

## Application

<b>Federal Award Identification Number (FAIN)</b>	CT-2024-008-00
<b>Temporary Application Number</b>	1334-2024-6
<b>Award Name</b>	Section 5311 Program Funding FFY 2022 & 2023
<b>Application Status</b>	Final Concurrence / Reservation
<b>Application Budget Number</b>	0

<b>Period of Performance Start Date</b>	N/A		
<b>Original Period of Performance End Date</b>	6/30/2028		
<b>Current Period of Performance End Date</b>	6/30/2028	Revision #: 0	Approved?: No

<b>Budget Period Start Date</b>	N/A
<b>Budget Period End Date</b>	6/30/2028

### Part 1: Recipient Information

**Name: DEPARTMENT OF TRANSPORTATION CONNECTICUT**

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1334	State Agency	CONNECTICUT DEPARTMENT OF TRANSPORTATION	EED8DPB3U7M8	807854583

Location Type	Address	City	State	Zip
Headquarters	210 Capitol Ave Ste 202	Hartford	CT	061061535
Physical Address	2800 BERLIN TPKE	NEWINGTON	CT	06111
Mailing Address	2800 BERLIN TPKE	NEWINGTON	CT	06111

### Union Information

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION - LOCAL 281</b>
Address 1	139 Orange Street
Address 2	
City	New Haven
State	Connecticut
Zipcode	06510
Contact Name	Philip Madonna
Telephone	2035622252
Fax	
E-mail	
Website	

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION - LOCAL 425</b>
Address 1	222 BURNSIDE AVE APT. 2A
Address 2	
City	EAST HARTFORD
State	Connecticut
Zipcode	06108
Contact Name	Alvin Douglas
Telephone	8602829933
Fax	8602829933
E-mail	
Website	

<b>Union Name</b>	<b>AMALGAMATED TRANSIT UNION - LOCAL 443</b>
Address 1	P.O. BOX 15385
Address 2	PARK SQUARE STATION
City	STAMFORD
State	Connecticut
Zipcode	06901
Contact Name	Thomas Oliver
Telephone	2034060181
Fax	00000
E-mail	
Website	

<b>Union Name</b>	<b>AMERICAN RAILWAY SUPERVISORS ASSOCIATION</b>
Address 1	24 Tina Lane
Address 2	
City	Hopewell Junction
State	New York
Zipcode	12533

Contact Name	William Mills
Telephone	9142711512
Fax	9142711963
E-mail	gcbm504@aol.com
Website	

<b>Union Name</b>	<b>AMERICAN RAILWAY SUPERVISORS ASSOCIATION</b>
Address 1	15 Turtle Court
Address 2	
City	Bethany
State	Connecticut
Zipcode	06524
Contact Name	James Kelly
Telephone	2039774137
Fax	
E-mail	
Website	

<b>Union Name</b>	<b>ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION 1 CONDUCTORS/YARDSME</b>
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Anthony Bottalico
Telephone	2125995856
Fax	2125992029
E-mail	ajb1956@gmail.com
Website	

<b>Union Name</b>	<b>ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 113 RAIL TRAFFI</b>
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	James Fahey
Telephone	2125995856
Fax	2125992029
E-mail	

Website	
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<b>Union Name</b>	<b>ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 166 SIGNALMEN</b>
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Thomas Ryder
Telephone	2125995856
Fax	2125992029
E-mail	thomas.ryder@snet.net
Website	
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<b>Union Name</b>	<b>ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 37 POWER DIRECTO</b>
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Joseph Savio
Telephone	2123402100
Fax	
E-mail	cricketjr1@aol.com
Website	
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<b>Union Name</b>	<b>ASSOCIATION OF COMMUTER RAIL EMPLOYEES - DIVISION NO. 9</b>
Address 1	420 Lexington Avenue, S.215
Address 2	
City	New York
State	New York
Zipcode	10017
Contact Name	Michael Doyle
Telephone	2125995856
Fax	2125992029
E-mail	mfdoyle@aol.com
Website	
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<b>Union Name</b>	<b>HOTEL &amp; RESTAURANT EMPLOYEES INTERNATIONAL DINING CAR EMPLOYEES UNION</b>
Address 1	1004 Ravens Worth Court

Address 2	
City	Accokeek
State	Maryland
Zipcode	20607
Contact Name	Dwayne Bateman
Telephone	3012924219
Fax	3012924731
E-mail	
Website	

<b>Union Name</b>	<b>INTERNATIONAL ASSOCIATION OF MACHINISTS &amp; AEROSPACE WORKERS</b>
Address 1	2031 Gerritsen Avenue
Address 2	
City	Brooklyn
State	New York
Zipcode	12229
Contact Name	Norman Brown
Telephone	7183828523
Fax	7183828523
E-mail	
Website	

<b>Union Name</b>	<b>INTERNATIONAL BROTHERHOOD OF BOILERMAKERS, IRON SHIP BUILDERS, BLACKSM</b>
Address 1	P.O. Box 459
Address 2	
City	Soddy Daisy
State	Tennessee
Zipcode	37379
Contact Name	Alan Scheer
Telephone	4233320111
Fax	4233320112
E-mail	
Website	

<b>Union Name</b>	<b>INTERNATIONAL BROTHERHOOD OF BOILERMAKERS, IRON SHIP BUILDERS, BLACKSM</b>
Address 1	
Address 2	
City	
State	New York
Zipcode	

Contact Name	Gary Caliendo
Telephone	9142711512
Fax	
E-mail	
Website	

<b>Union Name</b>	<b>IBEW System Council No. 7</b>
Address 1	300 Cantrell Street
Address 2	
City	Philadelphia
State	PA
Zipcode	19148
Contact Name	Arthur Davidson
Telephone	(845) 534-8853
Fax	(845) 534-7621
E-mail	ajdavidsonsc7@aol.com
Website	

<b>Union Name</b>	<b>IBEW Local Union 1573 (Supervisors)</b>
Address 1	P.O. Box 1485
Address 2	
City	New York
State	NY
Zipcode	10163
Contact Name	Pete Famularo
Telephone	(718) 614-6159
Fax	
E-mail	petefamularo@gmail.com
Website	

<b>Union Name</b>	<b>INTERNATIONAL BROTHERHOOD OF TEAMSTERS</b>
Address 1	22-43 Jackson Avenue
Address 2	
City	Long Island City
State	New York
Zipcode	11101
Contact Name	Glenn Conklin
Telephone	7183891900
Fax	7183892171
E-mail	
Website	None

<b>Union Name</b>	<b>NATIONAL CONFERENCE OF FIREMEN &amp; OILERS</b>
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Address 1	4201 Church Road
Address 2	Suite 7
City	Mt. Laurel
State	New Jersey
Zipcode	08054
Contact Name	Dean DeVita
Telephone	8567784411
Fax	8567786169
E-mail	
Website	

<b>Union Name</b>	<b>SHEETMETAL WORKERS INTERNATIONAL ASSOCIATION</b>
Address 1	22 North Street
Address 2	
City	Albany
State	New York
Zipcode	12205
Contact Name	Charles Fraley
Telephone	5184822070
Fax	5164590211
E-mail	cfraley@smwia.org
Website	

<b>Union Name</b>	<b>TRANSPORT WORKERS UNION OF AMERICA</b>
Address 1	570 Taxter Road
Address 2	
City	Elmsford
State	New York
Zipcode	10523
Contact Name	Peter McMahon
Telephone	9145920221
Fax	9145920097
E-mail	hfe2001@aol.com ; Jrf624@aol.com
Website	

<b>Union Name</b>	<b>TRANSPORTATION COMMUNICATIONS INTERNATIONAL UNION</b>
Address 1	309 A Street
Address 2	
City	Wilmington
State	Delaware
Zipcode	19801
Contact Name	Russell Oathout

Telephone	3024980959
Fax	3024980969
E-mail	tcussb86@aol.com
Website	
<b>Union Name</b>	
<b>International Brotherhood of Electrical Workers</b>	
Address 1	900 Seventh Street NW
Address 2	
City	Washington
State	DC
Zipcode	20001
Contact Name	Jim Meyer
Telephone	(202) 728-6069
Fax	(202) 728-6097
E-mail	railroad@ibew.org
Website	

## Part 2: Application Information

### Title: Section 5311 Program Funding FFY 2022 & 2023

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
CT-2024-008-00	Final Concurrence / Reservation	Grant	Region 1	5/8/2024	5/8/2024	No

#### Application Executive Summary

The Connecticut Department of Transportation is requesting FFY 2022 & 2023 Section 5311 program funds in the amount of \$8,690,252 (federal FY 22-\$4,338,921 and FY 23-\$4,351,331), \$14,778,239 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

Capital projects include the purchase of thirteen (14) small buses, security cameras, computer hardware and software, maintenance equipment and other miscellaneous support equipment.

Funds will also be used for comprehensive transit planning activities as identified in the attached Unified



Planning Work Programs.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

Subrecipients:

- Estuary Transit District - Three (3) small buses, miscellaneous support equipment, operating assistance
- Northeastern CT Transit District - Six (2) small buses, miscellaneous support equipment, operating assistance
- Northwestern CT Transit District - Two (5) small buses, miscellaneous support equipment, operating assistance
- Windham Region Transit District Two (4) small buses, miscellaneous support equipment, operating assistance
- Northeastern Connecticut Council of Governments (NECCOG) - Comprehensive transit planning
- Northwest Hills Council of Governments (NHCOG) - Comprehensive transit planning

The Program of Projects file is attached in the Project Information section of this application. This award will support transit in non-urbanized areas as designated by the 2010 Census.

All projects associated with this grant are included on pages 29 & 30 of the 2024 STIP under Federal Aid Code (FA Code) 5311 & 5311P which was most recently changed via STIP Administrative Amendment 4/13/2022.

CTDOT is current with Civil Rights Requirements, Milestone Progress Reports and Financial Status Reports.

**Frequency of Milestone Progress Reports (MPR)**

Annual

**Frequency of Federal Financial Reports (FFR)**

Annual

**Does this application include funds for research and/or development activities?**

This award does not include research and development activities.

**Pre-Award Authority**

This award is using Pre-Award Authority.

**Does this application include suballocation funds?**

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

**Will this Grant be using Lapsing Funds?**

Yes, this Grant will use Lapsing Funds.

**Will indirect costs be applied to this application?**

This award does not include an indirect cost rate.

*Indirect Rate Details: N/A*

**Requires E.O. 12372 Review**

No, this application does not require E.O. 12372 Review.

**Delinquent Federal Debt**

No, my organization does not have delinquent federal debt.

## Award Description

**Purpose**

The Connecticut Department of Transportation is requesting FFY 2022 & 2023 Section 5311 program funds in the amount of \$8,780,252 (federal FY 22-\$4,338,921 and FY 23-\$4,441,331), \$14,958,239 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

**Funding Breakout:**

Fully obligates FFY 2022 \$4,203,403 Lapsing funds FFY 2022 RTAP \$135,518 and FFY 2023 \$4,214,360 FFY 2023 RTAP \$136,971.

**Activities to be performed:**

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

Capital projects include the purchase of thirteen (14) small buses, security cameras, computer hardware and software, maintenance equipment and other miscellaneous support equipment.

Funds will also be used for comprehensive transit planning activities as identified in the attached Unified Planning Work Programs.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

**Expected outcomes:**

These projects support the rural transit program in the State of Connecticut and provide continued service to the State's residents.

**Intended beneficiaries:**

Four rural transit districts and two MPOs will receive funds that will benefit residents living in rural areas of Connecticut.

- Estuary Transit District - Chester, Clinton, Deep River, Durham, Essex, East Haddam, Haddam, Killingworth, Lyme, Old Lyme, Old Saybrook, Westbrook, East Hampton and Portland.
- Northeastern CT Transit District - Brooklyn, Canterbury, Killingly, Putnam, Thompson, Eastford, Plainfield, Pomfret, Woodstock, and Union
- Northwestern CT Transit District - Torrington, Winsted and Litchfield Barkhamsted, Canaan, Falls Village, Sharon, Salisbury, Colebrook, Cornwall, - Goshen, Harwinton, Kent, Warren, Litchfield, Morris, New Hartford, Norfolk, Torrington, Winchester & Winsted
- Windham Region Transit District Ashford, Chaplin, Columbia, Coventry, Lebanon, Mansfield, Scotland, Willington and Windham
- Northeastern Connecticut Council of Governments (NECCOG)

- Northwest Hills Council of Governments (NHCOG)

**Subrecipient Activities:**

- Estuary Transit District - Three (3) small buses, miscellaneous support equipment, operating assistance
- Northeastern CT Transit District - Two (2) small buses, miscellaneous support equipment, operating assistance
- Northwestern CT Transit District - Five (5) small buses, miscellaneous support equipment, operating assistance
- Windham Region Transit District - Four (4) small buses, miscellaneous support equipment, operating assistance
- Northeastern Connecticut Council of Governments (NECCOG) - Comprehensive transit planning
- Northwest Hills Council of Governments (NHCOG) - Comprehensive transit planning

**Application Point of Contact Information**

First Name	Last Name	Title	E-mail Address	Phone
Ari	Ofsevit	TRANSPORTATION PROGRAM SPECIALIST	ari.ofsevit@dot.gov	(617) 494-2792
Michael	Klick	Associate Accountant	michael.klick@ct.gov	860-594-2815

**Application Budget Control Totals**

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,780,252
Local			\$1,704,376
Local/In-Kind			\$0
State			\$4,473,611
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,958,239</b>

**Application Budget**

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CT-2024-008-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$1,824,000.00	\$456,000.00	\$2,280,000.00	14
CT-2024-008-01-	11.12.04 BUY REPLACEMENT	\$1,824,000.00	\$456,000.00	\$2,280,000.00	14

00		<30 FT BUS				
CT-2024-008-01-00	114-00 (114-A2)	BUS: SUPPORT EQUIP AND FACILITIES	\$176,000.00	\$44,000.00	\$220,000.00	0
CT-2024-008-01-00		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$176,000.00	\$44,000.00	\$220,000.00	0
CT-2024-008-01-00	442-00 (442-A3)	METROPOLITAN PLANNING	\$84,000.00	\$21,000.00	\$105,000.00	0
CT-2024-008-01-00		44.22.00 GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$84,000.00	\$21,000.00	\$105,000.00	0
CT-2024-008-01-00	600-00 (600-A6)	OTHER PROGRAM COSTS	\$5,656,987.00	\$5,656,987.00	\$11,313,974.00	0
CT-2024-008-01-00		30.09.01 UP TO 50% FEDERAL SHARE	\$5,656,987.00	\$5,656,987.00	\$11,313,974.00	0
CT-2024-008-01-00	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$766,776.00	\$0.00	\$766,776.00	0
CT-2024-008-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$766,776.00	\$0.00	\$766,776.00	0
CT-2024-008-01-00	635-00 (635-A5)	RURAL TRANSIT ASSISTANCE PROGRAM	\$272,489.00	\$0.00	\$272,489.00	0
CT-2024-008-01-00		43.50.01 TRAINING	\$222,000.00	\$0.00	\$222,000.00	0
CT-2024-008-01-00		43.50.02 TECHNICAL ASSISTANCE	\$50,489.00	\$0.00	\$50,489.00	0

### Discretionary Allocations

This application does not contain discretionary allocations.

### Part 3: Project Information

<b>Project Title: Section 5311 Program Funding FFY 2022 &amp; 2023</b>
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<b>Project Number</b>	<b>Temporary Project Number</b>	<b>Date Created</b>	<b>Start Date</b>	<b>End Date</b>
CT-2024-008-01-00	1334-2024-6-P1	5/8/2024	11/23/2021	6/30/2028

**Project Description**

The Connecticut Department of Transportation will utilize FFY 2022 & 2023 Section 5311 program funds in the amount of \$8,780,252 (federal FY 22-\$4,338,921 and FY 23-\$4,441,331), \$14,958,239 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

Capital projects include the purchase of thirteen (14) small buses, security cameras, computer hardware and software, bus shelters, maintenance equipment and other miscellaneous support equipment.

Funds will also be used for comprehensive transit planning activities as identified in the attached Unified Planning Work Programs.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

**Project Benefits**

Project Benefits

These projects support the rural transit program in the State of Connecticut and provide continued service to the State's residents.

Funds are necessary to continue providing transit services to general public in the Section 5311 Subrecipients' nonurbanized areas. Those services which primarily include deviated fixed routes, dial a ride services and job access and reverse commute services are designed to maximize usage by members of the general public and especially those who are transportation disadvantaged persons, including elderly persons, persons with disabilities, minorities and low income who often do not have any other transportation options. Majority of operating assistance funds are used for drivers wages and maintenance and fuel of the vehicles. Without these funds those services would cease to exist.

The RTAP program provides various courses and technical assistance at no-cost to drivers and sub-recipients of 5311 funding. These funds are to assist in the design and implementation of training and technical assistance projects and other support services tailored to meet the specific needs of transit operators in nonurbanized areas.

**Additional Information**

*None provided.*

**Location Description**

Locations across the State of Connecticut.

**Project Location (Urbanized Areas)**

<b>UZA Code</b>	<b>Area Name</b>
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090000

Connecticut

## Congressional District Information

District	State
1	Connecticut
2	Connecticut
3	Connecticut
4	Connecticut
5	Connecticut

## Program Plan Information

### STIP/TIP

Date: N/A

Description: N/A

### UPWP

Date: N/A

Description: N/A

### Long Range Plan

Date: N/A

Description: N/A

## Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$8,780,252
Local			\$1,704,376
Local/In-Kind			\$0
State			\$4,473,611
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$14,958,239</b>

## Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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CT-2024-008-01-00	111-00 (111-A1)	BUS - ROLLING STOCK	\$1,824,000.00	\$456,000.00	\$2,280,000.00	14
CT-2024-008-01-00		11.12.04 BUY REPLACEMENT <30 FT BUS	\$1,824,000.00	\$456,000.00	\$2,280,000.00	14
CT-2024-008-01-00	114-00 (114-A2)	BUS: SUPPORT EQUIP AND FACILITIES	\$176,000.00	\$44,000.00	\$220,000.00	0
CT-2024-008-01-00		11.42.20 ACQUIRE - MISC SUPPORT EQUIPMENT	\$176,000.00	\$44,000.00	\$220,000.00	0
CT-2024-008-01-00	442-00 (442-A3)	METROPOLITAN PLANNING	\$84,000.00	\$21,000.00	\$105,000.00	0
CT-2024-008-01-00		44.22.00 GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	\$84,000.00	\$21,000.00	\$105,000.00	0
CT-2024-008-01-00	600-00 (600-A6)	OTHER PROGRAM COSTS	\$5,656,987.00	\$5,656,987.00	\$11,313,974.00	0
CT-2024-008-01-00		30.09.01 UP TO 50% FEDERAL SHARE	\$5,656,987.00	\$5,656,987.00	\$11,313,974.00	0
CT-2024-008-01-00	610-00 (610-A4)	STATE / PROGRAMS ADMINISTRATION	\$766,776.00	\$0.00	\$766,776.00	0
CT-2024-008-01-00		11.80.00 STATE OR PROGRAM ADMINISTRATION	\$766,776.00	\$0.00	\$766,776.00	0
CT-2024-008-01-00	635-00 (635-A5)	RURAL TRANSIT ASSISTANCE PROGRAM	\$272,489.00	\$0.00	\$272,489.00	0
CT-2024-008-01-00		43.50.01 TRAINING	\$222,000.00	\$0.00	\$222,000.00	0
CT-2024-		43.50.02 TECHNICAL ASSISTANCE	\$50,489.00	\$0.00	\$50,489.00	0

008-01-  
00

## Project Budget Activity Line Items

### Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

#### Extended Budget Description

Funds in the amount of \$5,476,897 (federal); \$10,953,974 (total) for the operating assistance for the Rural Transit Operators including Estuary Transit District, Middletown Area Transit District, Northeastern CT Transit District, Northwestern CT Transit District and Windham Region Transit District. The local funding source is from the State of Connecticut Legislative Appropriation.

#### Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$5,656,987
Local			\$1,693,876
Local/In-Kind			\$0
State			\$3,963,111
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$11,313,974</b>

Milestone Name	Est. Completion Date	Description
Begin Operations	11/1/2024	Operating assistance to the rural transit districts.
End Operations	12/31/2026	Operating funds fully expended

### Budget Activity Line Item: 43.50.01 - TRAINING

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	RURAL TRANSIT ASST PROGRAM	0

#### Extended Budget Description

RTAP Funds in the amount \$222,000 (federal & total) to provide training to 4 Rural Transit Operators for approved training programs and professional staff development as well as travel and hosting of



professional conferences and regional meetings geared toward training and coordination.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$222,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$222,000</b>

Milestone Name	Est. Completion Date	Description
Begin Activities	11/1/2024	RTAP Funds for training, attendance at workshops, conferences for rural transit operators.
Complete Activities	12/31/2026	Completion of training.

**Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	0

**Extended Budget Description**

RTAP Funds in the amount \$50,489 (federal & total) for CTDOT staff to attend professional conferences enabling staff to develop and improve their skills in the areas of rural, elderly and handicap transportation.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$50,489
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$50,489</b>

Milestone Name	Est. Completion Date	Description
Begin Activities	11/1/2024	RTAP Funds for training, attendance at workshops, conferences for CDOT staff.
Complete Activities	12/31/2026	Completion of technical assistance.

**Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	14

**Extended Budget Description**

Extended Budget Description

Funds in the amount of \$1,824,000 (federal); \$2,280,000 (total) for the purchase of 14 replacement small buses.

The vehicles being replaced have reached the end of their useful life in years (4 5 years) or miles (100,000 150,000). The vehicles being replaced are medium-size light-duty transit buses and other light-duty vehicles such as vans with lifts. The average prices of new vehicles \$150,000 - \$165,000 each and the new vehicles have a useful life of 4-5 years or 100,000 150,000 miles.

Fuel type for the new vehicles is anticipated to be gasoline. These vehicles will be purchased off the Greater New Haven Transit District open bus procurement contracts awarded 1/30/23 and 4/12/23 and open for 5 years. Titles will be retained by the Transit Districts and vehicles will be operated in the respective operators service areas.

The following rural transit districts will be purchasing vehicles:

- Estuary Transit District 3 Small Bus
- Northeastern CT Transit District 2 Small Buses
- Northwestern CT Transit District 5 Small Bus
- Windham Region Transit District 4 Small Bus

The VINs, current operators and mileage and/or age of the vehicles being replaced are listed in the attachment - Section 5311 Vehicle Replacement List.xlsx.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,824,000
Local			\$0
Local/In-Kind			\$0
State			\$456,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
<b>Total Eligible Cost</b>		<b>\$2,280,000</b>

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	11/23/2021	Bus specifications are advertised which invites vendors to submit proposals.
Contract Award Date	1/30/2023	Proposals are reviewed and upon completion a contract(s) is awarded to a manufacturer(s).
Option Order	11/30/2024	Issue a purchase order for the purchase of a bus(es).
Initial Delivery Date	6/30/2026	First bus(es) are delivered and accepted.
All Delivery Date	12/31/2026	All buses are delivered and accepted.
Contract Completion Date	6/30/2027	All buses are delivered and accepted and any open issues are resolved.
Grant Closeout	6/30/2028	All grant activities complete. Grant ready for closeout.

**Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	0

**Extended Budget Description**

The Department is requesting funds in the amount of \$176,000 (federal); \$220,000 (total) for the Rural Transit Operators to purchase support equipment. The local funding source is from the State of Connecticut Legislative Appropriation.

The equipment that is anticipated to be purchased include security cameras, scheduling software, computer hardware and software, computer stations, office equipment and furniture and maintenance equipment and support vehicles. The following operators will receive funding under this line item:

- Estuary Transit District
- Northeastern CT Transit District
- Northwestern CT Transit District
- Windham Region Transit District

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$176,000
Local			\$0
Local/In-Kind			\$0
State			\$44,000
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
<b>Total Eligible Cost</b>	<b>\$220,000</b>

Milestone Name	Est. Completion Date	Description
Begin Activities	11/30/2024	Initiate the purchase of support equipment or implementation of activities.
Complete Activities	12/31/2026	All necessary support equipment has been purchased and activities are complete.

**Budget Activity Line Item: 44.22.00 - GENERAL DEVELOPMENT/COMPREHENSIVE PLANNING**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	METROPOLITAN PLANNING	0

**Extended Budget Description**

Funds in the amount of \$84,000 (federal); \$105,000 (total) for the Northeastern Connecticut Council of Governments (NECCOG) and the Northwest Hills Council of Governments (NHCOG). The funds under this ALI will be used for rural planning work as specified in the attached scopes of work for the NECCOG and NHCOG agencies.

**Will 3rd Party contractors be used to fulfill this activity line item?**

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$84,000
Local			\$10,500
Local/In-Kind			\$0
State			\$10,500
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$105,000</b>

Milestone Name	Est. Completion Date	Description
Begin Activities	11/1/2024	Funds for planning work as specified in the attached scopes of work for the NECCOG and NHCOG agencies.
Complete Activities	12/31/2026	Completion of planning activities.

**Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	0

**Extended Budget Description**

Funds in the amount of \$766,776 (federal & total) for state administration and to provide technical support as related to the Rural program.

Funds are used for the salaries and overhead of CTDOTs personnel whose duties include administration, planning, and oversight of the Section 5311 program. There is no plan to use State Administration funds to hire any third party contractors.

**Will 3rd Party contractors be used to fulfill this activity line item?**

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$766,776
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
<b>Total Eligible Cost</b>			<b>\$766,776</b>

Milestone Name	Est. Completion Date	Description
Begin Activities	11/1/2024	State or program administration and to provide technical support as related to the Rural program.
Complete Activities	12/31/2026	Completion of administrative activities.

## Project Environmental Findings

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	14	\$1,824,000.00	\$2,280,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	0	\$176,000.00	\$220,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
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Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
METROPOLITAN PLANNING (442-00)	44.22.00	GENERAL DEVELOPMENT/ COMPREHENSIVE PLANNING	0	\$84,000.00	\$105,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	0	\$766,776.00	\$766,776.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

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**Date Description**

**Date**

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.01	TRAINING	0	\$222,000.00	\$222,000.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASSISTANCE PROGRAM (635-00)	43.50.02	TECHNICAL ASSISTANCE	0	\$50,489.00	\$50,489.00

**Finding: Class II(c) - Categorical Exclusions (C-List)**

**Class Level Description**

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

**Categorical Exclusion Description**

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item	Line Item Name	Quantity	FTA Amount	Total Eligible
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	Number		Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE 0	\$5,656,987.00 \$11,313,974.00

## Part 4: Fleet Details

**Fleet Type:** Light Rail

### Fleet Comments

No rolling stock will be purchased in this grant.

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	0
	B. Spares	0
	C. Total (A+B)	0
	D. Spare Ratio (B/A)	0%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	0

**Fleet Type:** Paratransit

### Fleet Comments

Fleet Status entered above is for the SEAT PARATRANSIT FLEET, the recipient of 4

		Current Value
I.	<b>Active Fleet</b>	
	A. Peak Requirement	3
	B. Spares	1
	C. Total (A+B)	4
	D. Spare Ratio (B/A)	33.33%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	4

**Fleet Type:** Commuter Rail

**Fleet Comments**

The New Haven Line active fleet figures represent only M2/4/6 electric vehicles. Downtime for any vehicle during the M2 Overhaul (2000-2004) is the effect of one of two programs, the M2 Overhaul Program on the fleet, or the Heavy Repair Program on individual cars.

		<b>Current Value</b>
I.	<b>Active Fleet</b>	
	A. Peak Requirement	288
	B. Spares	56
	C. Total (A+B)	344
	D. Spare Ratio (B/A)	19.44%
II.	<b>Inactive Fleet</b>	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	<b>Total (I.C and II.C)</b>	344

**Fleet Type:** Other

**Fleet Comments**

The Fleet Status entered above is for the FIXED ROUTE BUS FLEET OF THE NEW HAVEN DIVISION OF CTTRANSIT.

While New Haven's spare ratio is higher than normal, it is trending in the right direction--down to 22.6% following this procurement from a high of 28.5%.

Factors contributing to the higher than normal ratio include the following:

- Buses are frequently requisitioned to fill in for Metro-North and Shore Line East train service.
- CTTransit is operating out of a very old facility. With current conditions of the facility many of the maintenance functions cannot be done as efficiently as compared to a more modern facility; therefore, additional spare vehicles are required.
- The New Haven Division has long peak hours; as a result, the time period between the end of the a.m. peak and the beginning of the p.m. peak is relatively short and insufficient to allow proper maintenance in between peak periods.

The Vehicles being purchased in the Section 5310 grant application that is being filed in April 2017 are not state owned vehicles.

		<b>Current Value</b>
I.	<b>Active Fleet</b>	
	A. Peak Requirement	84
	B. Spares	24
	C. Total (A+B)	108
	D. Spare Ratio (B/A)	28.57%

<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	21
	B. Pending Disposal	0
	C. Total (A+B)	21
<b>III.</b>	<b>Total (I.C and II.C)</b>	129

**Fleet Type:** Fixed Route

**Fleet Comments**

Fleet Status: Hartford Division of CTtransit:

The Hartford Division has a fleet of 280 buses of which 36% are 12 years old or older and 29% are branded to meet the sub-fleet demands. The buses that have reached or surpassed their useful life (94, 40-ft buses) have added maintenance and longer down time which requires additional spares to ensure service demands are met. CTfastrak, a dedicated, uniquely branded fleet operating along a newly built BRT Busway, opened for service on March 28, 2015, offers 3 types of services operated with 3 types of buses. Forty-two (42) new buses were purchased exclusively for this service, and 3 pre-existing buses.

The spare ratio was also kept elevated in order to guarantee availability for service on the new CTfastrak BRT, which was instituted on March 28, 2015 and whose demand has been rapidly changing. Several buses included in the above table were re-branded during the year in order to become contingency buses for CTfastrak. As far as the Hartford Division was concerned, they became effectively part of the “inactive” fleet, as their livery was different and for start-up marketing reasons, they became unavailable for conventional Hartford Division service. Lastly, as part of the State-owned bus fleet, several buses were lent out to other State-subsidized operators for extended periods of time reducing the effective spare ratio within the Hartford Division fleet.

An additional file containing information on the Fleet Status and Spare Ratio details for: CTTtransit Hartford Division, the CTfastrak (New Britain-Hartford), CTTransit New Haven Division and CTTransit Stamford Division are attached in the TEAM Fleet Status folder.

		<b>Current Value</b>
<b>I.</b>	<b>Active Fleet</b>	
	A. Peak Requirement	237
	B. Spares	46
	C. Total (A+B)	283
	D. Spare Ratio (B/A)	19.41%
<b>II.</b>	<b>Inactive Fleet</b>	
	A. Other	12
	B. Pending Disposal	0
	C. Total (A+B)	12
<b>III.</b>	<b>Total (I.C and II.C)</b>	295

**Part 5: FTA Review Comments**

## FTA Comments for DOL

**Comment By** Ari Ofsevit

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Comment Type DOL Review for Information

Date 7/19/2024

Comment The Connecticut Department of Transportation is requesting FFY 2022 & 2023 Section 5311 program funds in the amount of \$8,690,252 (federal FY 22-\$4,338,921 and FY 23-\$4,351,331), \$14,778,239 (total) for capital, operating assistance, administration, planning, technical assistance and RTAP in support of the rural transit program in the State of Connecticut. The local funding match and source is from the State of Connecticut Legislative Appropriation.

## Application Review Comments

**Comment By** Jason Ciavarella

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Comment Type Application Details

Date 7/16/2024

Comment Transit Vehicle Manufacturers (TVM) must have an approved FTA DBE Goal prior to bidding on contract. For a current listing, see <https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>. Recipient must ensure that all vehicles purchased are accessible and purchased from an FTA approved TVM.