

# DOT

# FTA

U.S. Department of Transportation

Federal Transit Administration

## Application

<b>Federal Award Identification Number (FAIN)</b>	MO-2021-003-01
<b>Temporary Application Number</b>	1814-2021-2
<b>Award Name</b>	MoDOT 5311 Rural Operating, Capital, State Admin. and Emergency Relief FFY 2020
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	3/10/2021			
<b>Original Period of Performance End Date</b>	12/31/2025			
<b>Current Period of Performance End Date</b>	12/31/2025	Revision #: 0	Approved?: No	

### Part 1: Recipient Information

**Name: Transportation, Missouri Department Of**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1814	State Agency	MISSOURI DEPARTMENT OF TRANSPORTATION	HZLXEB71YNQ7	025280335

Location Type	Address	City	State	Zip
Mailing Address	105 WEST CAPITOL AVENUE	JEFFERSON CITY	MO	65102
Physical Address	105 W CAPITOL AVE	JEFFERSON CITY	MO	65101

### Union Information

<b>Union Name</b>	NONE
Address 1	

Address 2	
City	
State	
Zipcode	00000
Contact Name	
Telephone	
Fax	00000
E-mail	
Website	

## Part 2: Application Information

### Title: MoDOT 5311 Rural Operating, Capital, State Admin. and Emergency Relief FFY 2020

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
MO-2021-003-01	Final Concurrence / Reservation	Grant	Region 7	6/24/2024	6/24/2024	No

#### Application Executive Summary

Amendment 1 06/24/24

The purpose of this amendment is to de-obligate \$300,000 of Emergency Relief Funding (ER) that was not expended prior to the deadline and MoDOT requests to convert it to regular Section 5311 funding to be used for State Administration. Once the funds have been de-obligated and converted to regular Section 5311 funding, the lapse year will need to be extended to allow MoDOT time to get the funds put back in another amendment to this grant.

2-9-2021 - The source of the funds for this grant is Section 5311 funding for FFY 2020 Apportionment Allocations Notice for 5311 - \$20,307,703.00 for FY20 5311 funds , \$300,000 of FY20 5311 Emergency Relief funds and \$320,916 of FY20 RTAP funds for a total of \$20,928,619.00. These funds were taken from the Full Year Apportionment table post on 12-20-2019 for the full 12 months. The project start date is July 1, 2020 with all funds expected to be expended by December 31, 2025. This grant is for RTAP, State administration, operating, and capital assistance for 22 Missouri agencies: 11 cities, 1 county transit authority, 8 county-wide not-for-profit agencies, 2 regional not-for-profit agencies, and Section 5311(f) funds for five intercity bus carriers (three private/for-profit and two not-for-profit).

MoDOT receives an annual 5311 formula funding apportionment to support public transit throughout the state. Of the FY 2020 apportionment, MoDOT is using \$300,000 to support subrecipient capital and operating assistance activities associated with the COVID-19 pandemic response.

Under FTA's Emergency Relief Program, activities in this project support rural transit agency efforts to prevent, prepare for and respond to the COVID-19 emergency at the 100% federal funding level. Funds in this project will support COVID-19 emergency related capital and operating activities. The response efforts include, but are not limited to, enhanced cleaning and sanitizing supplies, staff wages, outside contract services, fuel, equipment, and personal protective equipment, service changes specifically related to Emergency Response.

#### Frequency of Milestone Progress Reports (MPR)

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award is applying an approved Indirect cost rate(s).

*Indirect Rate Details:* MoDOT's Indirect cost rate was approved by FHWA. The approval letter is in the attached documents.

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## **Award Description**

**Purpose**

Amendment 1 - MoDOT requests to decrease the \$300,000 of FY20 5311 Emergency Relief funds because the time period to expend these funds has expired. MoDOT requests to put these funds back into Formula 5311 for Admin expenses. By adding these funds to Admin., MoDOT will still not exceed the 10% limit allowed for this activity.

**Activities to be performed:**

Amendment 1 Once the funds have been deobligated, MoDOT will process an amendment to have the funds programmed for State Admin.

**Expected outcomes:**

Amendment 1 - The funds moved to Admin. will assist MoDOT with the costs associated with administering the program.

**Intended beneficiaries:**

Amendment 1 - The people that MoDOT serves will benefit from them having the ability to use the funds to administer the program.

**Subrecipient Activities:**

N/A

## Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jeremiah	Shuler	Transportation Program Specialist	jeremiah.shuler@dot.gov	(816) 329-3940
Kelleen	Broxterman	Grants Management Specialist	kelleen.broxterman@dot.gov	
Bryan	Heckman	Senior Multimodal Ops Specialist	bryan.heckman@modot.mo.gov	5737517481

## Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,628,619
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$0
Local			\$16,726,691
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$37,355,310</b>

## Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MO-2021-003-01-01	635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM	\$320,916.00	\$0.00	\$320,916.00	0
MO-2021-003-01-01	43.50.01 TRAINING	\$125,000.00	\$0.00	\$125,000.00	0
MO-2021-003-01-01	43.50.02 TECHNICAL ASSISTANCE	\$195,916.00	\$0.00	\$195,916.00	0
MO-2021-	300-00 OPERATING ASSISTANCE	\$0.00	\$0.00	\$0.00	0

003-03-01	(300-A5)						
MO-2021-003-03-01		30.09.08	Amendment 1 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share	\$0.00	\$0.00	\$0.00	0
MO-2021-003-02-01	300-00 (300-A4)		OPERATING ASSISTANCE	\$15,775,332.00	\$15,775,332.00	\$31,550,664.00	0
MO-2021-003-02-01		30.09.01	UP TO 50% FEDERAL SHARE	\$15,775,332.00	\$15,775,332.00	\$31,550,664.00	0
MO-2021-003-02-01	610-00 (610-A2)		STATE / PROGRAMS ADMINISTRATION	\$726,936.00	\$0.00	\$726,936.00	0
MO-2021-003-02-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$726,936.00	\$0.00	\$726,936.00	0
MO-2021-003-02-01	620-00 (620-A3)		PROJECT ADMINISTRATION	\$3,805,435.00	\$951,359.00	\$4,756,794.00	0
MO-2021-003-02-01		11.79.00	PROJECT ADMINISTRATION	\$3,805,435.00	\$951,359.00	\$4,756,794.00	0

## Discretionary Allocations

This application does not contain discretionary allocations.

## Part 3: Project Information

### Project Title: Rural Transit Assistance Program (RTAP)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MO-2021-003-01-01	1814-2021-2-P1	6/24/2024	7/1/2020	12/31/2024

#### Project Description

RTAP - This program is offered statewide to 5311 rural providers for defensive driving, first aid, and proper use of wheelchair restraints and other training. We have contracted with Missouri University of Science and Technology for training courses which include defensive driving, first aid, and proper use of wheelchair restraints. These funds have also been used to secure the services of a driver simulator owned and operated by the Paducah Transit Authority.

#### Project Benefits

The benefits of this project are to provide training courses which include defensive driving, first aid, and proper use of wheelchair restraints etc. for Rural Transit providers in Missouri.

**Additional Information**

N/A

**Location Description**

N/A Statewide

**Project Location (Urbanized Areas)**

UZA Code	Area Name
290000	Missouri

**Congressional District Information**

District	State
1	Missouri
2	Missouri
3	Missouri
4	Missouri
5	Missouri
6	Missouri
7	Missouri
8	Missouri

**Program Plan Information**

**STIP/TIP**

Date: 7/15/2019

Description: The grant is programmed in the 2020-2024 STIP approved by FTA and FHWA on July 16, 2019. Section 7 pages 57 – 65

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$320,916
Local			\$0

Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$320,916</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MO-2021-003-01-01	635-00 (635-A1) RURAL TRANSIT ASSISTANCE PROGRAM	\$320,916.00	\$0.00	\$320,916.00	0
MO-2021-003-01-01	43.50.01 TRAINING	\$125,000.00	\$0.00	\$125,000.00	0
MO-2021-003-01-01	43.50.02 TECHNICAL ASSISTANCE	\$195,916.00	\$0.00	\$195,916.00	0

## Project Budget Activity Line Items

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

### Extended Budget Description

This program is offered statewide to 5311 rural providers for defensive driving, first aid, and proper use of wheelchair restraints and other training. We have contracted with Missouri University of Science and Technology for training courses which include defensive driving, first aid, and proper use of wheelchair restraints. These funds have also been used to secure the services of a driver simulator owned and operated by the Paducah Transit Authority.

Technical assistance funds will start being drawn down

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$125,000
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$125,000</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Funds will start being drawn down
End Date	12/31/2024	Final training funds will have been drawn down

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

RTAP - This program is offered statewide. We have contracted with Missouri University of Science and Technology for training courses which include defensive driving, first aid, and proper use of wheelchair restraints. These funds have also been used to secure the services of a driver simulator owned and operated by the Paducah Transit Authority. These funds are also used to send our sub recipients to Drug & Alcohol training, CTAA Training, and other FTA training that is available.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$195,916
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$195,916</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Technical assistance funds will start being drawn down
End Date	12/31/2024	Technical assistance funds will have been drawn down



## Project Environmental Findings

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/13/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$125,000.00	\$125,000.00

### Finding: Class II(c) - Categorical Exclusions (C-List)

#### Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

#### Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/13/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$195,916.00	\$195,916.00

<b>Project Title: Amendment 1 EMER RELIEF - OPERATING ASSIST - 100% Fed Share</b>
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
MO-2021-003-03-01	1814-2021-2-P3	6/24/2024	1/20/2020	12/31/2025

**Project Description**

Amendment 1 - MoDOT requests to decrease the \$300,000 of FY20 5311 Emergency Relief funds because the time period to expend these funds has expired. MoDOT requests to put these funds back into Formula 5311 for Admin expenses. By adding these funds to Admin., MoDOT will still not exceed the 10% limit allowed for this activity.

FTA's Emergency Relief Program activities in this project support rural transit agency efforts to prevent, prepare for and respond to the COVID-19 emergency at the 100% federal funding level. Funds in this project will support COVID-19 emergency related capital and operating activities. The response efforts include, but are not limited to, enhanced cleaning and sanitizing supplies, staff wages, outside contract services, fuel, equipment, and personal protective equipment, service changes specifically related to Emergency Response. The activities funded under this project will be incurred on or after January 20, 2020 to be in response to economic or other conditions caused by COVID-19.

Special Condition: The Recipient agrees that if it receives federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this grant agreement, it will provide written notification to FTA, and reimburse FTA for any federal share that duplicates funding provided by FEMA, another federal agency, or an insurance company.

**Project Benefits**

Projects under this grant will provide rural public transportation across all of Missouri.

**Additional Information**

N/A

**Location Description**

Statewide.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
290000	Missouri

**Congressional District Information**

District	State
1	Missouri
2	Missouri
3	Missouri
4	Missouri
5	Missouri

6	Missouri
7	Missouri
8	Missouri

## Program Plan Information

### STIP/TIP

Date: 7/16/2020

Description: The grant is programmed in the 2020-2024 STIP approved by FTA and FHWA on July 16, 2019. Section 7 pages 57 – 65

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$0
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$0</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MO-2021-003-03-01	300-00 (300-A5) OPERATING ASSISTANCE	\$0.00	\$0.00	\$0.00	0
MO-2021-003-03-01	30.09.08 Amendment 1 - EMER RELIEF - OPERATING ASSIST -	\$0.00	\$0.00	\$0.00	0

100% Fed  
Share

## Project Budget Activity Line Items

**Budget Activity Line Item: 30.09.08 - Amendment 1 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATING ASSIST - 100% Fed Share	OPERATING ASSISTANCE	0

### Extended Budget Description

MoDOT requests to decrease the \$300,000 of FY20 5311 Emergency Relief funds because the time period to expend these funds has expired. MoDOT requests to put these funds back into Formula 5311 for Admin expenses. By adding these funds to Admin., MoDOT will still not exceed the 10% limit allowed for this activity

Under FTA's Emergency Relief Program, activities in this project support rural transit agency efforts to prevent, prepare for and respond to the COVID-19 emergency at the 100% federal funding level. Funds in this project will support COVID-19 emergency related capital and operating activities. The response efforts include, but are not limited to, enhanced cleaning and sanitizing supplies, staff wages, outside contract services, fuel, equipment, and personal protective equipment, service changes specifically related to Emergency Response.

### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - COVID-19 ER Response Rural Area Formula	5311-8A	20509	\$0
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$0</b>

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Operating funds will start being drawn down.
End Date	12/31/2025	Final Operating funds will have been drawn down

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/13/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.08	Amendment 1 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share	0	\$0.00	\$0.00

**Project Title: 5311 Rural Operating, Capital and State Admin. FFY 2020**

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MO-2021-003-02-01	1814-2021-2-P2	6/24/2024	7/1/2020	12/31/2024

**Project Description**

1. 5311 State administration funds are used for 1) the services of a full-time program manager and consultant services contractor RTAP trainer, 2) training and travel for administrative employees of the transit systems as RTAP funds are used only for driver training purposes, 3) funding planning grants for feasibility studies, 4) studies done by consultants - the Statewide Intercity Bus Study, 4) assisting grantees with promoting awareness of public transit in their service area, and 5) assisting grantees with the financial burden of collection site audits for the Substance Abuse Program. 6) Consultant services contractor to preform compliance site visits and review civil rights programs.

2. Section 5311(f) state administration funds are used for 1) monitoring the operations of the for-profit providers, 2) inventory control (including trips out-of-state for inventory purposes), 3) training for administrative staff, 4) driver training using a simulator, 5) marketing/promotion of routes and services, and 6) assisting with vehicle purchases by reviewing bid specs and procurement documentation.

3. Capital and operating funding for six intercity bus providers includes \$2,979,467 in operating funds, and \$108,000 in Administrative expense @ 80%. This fully allocates the required 15 percent minimum. As part of the required consultation process, the known providers in the State were notified of the availability of funds. Six systems submitted applications for funding. Six intercity bus operators will receive operating subsidies: Burlington Trailways, Greyhound Lines, Jefferson Lines, and Southeast Missouri Transportation Service, Inc. OATS Inc. and Village Tours. Burlington Trailways operates a north/south route on the east side of the state. Jefferson Lines has two north/south routes on the western side of the state. Greyhound is operating a new route St. Louis to Columbia on I-70, Columbia to Jefferson City on Hwy 63 and the west

on HWY 50 to Kansas City and back, SMTS has taken over the service and vehicles and provides transportation from the Farmington/Park Hills/Potosi area to the St. Louis airport and Greyhound bus station. OATS will be operating routes several routes in the Mid MO, Midwest, Northeast, and Southwest regions of Missouri, Village Tours operates from Joplin to Wichita KS. As the 15 percent requirement has been met, no certification from the Governor is required at this time. MoDOT received funding requests exceeding the funds available. The State Management Plan is being followed; operating funds are allocated first; then capital funds.

4. RTAP - This program is offered statewide. We have contracted with Missouri University of Science and Technology for training courses which include defensive driving, first aid, and proper use of wheelchair restraints. These funds have also been used to secure the services of a driver simulator owned and operated by the Paducah Transit Authority

5. Operating funds will be used to subsidize daily operations. Some of the operating expense for the Section 5311 subrecipients is eligible to be reimbursed at 80%. These funds are considered Project Administration, rather than Operating. Expenses eligible to be reimbursed at the 80% level include insurance (other than health), physicals for drivers and background checks for new and current employees, and substance abuse testing. The POP shows the amount allocated to Project Administration in Column E for subrecipients in Category A. The 80% funding will reduce the amount shown in Column F for these systems. Local match will be derived from donations from individuals, state-county-local governments, and charitable agencies such as United Way. In addition, many not-for-profit grantees have contracts to provide service to sheltered workshops, independent living centers, nursing homes, etc. The profits from these contracts are used as match for the Section 5311 funds. The intercity bus providers use profits from other routes or package delivery as their local match.

6. The grant is programmed in the 2020-2024 STIP approved by FTA and FHWA on July 16, 2018. Section 7 pages 52 – 61.

7. The grant is programmed in the 2019-2023 STIP approved by FTA and FHWA on July 16, 2019. Section 7 pages 57 – 65. The One Dot Approval and the Multimodal section 7 of the STIP have been uploaded to Application documents.

#### Areas Served by Transit Providers

The following cities and two private not-for-profit agencies operate either within the city limits or within a defined area (such as 2 miles outside their city limits).

City of Bloomfield

City of Carthage

City of Clinton

City of El Dorado Springs

City of Excelsior Springs

City of Houston

City of Lamar

City of Mt. Vernon

City of Nevada

City of New Madrid

City of West Plains

Licking Bridge Builders (Licking)

Macon Area Chamber of Commerce (Macon)

The following county transit authority and not-for-profit agencies operate within their county boundaries with some long distance trip to regional population centers.

Cape Girardeau County Transit Authority

Dunklin County Transit Service

Mississippi County Transit System

Ray County Transportation

Ripley County Transit

Scott County Transportation System

SERVE, Inc. (Callaway County)

There are two regional not-for-profit agencies:

SMTS, Inc. operates in 21 counties in the southeast section of the state: Bollinger, Butler, Carter, Crawford, Dent, Howell, Iron, Madison, New Madrid, Oregon, Pemiscot, Perry, Phelps, Reynolds,

Shannon, St. Francois, Ste. Genevieve, Stoddard, Texas, Washington, and Wayne.  
 OATS, Inc., operates in the remaining 87 counties not served by the above listed transit systems and in Callaway County.  
 Refer to attached documents for more detail: Original - Program of Projects Grant\_42 2-11-2021.

**Project Benefits**

Projects under this grant will provide rural public transportation across all of Missouri.

**Additional Information**

N/A

**Location Description**

Statewide.

**Project Location (Urbanized Areas)**

UZA Code	Area Name
290000	Missouri

**Congressional District Information**

District	State
1	Missouri
2	Missouri
3	Missouri
4	Missouri
5	Missouri
6	Missouri
7	Missouri
8	Missouri

**Program Plan Information**

**STIP/TIP**

Date: 7/15/2020

Description: The grant is programmed in the 2020-2024 STIP approved by FTA and FHWA on July 16, 2019. Section 7 pages 57 – 65

**UPWP**

Date: N/A

Description: N/A

**Long Range Plan**

Date: N/A

Description: N/A

**Project Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$20,307,703
Local			\$16,726,691
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$37,034,394</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MO-2021-003-02-01	300-00 (300-A4) OPERATING ASSISTANCE	\$15,775,332.00	\$15,775,332.00	\$31,550,664.00	0
MO-2021-003-02-01	30.09.01 UP TO 50% FEDERAL SHARE	\$15,775,332.00	\$15,775,332.00	\$31,550,664.00	0
MO-2021-003-02-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$726,936.00	\$0.00	\$726,936.00	0
MO-2021-003-02-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$726,936.00	\$0.00	\$726,936.00	0
MO-2021-003-02-01	620-00 (620-A3) PROJECT ADMINISTRATION	\$3,805,435.00	\$951,359.00	\$4,756,794.00	0
MO-2021-003-02-01	11.79.00 PROJECT ADMINISTRATION	\$3,805,435.00	\$951,359.00	\$4,756,794.00	0

## Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION



Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

5311 State administration funds are used for 1) the services of a full-time program manager and consultant services contractor RTAP trainer, 2) training and travel for administrative employees of the transit systems as RTAP funds are used only for driver training purposes, 3) funding planning grants for feasibility studies, 4) studies done by consultants - the Statewide Intercity Bus Study, 4) assisting grantees with promoting awareness of public transit in their service area, and 5) assisting grantees with the financial burden of collection site audits for the Substance Abuse Program. 6) Consultant services contractor to preform compliance site visits and review civil rights programs.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$726,936
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$726,936</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	State Admin funds will start being drawn down.
End Date	12/31/2024	Final Admin funds will have been drawn down.

**Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

**Extended Budget Description**

Operating funds will be used to subsidize daily operations. Some of the operating expense for the Section 5311 subrecipients is eligible to be reimbursed at 80%. These funds are considered Project Administration, rather than Operating. Expenses eligible to be reimbursed at the 80% level include insurance (other than health), physicals for drivers and background checks for new and current employees, and substance abuse testing. The POP shows the amount allocated to Project Administration in Column E for subrecipients in Category A.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,805,435
Local			\$951,359
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$4,756,794</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Funds will begin to be drawn down in July 2019
End Date	12/31/2024	Final payment will be made

**Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

**Extended Budget Description**

Operating funds will be used to subsidize daily operations at a 50% to 50% match with local funds. For the following:

Areas Served by Transit Providers

The following cities and two private not-for-profit agencies operate either within the city limits or within a defined area (such as 2 miles outside their city limits).

City of Bloomfield

City of Carthage

City of Clinton

City of El Dorado Springs

City of Excelsior Springs

City of Houston

City of Lamar

City of Mt. Vernon

City of Nevada

City of New Madrid

City of West Plains

Licking Bridge Builders (Licking)

Macon Area Chamber of Commerce (Macon)

The following county transit authority and not-for-profit agencies operate within their county boundaries with some long distance trip to regional population centers.

Cape Girardeau County Transit Authority

Dunklin County Transit Service

Mississippi County Transit System

Ray County Transportation

Ripley County Transit

Scott County Transportation System  
 SERVE, Inc. (Callaway County)

There are two regional not-for-profit agencies:

SMTS, Inc. operates in 21 counties in the southeast section of the state: Bollinger, Butler, Carter, Crawford, Dent, Howell, Iron, Madison, New Madrid, Oregon, Pemiscot, Perry, Phelps, Reynolds, Shannon, St. Francois, Ste. Genevieve, Stoddard, Texas, Washington, and Wayne.

OATS, Inc., operates in the remaining 87 counties not served by the above listed transit systems and in Callaway County.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$15,775,332
Local			\$15,775,332
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$31,550,664</b>

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Operating funds will start being drawn down.
End Date	12/31/2024	Final Operating funds will have been drawn down

**Project Environmental Findings**

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/13/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$726,936.00	\$726,936.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/13/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	0	\$3,805,435.00	\$4,756,794.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/13/2024

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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	Number			Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	0	\$15,775,332.00 \$31,550,664.00

## Part 4: Fleet Details

No fleet data exists for this application.

## Part 5: FTA Review Comments

### FTA Comments for DOL

**Comment By** Kelleen Broxterman

Comment Type DOL Review for Information

Date 8/14/2024

Comment Sending to DOL for Information.

### Application Review Comments

**Comment By** Gerri Doyle

Comment Type Environmental Findings

Date 8/13/2024

Project Title Rural Transit Assistance Program (RTAP)

Project Number MO-2021-003-01-01

Comment The proposed planning and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

**Comment By** Gerri Doyle

Comment Type Environmental Findings

Date 8/13/2024

Project Title Rural Transit Assistance Program (RTAP)

Project Number MO-2021-003-01-01

Comment The proposed planning and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

**Comment By** Gerri Doyle

Comment Type	Environmental Findings
Date	8/13/2024
Project Title	Amendment 1 EMER RELIEF - OPERATING ASSIST - 100% Fed Share
Project Number	MO-2021-003-03-01
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

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**Comment By Gerri Doyle**

Comment Type	Environmental Findings
Date	8/13/2024
Project Title	5311 Rural Operating, Capital and State Admin. FFY 2020
Project Number	MO-2021-003-02-01
Comment	The proposed planning and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

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**Comment By Gerri Doyle**

Comment Type	Environmental Findings
Date	8/13/2024
Project Title	5311 Rural Operating, Capital and State Admin. FFY 2020
Project Number	MO-2021-003-02-01
Comment	The proposed planning and program administration activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

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**Comment By Gerri Doyle**

Comment Type	Environmental Findings
Date	8/13/2024
Project Title	5311 Rural Operating, Capital and State Admin. FFY 2020
Project Number	MO-2021-003-02-01
Comment	The proposed operating assistance activity is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).