are not limited to the following: fuel, oil, antifreeze, drivers' salaries and fringe benefits, dispatcher salary and fringe benefits, mechanic/maintenance staff salaries and fringe benefits, and licenses.

Funds are for operating expenses for the time period of 6/1/2021 to 8/1/2022.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$538,549 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$538,549 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--------------------------|
| Start Date | 6/1/2021 | Begin incurring expenses |
| End Date | 8/1/2022 | End incurring expenses |

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date Description | Date |
|-----------------------|------|
| Class IIc CE Approved | |

| Scope Name / Code | Line Item Number | Line Item Name | Quantity | FTA Amount | Total Eligible Cost |
|------------------------------|------------------|--------------------|----------|--------------|---------------------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | 100% FEDERAL SHARE | 1 | \$538,549.00 | \$538,549.00 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Peter Hadley

Comment Type DOL Review for Information

Date 8/8/2024

Comment Contact for grantee is:
John Charles Arcoren
Transit Manager
johncharles.arcoren@rst-nsn.gov
605-747-2718