

Application

Federal Award Identification Number (FAIN)	MN-2020-012-04
Temporary Application Number	6935-2020-3
Award Name	5311c Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	4/2/2020
Original Period of Performance End Date	3/31/2024
Current Period of Performance End Date	3/30/2026 Revision #: 2 Approved?: No

Part 1: Recipient Information

Name: Fond Du Lac Reservation

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6935	Indian Tribe	FOND DU LAC RESERVATION	J37EL8RWMHK6	039582366

Location Type	Address	City	State	Zip
Headquarters	1785 Highway 210	Carlton	MN	55718
Physical Address	1785 HIGHWAY 210	CARLTON	MN	55718
Mailing Address	1720 BIG LAKE RD	CLOQUET	MN	55720

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311c Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
MN-2020-012-04	Final Concurrence / Reservation	Grant	Region 5	5/30/2024	5/30/2024	No

Application Executive Summary

Amendment #4: Funds from FY23 5311c of \$79,125 added to pay for the cost overage of a replacement bus, mainly funded from grant MN-2021-005-00 which is now closed. No changes to Project #1, only addition of Project #2 with one line item. No STIP required.

Attached documents include: FY23 5311c Tribal Transit Formula Apportionment table.

Amendment #3 11/09/2022, Moving \$55,091 of FY20 5311c Tribal Formula funds from Operating assistance to new capital line items for interior and exterior cameras and doors with electronic locking devices. This new equipment will be installed on an existing structure and does not require any construction. These funds are 100% federal share, no local match is required. No STIP/TIP required for Tribal Transit Program.

Amendment #2 06/17/2022: Shifting \$5000.00 FY20 Tribal formula funds to a new line item for maintenance equipment including Lawnmower, snow blower and other items.

Amendment #1:

This Administrative Amendment de-obligates \$25,800 federal from the grant as directed by TPM.

Original Grant:

The purpose of this award is to provide support for transit operations for calendar year 2021.

FY2020 Formula funds from the 5311(c) programs will be used for transit operations for calendar year 2021. These funds total \$426,620. There is no local match from the Fond du Lac Tribe for the 5311(c).

Also, a copy of the FY 2020 TTP Allocation Table 10 has been included with the grant.

Please see the attached resolution for support.

This application for the Fond du Lac Reservation Section 5311 federal assistance is consistent with the federal register.

This application does not include funds for Research and/or Development Activities.

Indirect costs will not be applied to this application and its scope of work.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

Cameras and door security install and equipment.

Activities to be performed:

Exterior and interior cameras installed. Doors installation with electronic devices.

Expected outcomes:

Better security for building and equipment.

Intended beneficiaries:

Bus maintenance, employee and company safety.

Subrecipient Activities:

none

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jason	Hollinday	Planning Director	jasonhollinday@fdlrez.com	(218) 878-2625
Colin	Korst	Transportation Program Specialist	colin.korst@dot.gov	(312) 353-3853

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$479,945
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$479,945

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-012-01-04	300-00 (300-A1) OPERATING ASSISTANCE	\$340,729.00	\$0.00	\$340,729.00	1
MN-2020-012-01-04	30.09.01 UP TO 50% FEDERAL SHARE	\$340,729.00	\$0.00	\$340,729.00	1
MN-2020-012-01-04	600-00 (600-A2) OTHER PROGRAM COSTS	\$60,091.00	\$0.00	\$60,091.00	12
MN-2020-012-01-04	11.32.07 ACQUIRE - SURVEIL/ SECURITY EQUIP	\$55,091.00	\$0.00	\$55,091.00	11
MN-2020-012-01-04	12.42.20 PURCHASE - MISC EQUIPMENT	\$5,000.00	\$0.00	\$5,000.00	1
MN-2020-012-02-04	600-00 (600-A3) OTHER PROGRAM COSTS	\$79,125.00	\$0.00	\$79,125.00	0
MN-2020-012-02-04	11.12.04 BUY REPLACEMENT <30 FT BUS	\$79,125.00	\$0.00	\$79,125.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FY20 Section 5311c Operating Assistance and Capital

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2020-012-01-04	6935-2020-3-P1	5/30/2024	1/1/2021	12/30/2023

Project Description

Amendment #4 5/30/2024 This amendment does not affect this project.

Amendment #3 11/09/2022, Moving \$55,091 of FY20 5311c Tribal Formula funds from Operating assistance to new capital line items for interior and exterior cameras and doors with electronic locking devices. This new equipment will be installed on an existing structure and does not require any construction. These funds are 100% federal share, no local match is required. No STIP/TIP required for Tribal Transit Program.

Amendment #2 06/17/2022: Shifting \$5000.00 FY20 Tribal formula funds to a new line item for maintenance equipment including Lawnmower, snow blower and other items.

Amendment #1:

This Administrative Amendment de-obligates \$25,800 federal from the grant as directed by TPM.

Original Grant:

Operating assistance will be supported by Section 5311(c) Public Transportation on Indian Reservations formula apportionment funds in the amount of \$426620

Fond du Lac Transit runs from 5:30AM through 8:15PM on weekdays. Transit has a dispatch system for an on demand system in addition to a fix route system. Fond du Lac Transit serves the Fond du Lac Reservation with on call and fixed route services. The fixed route services reach Duluth, MN. More information can be found at www.fdlrez.com under the Transit Tab

Project Benefits

This grant request is for an operations grant that will allow the Band to better serve the Reservation and assist in funding transit operations. Fond du Lac Transit is a service provided directly by the Fond du Lac Band of Lake Superior Chippewa. Fond du Lac Transit was launched in December 2007 and has been awarded operations grants since 2010. These operations grants as well as Fond du Lac Band funds have been used to support the program over the past 10 years.

This project will make a large impact for the community by improving the access of Reservation residents and visitors to health care, shopping, education, recreation, public services and employment, because we will continue to operate Fond du Lac Transit in calendar year 2021. Our people have come to depend on Fond du Lac Transit for access to employment, educational opportunities, health care, shopping, and community services. Fond du Lac Transit has a duty to provide transportation services to all Band members who live on or near the Reservation.

The number of riders who use the transit system has been and will continue to be measured on a per-run basis and reported on a monthly basis. This impact indicator relates to our project goal of continuing and expanding public transit services offered as Fond du Lac Transit by showing trends in transit ridership. For example, this indicator was 7,831 in the first six months of 2008 (ahead of estimates). We have steadily increased our ridership over the years and we served 12,483 riders in the first six months of 2011. 2019 Fond du Lac Transit had a ridership of 27813.

Additional Information

None provided.

Location Description

Fond du Lac Transit serves the Fond du Lac Reservation. The reservation consists of 101,000 acres in Northeastern Minnesota adjacent to the City of Cloquet, approximately 25 miles southwest of Duluth. The Fond du Lac Reservation is rural and is in both Carlton and St. Louis Counties. Fond du Lac Transit also provides three scheduled trips each weekday from the reservation to Duluth. Fond du Lac Transit is directly operated by the Fond du Lac Band of Lake Superior Chippewa. Coverage for Fond du Lac Transit is the Reservation, Cloquet and three trips to Duluth.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

District	State
8	Minnesota

Program Plan Information

STIP/TIP

Date: N/A

Description: N/A

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 1/30/2017

Description: Attached is the Fond du Lac Long Range Transportation Plan. This plan is updated every 5 years. Transit is reference on pages 2, 11, 12.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$400,820
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$400,820

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
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MN-2020-012-01-04	300-00 (300-A1)	OPERATING ASSISTANCE		\$340,729.00	\$0.00	\$340,729.00	1
MN-2020-012-01-04		30.09.01	UP TO 50% FEDERAL SHARE	\$340,729.00	\$0.00	\$340,729.00	1
MN-2020-012-01-04	600-00 (600-A2)	OTHER PROGRAM COSTS		\$60,091.00	\$0.00	\$60,091.00	12
MN-2020-012-01-04		11.32.07	ACQUIRE - SURVEIL/ SECURITY EQUIP	\$55,091.00	\$0.00	\$55,091.00	11
MN-2020-012-01-04		12.42.20	PURCHASE - MISC EQUIPMENT	\$5,000.00	\$0.00	\$5,000.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	1

Extended Budget Description

Amendment #3 11/09/2022, Moving \$55,091 of FY20 5311c Tribal Formula funds from Operating assistance to new capital line items for interior and exterior cameras and doors with electronic locking devices. This new equipment will be installed on an existing structure and does not require any construction. These funds are 100% federal share, no local match is required. No STIP/TIP required for Tribal Transit Program.

Amendment #2 06/17/2022: Shifting \$5000.00 FY20 Tribal formula funds to a new line item for maintenance equipment including Lawnmower, snow blower and other items. Also adjusting operating assistance period of performance to 7/1/22 to 12/31/23. Disregard the revised completion date in the milestones, it was made in error.

Amendment #1:

This Administrative Amendment de-obligates \$25,800 federal from the grant as directed by TPM.

Original Grant:

This is an operations grant to fund salaries of drivers and dispatch staff for Fond du Lac Transit for 1/1/21 to 12/31/2021. The undertakings are consistent with allowable activities outlined in C9030.1E pages IV-14 to IV-15 and Appendix B, respectively.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Tribal Transit Program Formula	5311-1B	20509	\$340,729
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$340,729

Milestone Name	Est. Completion Date	Description
Start Date	1/1/2021	The period of operations will begin.
End Date	12/31/2021	The period of operations will end.

Budget Activity Line Item: 12.42.20 - PURCHASE - MISC EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	12.42.20	PURCHASE - MISC EQUIPMENT	ACQUISITION 1	

Extended Budget Description

Amendment #2 06/17/2022: Shifting \$5000.00 FY20 Tribal formula funds to a new line item for maintenance equipment including Lawnmower, snow blower and other items.

No STIP Required.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$5,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$5,000

Milestone Name	Est. Completion Date	Description
Getting Quotes	7/1/2022	Getting quotes all necessary Capital equipment.

Placing orders for equipment	7/8/2022	Placing orders for equipment.
Purchase completed	10/31/2022	All purchases ordered and received.

Budget Activity Line Item: 11.32.07 - ACQUIRE - SURVEIL/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.32.07	ACQUIRE - SURVEIL/ SECURITY EQUIP	ACQUISITION - BUS STATIONS/TERMINALS	11

Extended Budget Description

Amendment #3 11/09/2022, Moving \$55,091 of FY20 5311c Tribal Formula funds from Operating assistance to new capital line items for interior and exterior cameras and doors with electronic locking devices. This new equipment will be installed on an existing structure and does not require any construction. These funds are 100% federal share, no local match is required. No STIP/TIP required for Tribal Transit Program. None of these capital items have a price over \$5,000 and therefore useful life information is not required.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$55,091
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$55,091

Milestone Name	Est. Completion Date	Description
RFP	9/27/2022	Requested quotes for security cameras and doors.
Contract awarded	12/30/2022	Submit purchase order for security cameras and doors.
Final installation	12/30/2023	All cameras and doors installed and functional.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project

description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	11/14/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.01	UP TO 50% FEDERAL SHARE	1	\$340,729.00	\$340,729.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	11/14/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	12.42.20	PURCHASE - MISC EQUIPMENT	1	\$5,000.00	\$5,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	11/14/2022

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.32.07	ACQUIRE - SURVEIL/ SECURITY EQUIP	11	\$55,091.00	\$55,091.00

Project Title: FY23 5311c Tribal Transit Formula for Bus Cost Overage

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MN-2020-012-02-04	MN-2020-012-04-P2	5/30/2024	2/1/2021	1/17/2024

Project Description

Amendment #4 5/30/2024 Amended funds to cover bus cost overage from MN-2021-005-00

Project Benefits

This project will allow replacement of buses with high mileage and low reliability.

Additional Information

None provided.

Location Description

Fond du Lac Band of Lake Superior Chippewa is located in Carlton County and serves a population within Fond du Lac Transit service area. This includes parts of St. Louis County, Carlton County, City of Cloquet, and City of Duluth.

Project Location (Urbanized Areas)

UZA Code	Area Name
270000	Minnesota

Congressional District Information

District	State
8	Minnesota

Program Plan Information

STIP/TIP

Date: N/A
 Description: N/A

UPWP

Date: N/A
 Description: N/A

Long Range Plan

Date: 1/4/2023
 Description: This is the Fond du Lac Band of Lake Superior Chippewa's Long Range Transportation Plan. Fond du Lac Transit is mentioned on pages: 2, 9, 11, 20, 24, 37, and 38.

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$79,125
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$79,125

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MN-2020-012-02-04	600-00 (600-A3) OTHER PROGRAM COSTS	\$79,125.00	\$0.00	\$79,125.00	0
MN-2020-012-02-04	BUY 11.12.04 REPLACEMENT <30 FT BUS	\$79,125.00	\$0.00	\$79,125.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - BUY REPLACEMENT <30 FT BUS

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM	11.12.04	BUY REPLACEMENT <	BUY REPLACEMENTS -	0

COSTS (600-00)

30 FT BUS

CAPITAL BUS

Extended Budget Description

Adding Amendment #4 FY23 5311c Tribal Formula \$79,125 for bus cost overage grant number MN-2021-005-00. No STIP required. The vehicle is a Ford E-350 <30 Ft. Bus was delivered on 1/17/24 and paid for, these federal funds will serve as a reimburse to the Tribal General Fund. See grant MN-2021-005-00 for more information.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$79,125
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$79,125

Milestone Name	Est. Completion Date	Description
RFP/IFB issue date	2/1/2021	RFP/IFB Issue Date - Will be sending out RFP beginning 2/1/2021 review RFP and make recommendations to Reservation Business Committee to get approval contract with winning bidder.
Contract Award Date	7/31/2021	Contract with winning bidder for 2 buses.
Contract Completion Date	1/17/2024	Contract completion date - buses delivered and close out.
Final Delivery Date	1/17/2024	Buses delivered

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that

can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	6/10/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	0	\$79,125.00	\$79,125.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Colin Korst**

Comment Type DOL Review for Information

Date 6/26/2024

Comment 5311 Special Waiver for Tribal Transit Funds. No DOL Certification Required.