

Application

Federal Award Identification Number (FAIN)	CO-2024-017-00
Temporary Application Number	6965-2023-1
Award Name	FY 2023 5311 Tribal Formula - Operating, Project Admin, and Preventive Maintenance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	9/30/2024		
Current Period of Performance End Date	9/30/2024	Revision #: 0	Approved?: No

Budget Period Start Date	N/A		
Budget Period End Date	9/30/2024		

Part 1: Recipient Information

Name: SOUTHERN UTE INDIAN TRIBE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6965	Indian Tribe	SOUTHERN UTE INDIAN TRIBE	H3VJM313MK56	078353919

Location Type	Address	City	State	Zip
Headquarters	356 Ouray Dr	Ignacio	CO	81137
Physical Address	356 OURAY DR 737	IGNACIO	CO	81137
Mailing Address	P.O. BOX 737	IGNACIO	CO	81137

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FY 2023 5311 Tribal Formula - Operating, Project Admin, and Preventive Maintenance
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FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
CO-2024-017-00	Final Concurrence / Reservation	Grant	Region 8	1/31/2023	1/31/2023	No

Application Executive Summary

The Southern Ute Tribe uses FY23 5311 Tribal Formula funds in the amount of \$84,670 (full apportionment) to cover expenses for the contracted Tribal Transit services in Federal Fiscal Year 2023 through 2024. The federal share is 100%. This grant will fund the Tribes transit service (known locally as DAR) from January 1, 2024, to September 30, 2024. The DAR service provides over 85 hours per week of demand response transit service within an area encompassing the Southern Ute Tribal Campus, tribal enterprise locations, tribal neighborhoods, senior housing, tribal services including medical, education and recreation, airport shuttle, plus shopping, social and family connections.

The tribe will exercise pre-award authority for incurred expenses across all of the grant's activities dating back to January 1, 2024 and will submit initial FFR.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The Southern Ute Indian Tribe subcontracts transit operations to Southern Colorado Community Action Agency dba Road Runner Transit which operates using their federally negotiated and approved indirect cost rate of 10.9%. JHHS approved provisional rate through 12/31/2026. Base: total direct costs excluding capital expenditures (buildings, individual items of equipment, alterations and

renovations), subawards and flow-through awards.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The Southern Ute Tribe uses FY23 5311 Tribal Formula funds in the amount of \$84,670 (full apportionment) to cover expenses for the contracted Tribal Transit services in the calendar year 2024.

Activities to be performed:

The grant scope of work includes the following activities in support of the Tribal Transit Program: 1. Preventive Maintenance; 2. Project Administration; and 3. Operations.

Expected outcomes:

Continued safe and effective operation of Tribal Transit services for rural areas in Southeast La Plata county and within the Southern Ute reservation and exterior boundaries.

Intended beneficiaries:

Tribal members, other Native Americans, and the residents in the areas served will benefit and have access to medical facilities, shopping, etc.

Subrecipient Activities:

Southern Colorado Community Action Agency, Inc. (SoCoCAA) will carry out the activities listed in this award.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Murtaza	Naqvi	Transportation Data Analyst	murtaza.naqvi@dot.gov	(303) 362-2420
Mikki	Naranjo	Contracts & Grants Manager	minaranjo@southernute-nsn.gov	(970) 563-2441 2409

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$84,670
Local			\$0
Local/In-Kind			\$0
State			\$0

State/In-Kind				\$0
Other Federal				\$0
Transportation Development Credit				\$0
Adjustment				\$0
Total Eligible Cost				\$84,670

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CO-2024-017-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$84,670.00	\$0.00	\$84,670.00	3
CO-2024-017-01-00	11.79.00 PROJECT ADMINISTRATION	\$16,934.00	\$0.00	\$16,934.00	1
CO-2024-017-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$59,269.00	\$0.00	\$59,269.00	1
CO-2024-017-01-00	30.09.01 UP TO 100% FEDERAL SHARE	\$8,467.00	\$0.00	\$8,467.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Ignacio Area Dial a Ride Operations, Project Admin and Preventive Maintenance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CO-2024-017-01-00	6965-2023-1-P1	1/31/2023	1/1/2024	9/30/2024

Project Description

FFY2023 5311 Tribal formula funds will be used for the following activities:

- Operations
- Project Admin
- Preventive Maintenance

Project Benefits

The DAR service continues essential tribal transportation for calendar year 2024. DAR is a demand-response service with a fixed route frequency that provides full access to all community services and destinations within the hours of operation.

Additional Information

Ignacio Area DAR also links up with Road Runner's fixed route service to Durango, 24 miles away.

Location Description

This project serves the Southern Ute Indian Tribal community and other residents living near and around

tribal headquarters and the Towns of Ignacio and Bayfield, CO.

Project Location (Urbanized Areas)

UZA Code	Area Name
080000	Colorado

Congressional District Information

District	State
3	Colorado

Program Plan Information

STIP/TIP

Date: 1/1/2021

Description: Rural regional plan, part of the STIP. on pages 151-152 and 171.

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: Not Provided

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$84,670
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$84,670

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA	Total Eligible	Quantity
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			Amount	Amount		
CO-2024-017-01-00	600-00 (600-A1)	OTHER PROGRAM COSTS	\$84,670.00	\$0.00	\$84,670.00	3
CO-2024-017-01-00		11.79.00 PROJECT ADMINISTRATION	\$16,934.00	\$0.00	\$16,934.00	1
CO-2024-017-01-00		11.7A.00 PREVENTIVE MAINTENANCE	\$59,269.00	\$0.00	\$59,269.00	1
CO-2024-017-01-00		30.09.01 UP TO 100% FEDERAL SHARE	\$8,467.00	\$0.00	\$8,467.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FFY23 5311 TTP Formula funds will be used for Project Administration for the Tribal Transit Program to provide transportation services throughout the Reservation. Federal Share is 100%.

Project Admin expenses are from January 1, 2024 to September 30, 2024 and include general admin expenses (salaries of project director, admin assistant, accounting/finance staff), marketing expenses, insurance premiums, office supplies, facilities and equipment rental, standard overhead rates, and costs of administering drug and alcohol testing.

No supplies will be purchased costing more than \$5,000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$16,934
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$16,934

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2024	Start project admin
End Date	9/30/2024	End project admin

Budget Activity Line Item: 30.09.01 - UP TO 100% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

FY23 5311 TTP formula will fund operating assistance for the timeframe of January 1, 2024, to September 30, 2024. Southern Ute Tribe passes through funding to Southern Colorado Community Action Agency (SoCoCAA) to operate transit services. Operating expenses include driver and dispatch salaries and fringe benefits, fuel, oil, and other direct operating costs. 100% Federal share.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$8,467
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$8,467

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2024	Start Operating Assistance
End Date	9/30/2024	End Operating Assistance

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

FY23 5311 TTP Formula funds will be used for preventive maintenance expenses for the Tribal Transit Program, including small capital purchases and supplies for preventive maintenance and repairs to

vehicles and equipment. Timeframe for expenses is from January 1, 2024 to September 30, 2024. 100% Federal share.

Any equipment exceeding \$5000 per unit and useful life greater than 1 year, and any supplies with an aggregate value greater than \$5000, will be reported as project property at closeout of this award.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$59,269
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$59,269

Milestone Name	Est. Completion Date	Description
Start Preventative Maintenance	1/1/2024	Start Preventative Maintenance
End Preventative Maintenance	9/30/2024	End Preventative Maintenance

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	1/10/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$59,269.00	\$59,269.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	1/10/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 100% FEDERAL SHARE	1	\$8,467.00	\$8,467.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Date Description	Date
Class IIc CE Approved	1/10/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.79.00	PROJECT ADMINISTRATION	1	\$16,934.00	\$16,934.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Murtaza Naqvi

Comment Type	DOL Review for Information
Date	7/9/2024
Comment	Mikki Naranjo Contracts & Grants Manager Southern Ute Indian Tribe 970-563-2441 minaranjo@southernute-nsn.gov

Application Review Comments

Comment By Murtaza Naqvi

Comment Type	Line Item Review
Date	1/5/2024
Project Title	Ignacio Area Dial a Ride Operations, Project Admin and Preventive Maintenance
Project Number	CO-2024-017-01-00
Comment	Updated Funding Amount to account for full apportionment.

Comment By Murtaza Naqvi

Comment Type	Line Item Review
Date	1/8/2024
Project Title	Ignacio Area Dial a Ride Operations, Project Admin and Preventive Maintenance
Project Number	CO-2024-017-01-00
Comment	Updated funding amount to account for full apportionment. Previous amount put the award amount over the total available amount by \$540. Made this change in concurrence with Lori over the phone.