

Application

Federal Award Identification Number (FAIN)	NE-2024-006-00
Temporary Application Number	1815-2024-1
Award Name	NDOT - Section 5311 - Operating, Non- Operating Assistance and Vehicle Maintenance for Nebraska's Rural Areas
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	12/31/2026		
Current Period of Performance End Date	12/31/2026	Revision #: 0	Approved?: No

Budget Period Start Date	N/A
Budget Period End Date	12/31/2026

Part 1: Recipient Information

Name: Nebraska Department Of Transportation

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1815	State Agency	NEBRASKA DEPARTMENT OF ROADS	NUSELTALU9E7	808881403

Location Type	Address	City	State	Zip
Headquarters	1445 K St Rm 2316	Lincoln	NE	685082731
Physical Address	1500 Nebraska Parkway	LINCOLN	NE	68509
Mailing Address	PO BOX 94759	LINCOLN	NE	68509

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: NDOT - Section 5311 - Operating, Non- Operating Assistance and Vehicle Maintenance for Nebraska's Rural Areas

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
NE-2024-006-00	Final Concurrence / Reservation	Grant	Region 7	12/18/2023	12/18/2023	No

Application Executive Summary

This application is to request Section 5311 funds apportioned to the State of Nebraska (UZA: 310000) in the amount of \$14,346,248.

FUNDING:

Federal Funds 5311 Total - \$14,346,248

FY2022 - \$6,220,546 (lapsing funds)

FY2023 - \$8,125,702 (This will leave \$3,261,459 from the FY2023 apportionment of \$11,387,161)

Local Funds: \$4,144,364

The Local match comes the subrecipients general fund levies for the city/county government entities and general fund cash balance for the non-profit entities.

State Funds: \$4,144,363

The States match comes from Nebraska's Roads Operations cash fund.

Total Grant = \$22,634,975

Expenses for Section 5311 operating/non-operating assistance, and vehicle maintenance projects are reimbursed at the following rates:

1. Operating expenses - 50% Federal, 25% State, 25% Local Match
2. Non-operating/Administrative - 80% Federal, 10% State, 10% Local Match
3. Vehicle maintenance - 80% Federal, 10% State, 10% Local Match

Funds will be used for the rest FY2023 and FY2024.

Pre-award authority activity is expected to be incurred from 9/1/2022 to 6/30/2024.

ATTACHMENTS:

STIP-NE Transit FYs 2023-2026

ONEDOT FY23-26 NE STIP Amend 2 Jan 2023

Nebraska's 2040 Long Range Transportation Plan

App 1815-2024-1 Program of Projects

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to provide funds to reimburse Nebraska's rural areas for allowable operating, non-operating, and vehicle maintenance expenses. The rural transit systems provide public transportation services that is available to all persons within the service area in rural Nebraska.

Activities to be performed:

Funds will be used to reimburse Nebraska's rural subrecipients for allowable operating assistance which includes salaries/employer costs for drivers and dispatchers, vehicle fuel and oil; allowable non-operating assistance which includes salaries/employer costs for administrative staff, marketing, rent, utilities, office supplies and other administrative costs; and vehicle maintenance.

Expected outcomes:

To enhance the access of people in rural areas to health care, shopping, education, employment, public services and recreation. To assist in the maintenance, development, improvement, and use of public transportation systems in rural areas of Nebraska.

Intended beneficiaries:

53 rural subrecipients in Nebraska. See attached POP for details.

Subrecipient Activities:

Submitted an application for FY22-23 and FY24-25 operating/non-operating assistance. Will submit monthly invoices for reimbursement of allowable expenses.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jessica	Gibilisco-Blanchard	Program Management Specialist	j.gibiliscoblanchard@dot.gov	(816) 329-3932
June	Weyers	Federal Aid Administrator III	june.weyers@nebraska.gov	(402) 479-3680
Kelleen	Broxterman	Grants Management Specialist	kelleen.broxterman@dot.gov	

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,346,248
Local			\$4,144,364
Local/In-Kind			\$0
State			\$4,144,363
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,634,975

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2024-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$7,006,023.00	\$6,453,671.00	\$13,459,694.00	0
NE-2024-006-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$736,470.00	\$184,118.00	\$920,588.00	0
NE-2024-006-01-00	30.09.01 UP TO 50% FEDERAL SHARE- Operating	\$6,269,553.00	\$6,269,553.00	\$12,539,106.00	0
NE-2024-006-01-00	620-00 (620-A2) PROJECT ADMINISTRATION	\$7,340,225.00	\$1,835,056.00	\$9,175,281.00	0

NE- 2024- 006-01- 00	PROJECT 11.79.00 ADMINISTRATION- Non-Operating	\$7,340,225.00	\$1,835,056.00	\$9,175,281.00	0
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Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients

Project Number	Temporary Project Number	Date Created	Start Date	End Date
NE-2024-006-01-00	1815-2024-1-P1	2/13/2024	9/1/2022	6/30/2025

Project Description

This project will reimburse eligible 5311 subrecipients for allowable operating, non-operating and vehicle maintenance expenses incurred in the transport of the general public:

Allowable operating expenses includes salaries/employer costs for drivers and dispatchers, vehicle fuel and oil.

Allowable non-operating administrative costs includes salaries/employer costs for administrative staff, marketing, rent, utilities, office supplies, and other administrative costs.

Allowable vehicle maintenance costs includes car washes, repair to include but not limited to repairs on AC, tires, lifts, taillights, etc. to name a few.

Project Benefits

To continue rural public transportation that provides the general public access to and from medical appointments, grocery and other retail outlets, church and other social activities, work and other meaningful opportunities when private transportation is either not available or affordable.

Additional Information

None provided.

Location Description

Nebraska's rural areas.

Project Location (Urbanized Areas)

UZA Code	Area Name
310000	Nebraska

Congressional District Information

District	State
1	Nebraska
3	Nebraska
2	Nebraska

Program Plan Information

STIP/TIP

Date: 1/9/2023

Description: NE Transit FY 23-26 STIP

UPWP

Date: Not Provided

Description: N/A

Long Range Plan

Date: 4/1/2022

Description: Nebraska's 2040 Long Range Plan

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,346,248
Local			\$4,144,364
Local/In-Kind			\$0
State			\$4,144,363
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$22,634,975

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
NE-2024-006-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$7,006,023.00	\$6,453,671.00	\$13,459,694.00	0
NE-2024-006-01-00	11.7A.00 PREVENTIVE MAINTENANCE	\$736,470.00	\$184,118.00	\$920,588.00	0
NE-2024-006-01-00	30.09.01 UP TO 50% FEDERAL SHARE- Operating	\$6,269,553.00	\$6,269,553.00	\$12,539,106.00	0
NE-2024-006-01-00	620-00 (620- PROJECT ADMINISTRATION	\$7,340,225.00	\$1,835,056.00	\$9,175,281.00	0

00	A2)						
NE-2024-006-01-00		11.79.00	PROJECT ADMINISTRATION- Non-Operating	\$7,340,225.00	\$1,835,056.00	\$9,175,281.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION-Non-Operating

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	0

Extended Budget Description

Reimbursement of eligible non-operating or administrative costs includes salaries/employer costs for administrative staff, marketing, rent, utilities, office supplies, and other administrative costs. Reimbursement for subrecipients for rest of FY2023 and FY2024.

Federal FY2023 funds of \$7,340,225.

Pre-award authority activity is expected to be incurred from 09/01/2022 to 6/30/2024.

Non-operating/Administrative costs eligible for 80% Federal, 10% State, 10% Local

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$7,340,225
Local			\$917,528
Local/In-Kind			\$0
State			\$917,528
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$9,175,281

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2022	Date expenses can start incurring.
Reimbursement End Date	6/30/2025	This will allow all invoices to be submitted for reimbursement and payment to the subrecipients.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	0

Extended Budget Description

This project will reimburse eligible 5311 subrecipients for allowable vehicle maintenance expenses incurred for vehicles used in the transport of the general public. Reimbursement of eligible vehicle maintenance costs includes car washes, repairs to AC, tires, lifts, taillights, etc. This will allow reimbursement for subrecipients for rest of FY2023 and FY2024.

Federal FY2023 funds of \$736,470

Pre-award authority activity is expected to be incurred from 09/01/2022 to 6/30/2024.

Vehicle maintenance costs eligible for 80% Federal, 10% State, 10% Local

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$736,470
Local			\$92,059
Local/In-Kind			\$0
State			\$92,059
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$920,588

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2022	Date expenses can start incurring.
Reimbursement End Date	6/30/2025	This will allow all invoices to be submitted for reimbursement and payment to the subrecipients.

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE-Operating

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE	OPERATING ASSISTANCE	0

Extended Budget Description

Reimbursement of eligible costs such as personnel salaries, wages and employer costs for the drivers, driver substitutes and dispatcher. Eligible costs also includes vehicle fuel and oil. This will allow reimbursement for subrecipients for the rest of FY2023 and FY2024 .

Federal FY2022 funds of \$6,220,546 and FY2023 funds of \$49,007 for a total of \$6,269,553.

Pre-award authority activity is expected to be incurred from 09/01/2022 to 6/30/2024.

Operating expenses eligible for 50% Federal, 25% State, 25% Local

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$6,269,553
Local			\$3,134,777
Local/In-Kind			\$0
State			\$3,134,776
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$12,539,106

Milestone Name	Est. Completion Date	Description
Start Date	9/1/2022	Date expenses can start incurring.
Reimbursement End Date	6/30/2025	This will allow all invoices to be submitted for reimbursement and payment to the subrecipients.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/24/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.01	UP TO 50% FEDERAL SHARE- Operating	0	\$6,269,553.00	\$12,539,106.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	5/24/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	11.7A.00	PREVENTIVE MAINTENANCE	0	\$736,470.00	\$920,588.00

Finding: Class II(c) - Categorical Exclusions (C-List)

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Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	5/24/2024

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
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PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION- Non-Operating	0	\$7,340,225.00	\$9,175,281.00
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Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Kelleen Broxterman

Comment Type DOL Review for Information

Date 5/29/2024

Comment Sending to DOL for information only.

Application Review Comments

Comment By Kelleen Broxterman

Comment Type Pre-Award Manager Returns Application

Date 4/1/2024

Comment Please make the funding changes to match the available FY 2022 funding in the executive summary and throughout the grant. Make the other changes we discussed. Also have C&As completed. Thanks.

Comment By Kelleen Broxterman

Comment Type Pre-Award Manager Returns Application

Date 4/22/2024

Comment Please make the requested changes. Thanks.

Comment By Kelleen Broxterman

Comment Type Pre-Award Manager Returns Application

Date 5/23/2024

Comment Please re-transmit.

Application Review Comments

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	5/24/2024
Project Title	Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients
Project Number	NE-2024-006-01-00
Comment	The proposed operating assistance for the capital cost of contracting is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	5/24/2024
Project Title	Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients
Project Number	NE-2024-006-01-00
Comment	The proposed preventative maintenance activities on vehicles are found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(7).

Comment By Gerri Doyle

Comment Type	Environmental Findings
Date	5/24/2024
Project Title	Operating, Non-Operating Assistance and Vehicle Maintenance for Nebraska's Subrecipients
Project Number	NE-2024-006-01-00
Comment	The proposed program administration activity to support the operation of transit service is found compliant as a Categorical Exclusion under 23 CFR Part 771.118 (c)(4).