

Application

Federal Award Identification Number (FAIN)	NM-2024-033-00
Temporary Application Number	7303-2024-1
Award Name	Pueblo of Zuni FY22' Section 5311(c) Lapsing Formula Funds for Operating and Capital Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	3/31/2028		
Current Period of Performance End Date	3/31/2028	Revision #: 0	Approved?: No

Budget Period Start Date	N/A		
Budget Period End Date	3/31/2028		

Part 1: Recipient Information

Name: PUEBLO OF ZUNI

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
7303	Indian Tribe	PUEBLO OF ZUNI	Y5JCNJHCRGL3	069421410

Location Type	Address	City	State	Zip
Mailing Address	PO BOX 339	ZUNI	NM	87327
Physical Address	1203 B STATE HWY 53	ZUNI	NM	87327

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Pueblo of Zuni FY22' Section 5311(c) Lapsing Formula Funds for Operating and Capital Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NM-2024-033-00	Final Concurrence / Reservation	Grant	Region 6	6/27/2024	6/27/2024	No

Application Executive Summary

This application is to request section 5311(c) formula funds apportioned to Pueblo of Zuni in the amount of \$229,364 for operating and capital assistance . These funds will be used to provide public transportation for the community members of Zuni, NM, and surrounding areas who are in need of public transportation service.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Pueblo of Zuni has an approved Indirect Cost Rate of 22.26% (Approval Letter attached)

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The Pueblo of Zuni is requesting formula funds for FY22' Section 5311(c) in the amount of \$229,364. The

funds will be utilized for operating assistance for The Pueblo of Zuni Public Transportation System.

Activities to be performed:

The Pueblo of Zuni A:Shiwi Transit will use \$229,364 for operating assistance. The funding will support our public transportation system in providing essential services to the Pueblo of Zuni and the surrounding areas. It will also be used for motor vehicle operations to preserve, maintain, and repair our fleet vehicles.

Expected outcomes:

The Pueblo of Zuni aims to optimize the utilization of funding to further enhance our public transportation service, ensuring seamless access to desired destinations within the community of Zuni, NM, and its surrounding areas.

Intended beneficiaries:

Our public transportation system is designed to help riders by improving access to community health, employment, education, and recreational facilities within the service area. Public transportation systems create the foundation on which our area can become more livable and prosperous in a variety of ways. Our public transportation operations will provide the members of the Pueblo of Zuni and the surrounding area with safe and reliable service to access destinations such as healthcare facilities, shopping centers, educational institutions, recreational venues, public services, and places of employment.

Subrecipient Activities:

The Pueblo of Zuni A:Shiwi Transit coordinates public transportation services with other public transportation programs in southwest New Mexico. We partner with the Gallup Express & Navajo Transit System to provide a comprehensive public transportation option for accessing educational, employment, and leisure opportunities outside of our service areas.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Eduardo	Machuca	Transportation Program Spec.	Eduardo.Machuca@dot.gov	
Todd	Naktewa	Transit Manager	todd.naktewa@ashiwi.org	(505) 782-7288

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$229,364
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$229,364

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2024-033-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$229,364.00	\$0.00	\$229,364.00	1
NM-2024-033-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$160,000.00	\$0.00	\$160,000.00	1
NM-2024-033-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$69,364.00	\$0.00	\$69,364.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operation of Fixed Route Service

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NM-2024-033-01-00	7303-2024-1-P1	7/31/2024	10/1/2024	9/30/2027

Project Description

The Pueblo of Zuni A:Shiwi Transit is seeking formula funds for FY22' 5311(c) in the amount of \$69,364.00 for operating assistance. These funds will be used to provide public transportation for the community members of Zuni, NM, and surrounding areas who are in need of public transportation service. The funds will also be used to improve and expand our fixed-route service, including adding three new routes servicing Cibola County, Albuquerque NM, and Zuni NM. With this expansion, we will need to hire additional personnel/drivers to meet the increasing demand for our transit program. The Pueblo of Zuni will continue to offer transportation services for the community members of Zuni, NM, and surrounding rural areas to ensure access to health care, shopping, education, recreation, public services, and employment.

In addition, capital purchase funds will be utilized to buy a replacement fleet vehicle for \$160,000.00 of formula funds. This replacement fleet vehicle will replace aging vehicles that need to be replaced.

Project Benefits

The Pueblo of Zuni A:Shiwi Transit is an essential service that provides transportation for the Pueblo of Zuni community members and rural McKinley County. So far, the transit service has provided 94,658 trips.

The Pueblo of Zuni A:Shiwi Transit offers public transportation for those without reliable transportation, and for those who do have transportation, it helps with time management, saves households money, and increases mobility for those who wouldn't otherwise be able to travel from Point A to Point B. With the ongoing service A:Shiwi Transit provides, riders can access employment, education, and leisure opportunities throughout Southern McKinley County and parts of Cibola County.

Additional Information

The Pueblo of Zuni A:Shiwi Transit provides public transportation service to The Pueblo of Zuni, McKinley County, and Cibola County, and other rural areas within the southwestern region of New Mexico

Location Description

The Pueblo of Zuni provides public transportation for the community members of Zuni, NM. Our service offers a safe and reliable way for riders to reach their healthcare appointments, public service locations, shops, and leisure activities.

Project Location (Urbanized Areas)

UZA Code	Area Name
350000	New Mexico

Congressional District Information

District	State
3	New Mexico

Program Plan Information

STIP/TIP

Date: Not Provided

Description: STIP/TIP is not required Section 5311c

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$229,364
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$229,364

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NM-2024-033-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$229,364.00	\$0.00	\$229,364.00	1
NM-2024-033-01-00	11.12.04 BUY REPLACEMENT <30 FT BUS	\$160,000.00	\$0.00	\$160,000.00	1
NM-2024-033-01-00	30.09.01 UP TO 50% FEDERAL SHARE	\$69,364.00	\$0.00	\$69,364.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT < 30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

The Pueblo of Zuni will purchase a replacement vehicle for our public transportation program. \$160,000.00 of capital funds will be utilized for this capital expenses, including the acquisition of ADA light duty fleet vehicle. Useful life of at least four years or 100,000 miles. No local match required.

Replacement Vehicle information:

2019 Ford E450 Starcraft

Current Mileage: 175,823 (useful life 4yrs/100,000)

Vin: 1FDFE45S5KDC27669

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$160,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$160,000

Milestone Name	Est. Completion Date	Description
Start Date - RFP/IFB Issue Date	1/31/2025	The Pueblo of Zuni will be advertising a competitive Request for Proposal (RFP) bid. Once the RFP has closed, our organization's internal RFP committee will review all submissions and select the best one. The process involves completing an RFP bid for the replacement vehicle, reviewing and selecting bids, and then placing the vehicle order.
Contract Award Dare	7/30/2025	
Initial Delivery	3/28/2026	
Final Delivery Date	9/30/2026	Prior to the delivery of the replacement vehicles, the Pueblo of Zuni will obtain all necessary documents. Afterward, they will complete the post-delivery inspection and document their reports, which will include the make, model, and year.
End Date - Contract Completion Date	11/30/2026	The Pueblo of Zuni will close this milestone once the replacement vehicle has been purchased, delivered, and added to our insurance.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

The Pueblo of Zuni will use \$69,364.00 for operating assistance. Expenses include costs indirect and directly related to system operations, such as salaries, fringe benefits for drivers, dispatchers, and transit supervisors, as well as fuel, oil, licenses, and vehicle maintenance. This also covers the purchase of necessary PPE equipment and supplies. No local match required.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$69,364
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$69,364

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2024	
End Date	9/30/2027	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$69,364.00	\$69,364.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional

use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	1	\$160,000.00	\$160,000.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Eduardo Machuca

Comment Type DOL Review for Information

Date 8/21/2024

Comment

Application Review Comments

Comment By Laura Wallace

Comment Type Line Item Review

Date 8/15/2024

Project Title Operation of Fixed Route Service

Project Number NM-2024-033-01-00

(With Respect to Line Item: 11.12.04)

Comment Recipient must not acquire telecommunications and video surveillance equipment for rolling stock from: Huawei Technologies Company; ZTE Corporation; Hytera Communications Corporation; Hangzhou Hikvision Digital Technology Company; or Dahua Technology Company. This prohibition includes rolling stock telecommunications and video surveillance equipment, commercial items and micro-purchases in accordance with Section 889 of the 2019 National Defense Authorization Act. Recipients must not procure rolling stock from certain transit vehicle manufacturers. Refer to 49 U.S.C. Section 5323(u) of federal public transportation law, which limits the use of FTA funds, and in some circumstances local funds, to procure rolling stock from

certain transit vehicle manufacturers. Refer to <https://www.transit.dot.gov/funding/procurement/frequently-asked-questions-regarding-section-7613-national-defense> for additional guidance.

49 CFR Part 26.49(a) states If you are an FTA recipient, you must require in your DBE program that each transit vehicle manufacturer, as a condition of being authorized to bid or propose on FTA-assisted transit vehicle procurements, certify that it has complied with the requirements of this section. FTAs current list of eligible TVMs can be found at <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-transit-vehicle-manufacturers>.

49 CFR Part 26.49(a)(4) states FTA recipients are required to submit within 30 days of making an award, the name of the successful bidder, and the total dollar value of the contract in the manner prescribed in the grant agreement. The Transit Vehicle Award Reporting Forms can be found on FTAs website at <https://www.surveymonkey.com/r/vehicleawardreportsurvey>. Please take a screenshot of the completed report before submission and retain locally to demonstrate compliance with this requirement during oversight activities such as Triennial Review and/or State Management Reviews.

Recipient must comply with the statutory requirements in FTA Circular 4220.1F, 3rd Party Contracting Guidance, as they apply to acquiring rolling stock including 49 CFR Part 661, Buy America Requirements, 49 CFR 663, Pre-Award and Post-Delivery Audits, accessibility, minimum service life, spare ratios, air pollution and fuel economy, bus testing, in-state dealers, basis for contract award and five-year limitation.

Recipient must comply with the Recipients procurement policies and procedures as they apply to FTA-funded projects.

The information provided above is intended as technical assistance and applies only to the project as described. Should the project scope change or new information on the project be provided, FTA may require a re-evaluation of the project information as it relates to federal compliance and require additional information. This confirmation is not an express or implied promise of project compliance with federal requirements.