

Application

Federal Award Identification Number (FAIN)	NE-2024-016-00
Temporary Application Number	6776-2024-1
Award Name	Winnebago Tribe of Nebraska FTA Sec 5311 Tribal Operating Assistance Formula Funds
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	1/15/2027		
Current Period of Performance End Date	1/15/2027	Revision #: 0	Approved?: No

Budget Period Start Date	N/A		
Budget Period End Date	1/15/2027		

Part 1: Recipient Information

Name: WINNEBAGO TRIBE OF NEBRASKA

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6776	Indian Tribe	WINNEBAGO TRIBE OF NEBRASKA	QHU1JXKM7D51	041643834

Location Type	Address	City	State	Zip
Headquarters	100 S BLUFF ST	WINNEBAGO	NE	68071
Physical Address	100 BLUFF STREET	WINNEBAGO	NE	68071
Mailing Address	P.O. BOX 687	WINNEBAGO	NE	68071

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Winnebago Tribe of Nebraska FTA Sec 5311 Tribal Operating Assistance Formula Funds
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FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NE-2024-016-00	Final Concurrence / Reservation	Grant	Region 7	10/6/2023	10/6/2023	No

Application Executive Summary

This is a FY22, FY 23 and FY24 Federal Transit Administration (FTA) Public Transportation on Indian Reservations Formula funds application in the amount of FY22 \$433,749, FY23 \$438,419, and FY 24 \$ 513,415 totaling \$1,385,583 representing 100% federal share of the total eligible amount.

The funds will be used to cover all eligible operating expenses such as driver salaries, fuel, maintenance, etc. To continue transit operations.

The Tribe will start the use of Operating funds that will cover expenses for existing service incurred beginning 08/01/23 to 09/30/2026. While the operating dates in this FTA formula grant overlap by one year with the other funding grants dates, the Tribe will not be duplicating any grant supported services or operational costs.

This application addresses the Winnebago Tribal Transit (WTT) program's objective to meet the needs of its approximately 4,000 patrons (both tribal members and members of the general public), many of whom reside rurally and rely on WTT services to access safe transportation for critical employment, healthcare, and social services.

The Grant funding for our tribal transit program helps us to meet the immediate needs of the Winnebago community, but also the programs participation in the cooperative efforts of the Winnebago Tribe and the State of Nebraska in addressing transportation needs of the broader northeast Nebraska area.

Funding:

FY 2022: \$433,749

FY 2023: \$438,419

FY 2024: \$513,415

Application Total: \$1,385,583

Attachments:

Winnebago Indirect Cost Agreement

There is no local match requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #8 Matching Requirements.

There is no STIP requirement for Section 5311 TTP Formula Funds, FTA, Circ. 9040.1G Page X-3 #10. Statewide Transportation Improvement Program (STIP) and/or Transportation Improvement Program (TIP) Requirement.

Pre-award authority expenses in the approximate amount of \$700,000 were incurred from 08/01/2023 to

06/30/2024.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: Please see the attach documentation for our indirect rate 12.20%.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The Winnebago Tribe of Nebraska will utilize this FTA Formula Award funds for FY22 \$433,749, FY23 \$ 438,419 and FY24 \$513,415 totaling \$1,385,583 to maintain existing transit services on the reservation.

This application addresses the Winnebago Tribal Transit (WTT) program's objective to meet the needs of its approximately 4,000 patrons (both tribal members and members of the general public), many of whom reside rurally and rely on WTT services to access safe transportation for critical employment, healthcare, and social services.

Activities to be performed:

The funds will be used to cover all eligible operating expenses such as driver salaries, fuel, maintenance, etc. To continue transit operations. Start use of Operating funds that will cover expenses for existing service incurred beginning 08/01/23 to 09/30/2026. While the operating dates in this FTA formula grant overlap by one year with the other funding grants dates, the Tribe will not be duplicating any grant supported services or operational costs.

Expected outcomes:

The funding will permit the Winnebago Tribe of Nebraska to provide operations that would continue to help provide the riders with safe transportation to work, for educational and health accessibility.

Intended beneficiaries:

Tribal and non-Tribal riders on the Winnebago Reservation.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Brittany	Thomas	Interim Planning and Development Director	brittany.thomas@winnebagotribe.com	(402) 878-2272
Kelleen	Broxterman	Grants Management Specialist	kelleen.broxterman@dot.gov	

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,385,583
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,385,583

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2024-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,385,583.00	\$0.00	\$1,385,583.00	0
NE-2024-016-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$1,385,583.00	\$0.00	\$1,385,583.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Winnebago Tribe of Nebraska FY22, FY23 and FY24 FTA Sec 5311 Tribal Operating Assistance Formula Funds
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2024-016-01-00	6776-2024-1-P1	10/18/2023	8/1/2023	9/30/2026

Project Description

The Winnebago Tribe of Nebraska requests Section 5311 Federal FY22 \$433,749, FY23 \$438,419, and FY24 \$513,415 totaling \$1,385,583 funds to assist with the on-going operation and administration of the Winnebago Tribal Transit Program. Operating funding will be for the time-period of 08/01/2023 to 09/30/2026.

Project Benefits

This will benefit the 4,000 patrons (Tribal members and General Public Passengers) of the Winnebago Tribal Transit program, which can primarily consist of low-income individuals, transporting them to and from jobs, healthcare facilities, higher education, personal shopping and activities. Transporting the elderly, disabled, young and unlicensed individuals on and off the Winnebago Tribal Reservation in Nebraska and Iowa.

Additional Information

The Winnebago Tribal Transit Program is empowered to increase family income by assisting our passengers with being able to access employment opportunities and higher education opportunities. Native Americans from the Siouxland Area in northeast Nebraska, northwest Nebraska, northwest Iowa and southeastern South Dakota can access healthcare services from the Winnebago Twelve Clans Unity Hospital/Winnebago Comprehensive Healthcare System services in Winnebago, Nebraska. Additionally, residents of the Winnebago Reservation will also use the transportation services to address the challenges of living in a food desert.

Location Description

Providing transportation to both tribal members and members of the general public, on and off the Winnebago Tribe Reservation in Nebraska and Iowa who may rely on the services of the Winnebago Tribal Transit Program to access employment opportunities, educational opportunities, healthcare, social services and personal such as grocery or supply shopping.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

District	State
1	Nebraska

Program Plan Information

STIP/TIP

Date: N/A
 Description: N/A

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: N/A
 Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,385,583
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,385,583

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2024-016-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,385,583.00	\$0.00	\$1,385,583.00	0
NE-2024-016-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$1,385,583.00	\$0.00	\$1,385,583.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS	30.09.01	UP TO 50% FEDERAL	OPERATING	0

(600-00)

SHARE

ASSISTANCE

Extended Budget Description

These funds will cover salary, fringe benefits, repairs and maintenance, fuel, and all other operating costs including but not limited to insurance, legal fees, etc.

The Tribe is using Federal funds at the 100:0 match ratio for the time period of August 1, 2023, with an end date of September 30, 2026.

While the operating dates in this FTA formula grant overlap by one year, the Tribe will not be duplicating any grant supported services or operational costs.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,385,583
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,385,583

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2023	Operations and administrative assistance will begin on 08/01/2023.
End Date	9/30/2026	The operations and administration will be completed, including closeout.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	8/4/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 100% FEDERAL SHARE	0	\$1,385,583.00	\$1,385,583.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Kelleen Broxterman

Comment Type	DOL Review for Information
Date	8/7/2024
Comment	Sending to DOL for information only.

Application Review Comments

Comment By Kelleen Broxterman

Comment Type	Pre-Award Manager Returns Application
Date	5/9/2024
Comment	<ol style="list-style-type: none"> 1. FY24 funds are available in the amount of \$513,415 if you want to add those to this grant instead of adding them later. This will reduce the number of open grants and the number of reports . If adding the funds, please update all amounts and dates throughout the application. 2. Please remove the funding years from the application name. 3. Update Period of Performance if adding FY24 funds. 4. Move the paragraph in the Executive summary that starts with " This application addresses" to the "purpose of the grant. 5. Add a Pre-award Statement to the Executive Summary that has the time period of expenses to be incurred prior to grant award. 6. Mark that the funds are lapsing (FY22 are lapsing) 7. Indirect Rate is marked. You are not allowed to claim the indirect rate, so it would just be the 10% de minimus. Please change to remove the indication that an indirect rate is being used and delete the attachment. 8. Check Period of Performance against milestone end dates and adjust if necessary. Attached resolution has an end date of 09/30/24 but grant has 09/30/25.

Application Review Comments

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	8/4/2024
Project Title	Winnebago Tribe of Nebraska FY22, FY23 and FY24 FTA Sec 5311 Tribal Operating Assistance Formula Funds
Project Number	NE-2024-016-01-00
Comment	The proposed operating assistance is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).