

Application

Federal Award Identification Number (FAIN)	AZ-2024-003-00
Temporary Application Number	6720-2022-2
Award Name	Navajo Nation FY2022 Sec 5311 Tribal Transit Program Formula - Operating Assistance
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A		
Original Period of Performance End Date	9/30/2026		
Current Period of Performance End Date	9/30/2026	Revision #: 0	Approved?: No

Budget Period Start Date	N/A		
Budget Period End Date	9/30/2026		

Part 1: Recipient Information

Name: NAVAJO NATION TRIBAL GOVERNMENT, THE

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
6720	Indian Tribe	Navajo Nation	KEBVZNK93W87	009001702

Location Type	Address	City	State	Zip
Headquarters	2 MILES N OF HWY 264	WINDOW ROCK	AZ	86515
Physical Address	100 PARKWAY	WINDOW ROCK	AZ	86515
Mailing Address	BOX 646	WINDOW ROCK	AZ	86515

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Navajo Nation FY2022 Sec 5311 Tribal Transit Program Formula - Operating Assistance

FAIN	Application Status	Award Type	Application Cost Center	Date Created	Last Updated Date	From TEAM?
AZ-2024-003-00	Final Concurrence / Reservation	Grant	Region 9	6/17/2022	6/17/2022	No

Application Executive Summary

This application is to request FY2022 5311 Tribal Transit Formula fund apportioned to the Navajo Nation, Arizona, in the amount of \$1,048,554.

The funds will be used for operating assistance; personnel salaries, vehicle operations & maintenance costs, supplies, travel/staff development, fuel, office supplies, material/supply, marketing, advertising, insurance, professional/consultant services.

Period of Performance: February 6, 2024 or Date of grant execution to September 30, 2026

Following is the breakdown of cost that will be utilized with this scope: Personnel costs \$638,087, Supplies (operating/office supplies, parts, fuel) \$180,184, Special Transactions (advertising/marketing, insurance premiums, staff development) \$36,435, consultant/professional services \$50,000 and indirect cost \$143,848 for total of \$1,048,554.

Federal Funds Operating Assistance FY22 5311 Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona in the amount of \$1,048,554.

Local Match: \$0.00

Total Project Cost: \$1,048,554

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is not using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: FY24 IDC rate is 15.90%. The grant amount is \$1,048,554, base is \$904,705.78. IDC to be charged is \$143,848 (\$904,705.78 federal award amount x 15.90% IDC rate). The rate is based on Indirect Cost Agreement issued by the Department of Interior. It covers the period from Oct 1, 2023 to Sep 30, 2024, data will update on annual FFR

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Award Description

Purpose

The purpose of this award is to provide operating funds for the period of February 6, 2024 to September 30, 2026 to continue to provide public transportation services in our service areas.

Activities to be performed:

The funds will be used for operating assistance; personnel salaries, vehicle operations, repair & maintenance costs, supplies, travel/staff development, fuel, office supplies, material/supply, marketing, advertising, insurance, professional/consultant services.

Expected outcomes:

Funding will allow the continued operation of transit services and expansion of some service areas and/or times.

Intended beneficiaries:

The intended beneficiaries of our transit services are the population of Navajo Transit System service area and area transit riders.

Subrecipient Activities:

None

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Phil	Yue	Transportation Program Specialist	Phil.Yue@dot.gov	(415) 734-9476
Florinda	Lynch	Senior Accountant	fglynch@navajo-nsn.gov	928-729-4108

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,048,554
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$1,048,554

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2024-003-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,048,554.00	\$0.00	\$1,048,554.00	1
AZ-2024-003-01-00	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$1,048,554.00	\$0.00	\$1,048,554.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Transit Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
AZ-2024-003-01-00	6720-2022-2-P1	9/6/2022	3/4/2024	9/30/2026

Project Description

This application is to request FY2022 5311 Tribal Transit Formula fund apportioned to the Navajo Nation, Arizona, in the amount of \$1,048,554.

The funds will be used for operating assistance; personnel salaries, vehicle operations & maintenance costs, supplies, travel/staff development, fuel, office supplies, material/supply, marketing, advertising, insurance, professional/consultant services.

Period of Performance: February 6, 2024 or Date of grant execution to September 30, 2026

Federal Funds Operating Assistance FY22 5311 Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona in the amount of \$1,048,554

Local Match: \$0.00

Total Project Cost: \$1,048,554

Following is the breakdown of cost that will be utilized with this scope: Personnel costs \$638,087, Supplies (operating/office supplies, parts, fuel) \$180,184, Special Transactions (advertising/marketing, insurance premiums, staff development) \$36,435, consultant/professional services \$50,000 and indirect cost \$143,

848 for total of \$1,048,554

Project funding:

Federal Funds (100%)

FY22 5311(c)(1)(B) TTP (UZA AZ, NM, UT) (100%) 1,048,554

This FY22 5311(c)(1)(B) Tribal Transit Program formula funds Operating Assistance grant will provide funding for Navajo Nation transit services. The funds will be used for personnel salaries, vehicle operations and maintenance costs, office expenses, training, marketing, and vehicle insurance.

Scope 1 Operating Federal Funds: FFY22 5311(c)(1)(B) Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona, in the amount of \$1,048,554

Local Match: \$0.00

Total Project Cost: \$1,048,554

Following is the breakdown of cost that will be utilized with this award: Personnel costs \$638,087, Supplies (operating/office supplies, parts, fuel) \$180,184, Special Transactions (advertising/marketing, insurance premiums, staff development) \$36,435, Consultant/professional services \$50,000 and indirect cost \$143,848 for total of \$1,048,554

Project Benefits

The Tribal Transit Program grant funds for operating assistance will be used for normal transit service program costs. The transit services are provided for the Navajo Nation with transport within The Navajo Nation and surrounding communities. Services are fixed route services and mainly include transport for employment and education.

Additional Information

This project is expected to result in safe and reliable transportation for the general public within the Navajo Transit System service areas.

Location Description

Navajo Transit System administrative/maintenance facility is located in Fort Defiance, (Apache County) AZ. Our public transportation bus routes operate on the Navajo Indian reservation located in Arizona, New Mexico, and Utah. All projects within this grant application will be carried out in the Navajo Transit System facility location and service area.

Project Location (Urbanized Areas)

UZA Code	Area Name
040000	Arizona
350000	New Mexico
490000	Utah

Congressional District Information

District	State
3	New Mexico
1	Arizona
3	Utah

Program Plan Information

STIP/TIP

Date: Not Provided

Description: TTP grant application does not require STIP plan.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,048,554
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,048,554

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
AZ-2024-003-01-00	600-00 (600-A1) OTHER PROGRAM COSTS	\$1,048,554.00	\$0.00	\$1,048,554.00	1
AZ-2024-003-01-00	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$1,048,554.00	\$0.00	\$1,048,554.00	1

Project Budget Activity Line Items**Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)**

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
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OTHER PROGRAM COSTS (600-00) 30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY) OPERATING ASSISTANCE 1

Extended Budget Description

The grant applies FY22 Section 5311 of \$1,048,554 for operating assistance. This grant funds operating assistance for direct labor, material, and overhead expenses from the time period of 02/06/2024 to 09/30/2026 for costs incurred in the operation of public transportation services. In general, operating expenses include such costs as salaries, fuel, supplies, travel, insurance, marketing/advertising, office supplies, staff development, consultant/professional services and other expenses incurred in the operation of Navajo Transit System services area. Federal Funds (100%), FY22 Federal Section 5311 TTP (UZA: AZ, NM, UT) (100%) \$1,048,554

Following is the breakdown of cost that will be utilized with this scope: Personnel costs \$638,087, Supplies (operating/office supplies, parts, fuel) \$180,184, Special Transactions (advertising/marketing, insurance premiums, staff development) \$36,435, consultant/professional services \$50,000 and indirect cost \$143,848 for total of \$1,048,554.

Federal Funds Operating Assistance FY22 5311 Tribal Transit Program formula funds apportioned to The Navajo Nation, Arizona in the amount of \$1,048,554.

Local Match: \$0.00

Total Project Cost: \$1,048,554

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Tribal Transit Program Formula	5311-1B	20509	\$1,048,554
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,048,554

Milestone Name	Est. Completion Date	Description
RFP Issue date	3/4/2024	Issue RFP for advertisement
Contract Award	6/3/2024	Award of contract/Purchase Orders
Project Start	7/29/2024	Start of Project
Project End Date	7/31/2026	End of Project
Contract Closeout	9/30/2026	Closeout of Contract

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	8/11/2023

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER PROGRAM COSTS (600-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$1,048,554.00	\$1,048,554.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Phil Yue

Comment Type DOL Review for Information

Date 4/15/2024

Comment Department of Labor (DOL) certification is not required. It is for informational purposes—as with regular Section 5311 Program applications

Application Review Comments

Comment By Phil Yue

Comment Type Pre-Award Manager Returns Application

Date 2/21/2024

Comment