

Application

Federal Award Identification Number (FAIN)	CA-2022-055-00
Temporary Application Number	1622-2022-1
Application Name	Caltrans FFY21 5311 Formula (Calif ID 0021000227)
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2026
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: CALIFORNIA, DEPARTMENT OF TRANSPORTATION

Recipient ID	Recipient OST Type	Recipient Alias	UEI	DUNS
1622	State Agency	California DOT (Caltrans) Division of Rail and Mass Transportation (DRMT)		135253776

Location Type	Address	City	State	Zip
Headquarters	1120 N ST	SACRAMENTO	CA	958145680
Physical Address	1120 N STREET RM 3300	SACRAMENTO	CA	95814
Mailing Address	1120 N STREET, ROOM 3300 - MS39	SACRAMENTO	CA	94274

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: Caltrans FFY21 5311 Formula (Calif ID 0021000227)

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
CA-2022-055-00	Final Concurrence / Reservation	Grant	12/17/2021	12/17/2021	No

Application Executive Summary

This application is to request Section 5311 funds apportioned to California for the following Federal Funding Years (FFY) and amounts: FFY 2008 funds in the amount of \$1,253,825; FFY 2009, \$29,187; FFY 2010, \$326,345; FFY 2011, \$46,009; FFY 2012, \$259,332; FFY 2013, \$13,706; FFY 2014, \$186; FFY 2015, \$300,006; FFY 2016, \$259,434; FFY 2020, \$2,866,951 and FFY 2021, \$25,726,682. The total federal funding requested in this application is \$31,081,663, which includes \$301,050 in Transportation Development (Toll) Credits. This application includes Local Match of \$78,705,563; total project costs are \$109,787,226. FFY 2021 Section 5311 funds apportioned to California in the amount of \$3,562,299 will be obligated at a later date.

•Purpose: The purpose of the award is to provide of this award is to provide operating funds for the period of July 1, 2021 to June 30 2022, provide 17 replacement vehicles, bus support equipment, perform preventative maintenance, perform environmental work, and perform state administrative and oversight activities.

•Activities to be performed: Funds will cover operating expenses such as salaries, fuel, and items having a useful life of less than one year, capital expenses for vehicles and equipment, costs of environmental work and preventive maintenance, and costs incurred by the State for its grant administration and subrecipient oversight activities.

•Expected Outcomes: Funding will allow the continued operation of transit services, timely replacement or maintenance vehicles, and oversight for federal compliance requirements.

•Intended beneficiaries: California residents using transit within the rural areas of the state.

•Subrecipient Activities: The following subrecipient agencies will operate and maintain public transit service: Alpine County Community Development, Amador Transit, Butte County Association of Governments/Butte Regional Transit, Calaveras Transit Agency, City of Arvin, City of Auburn, City of California City, City of Chowchilla , City of Corcoran-Corcoran Area Transit, City of Escalon, City of Guadalupe, City of McFarland, City of Needles, City of Ridgecrest, City of Shafter, City of Solvang, City of Taft, City of Tehachapi, City of Wasco, Colusa County Transit Agency, County of Los Angeles-Department of Public Works, County of Mariposa, County of Nevada Public Works, Transit Services Division, County of Sacramento Department of Transportation, County of Shasta Department of Public Works, County of Siskiyou, Eastern Sierra Transit Authority, El Dorado County Transit Authority, Fresno County Rural Transit Agency, Glenn County Transportation Commission, Humboldt Transit Authority, Imperial County Transportation Commission, Kern Regional Transit, Lake Transit Authority, Lassen Transit Service Agency, Livermore Amador Valley Transit Authority, Madera County, Marin County Transit District, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Morongo Basin Transit Authority, Mountain Area Regional Transit Authority, Napa Valley Transportation Authority, North County Transit District, Placer County Public Works, Plumas County Transportation Commission, Redwood Coast Transit Authority, Riverside Transit Agency, San Benito County Local Transportation Authority, San Joaquin Regional Transit District, San Luis Obispo Regional Transit Authority, San Mateo County Transit District, Santa Clara Valley Transportation Authority, Santa Cruz Metropolitan Transit District, Sierra County Transportation Commission, Sunline Transit Agency, Tehama County Transit Agency, Town of Truckee, Transit Joint Powers Authority for Merced County, Trinity County Department of Transportation ,Tuolumne County Transit Agency, Victor Valley Transit Authority, and Yolo County Transportation District.

The following subrecipient agencies will provide intercity bus service: Butte County Association of Governments/Butte Regional Transit, City of Santa Maria, City of Visalia, County of Shasta Department of Public Works, Eastern Sierra Transit Authority, Eastern Sierra Transit Authority, Fresno Council of

Governments, Greyhound Lines, Inc., Humboldt Transit Authority, Lake Transit Authority, Lake Transit Authority, Mendocino Transit Authority, Modoc Transportation Agency, Modoc Transportation Agency, Modoc Transportation Agency, Monterey-Salinas Transit, Mountain Area Regional Transit Authority, Napa Valley Transportation Authority, Plumas County Transportation Commission, Redwood Coast Transit Authority, Sunline Transit Agency, Trinity County Department of Transportation, Trinity County Department of Transportation, and Yosemite Area Regional Transportation System.

The following subrecipient agency will perform preventive maintenance: Eastern Contra Costa Transit Authority and Madera County.

The following agencies will purchase support equipment: City of Dixon, City of Ojai, and City of Rio Vista.

The following subrecipient agency will perform environmental work: Yuba-Sutter Transit Authority.

The following subrecipient agencies will purchase replacement vehicles: Humboldt Transit Authority, Kings County Area Public Transit Agency, Sonoma County Transit, City of Ojai, City of Dixon, City of Rio Vista, County of Tulare, Palo Verde Valley Transit Agency, and Yuba-Sutter Transit Authority.

Pursuant to Section 120(b)(2) of Title 23 USC, California is eligible for increased Federal Share rates of 88.53% (capital) and 55.33% (operating), depending on type of project. The required signed agreement between the Department and FHWA is saved in the Recipient Documents section of TrAMS.

Due to the use of Toll Credits in the amount of \$301,050 that are requested in lieu of Local Share, the effective Federal Share for projects to be funded by this award will differ from the usual rates.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Jean	Mazur	Transportation Program Specialist	Jean.Mazur@dot.gov	(415) 734-9456
Tracy	Harrison	Chief, Grants Management Branch	tracy_harrison@dot.ca.gov	916-654-5289

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$31,081,663
Local			\$78,705,563
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$301,050
Adjustment			\$0
Total Eligible Cost			\$109,787,226

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-055-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$3,263,478.00	\$1,401,595.00	\$4,665,073.00	17
CA-2022-055-01-00	11.12.01 Replace Bus 40-FT - 11.12.01 (DSL)	\$495,000.00	\$65,000.00	\$560,000.00	1
CA-2022-055-01-00	11.12.02 Replace Bus 35-FT - 11.12.02 (CNG)	\$280,288.00	\$279,712.00	\$560,000.00	1
CA-2022-055-01-00	11.12.02 Replace Bus 35-FT - 11.12.02 (ELC)	\$73,724.00	\$682,031.00	\$755,755.00	1
CA-2022-055-01-00	11.12.03 Replace Bus 30-FT - 11.12.03 (ELC)	\$354,907.00	\$95,093.00	\$450,000.00	1
CA-2022-055-01-00	11.12.04 Replace Bus <30-FT - 11.12.04 (GAS)	\$891,414.00	\$115,492.00	\$1,006,906.00	8
CA-2022-055-01-00	11.12.04 Replace Bus <30 - 11.12.04 (CNG)	\$1,168,145.00	\$164,267.00	\$1,332,412.00	5
CA-2022-	300- OPERATING	\$19,040,229.00	\$72,685,476.00	\$91,725,705.00	65

055-02-00	00 (300-A2)	ASSISTANCE				
CA-2022-055-02-00		Op Assistance 5311 - 30.09.02	\$19,040,229.00	\$72,685,476.00	\$91,725,705.00	65
CA-2022-055-03-00	610-00 (610-A3)	STATE / PROGRAMS ADMINISTRATION	\$3,098,044.00	\$0.00	\$3,098,044.00	1
CA-2022-055-03-00		State Administration - 11.80.00	\$3,098,044.00	\$0.00	\$3,098,044.00	1
CA-2022-055-04-00	114-00 (114-A6)	BUS: SUPPORT EQUIP AND FACILITIES	\$591,666.00	\$76,658.00	\$668,324.00	4
CA-2022-055-04-00		Environmental Docs - 11.41.03 Yuba-Sutter	\$364,491.00	\$47,224.00	\$411,715.00	1
CA-2022-055-04-00		Support Equipment - 11.42.20	\$227,175.00	\$29,434.00	\$256,609.00	3
CA-2022-055-05-00	117-00 (117-A4)	OTHER CAPITAL ITEMS (BUS)	\$242,037.00	\$82,371.00	\$324,408.00	2
CA-2022-055-05-00		Preventive Maintenance - 11.7A.00	\$242,037.00	\$82,371.00	\$324,408.00	2
CA-2022-055-06-00	634-00 (634-A5)	INTERCITY BUS TRANSPORTATION	\$4,846,209.00	\$4,459,463.00	\$9,305,672.00	24
CA-2022-055-06-00		Op Assistance 5311(f) - 30.09.02	\$4,846,209.00	\$4,459,463.00	\$9,305,672.00	24

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 Vehicle Projects

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-055-01-00	1622-2022-1-P1	12/17/2021	6/15/2020	6/30/2024

Project Description

Vehicle purchases for Rural Transit providers

Project Benefits

Support rural transit across California.

Additional Information

None provided.

Location Description

Rural Transit service areas within Humboldt, Kern, Kings, Marin, Riverside, Sacramento, Solano, Sonoma, Sutter, Tulare, Ventura, Yuba Counties.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	3	John Garamendi
California	4	Tom McClintock
California	26	Julia Brownley
California	36	Raul Ruiz
California	21	David G. Valdao

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Project Plan information is loaded in the Application Information section associated with this application. The document named "5311 Programming information 1622-202201" is an index that is cross-referenced to specific programming documents for specific projects, subrecipients and/or MPO/RTPAs.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,263,478
Local			\$1,401,595
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$4,665,073

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-055-01-00	111-00 (111-A1) BUS - ROLLING STOCK	\$3,263,478.00	\$1,401,595.00	\$4,665,073.00	17
CA-2022-055-01-00	11.12.01 Replace Bus 40-FT - 11.12.01 (DSL)	\$495,000.00	\$65,000.00	\$560,000.00	1
CA-2022-055-01-00	11.12.02 Replace Bus 35-FT - 11.12.02 (CNG)	\$280,288.00	\$279,712.00	\$560,000.00	1
CA-2022-055-01-00	11.12.02 Replace Bus 35-FT - 11.12.02 (ELC)	\$73,724.00	\$682,031.00	\$755,755.00	1
CA-2022-055-01-00	11.12.03 Replace Bus 30-FT - 11.12.03 (ELC)	\$354,907.00	\$95,093.00	\$450,000.00	1
CA-2022-055-01-00	11.12.04 Replace Bus <30-FT - 11.12.04 (GAS)	\$891,414.00	\$115,492.00	\$1,006,906.00	8
CA-2022-055-01-00	11.12.04 Replace Bus <30 - 11.12.04 (CNG)	\$1,168,145.00	\$164,267.00	\$1,332,412.00	5

Project Budget Activity Line Items

Budget Activity Line Item: 11.12.04 - Replace Bus <30 - 11.12.04 (CNG)
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Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	5

Extended Budget Description

County of Tulare is purchasing 4 buses to replace the following vehicles:

VIN: 1GBG5V1G39F406412; Mileage: 804,667; Age in years: 12

VIN: 1GBG5V1G59F406007; Mileage: 732,876; Age in years: 12

VIN: 1GBG5V1G99F405734; Mileage: 758,192; Age in years: 12

VIN: 1GBG5V1G79F406864; Mileage: 711,695 ; Age in years: 12

Estimated Useful Life of new vehicles is 7 years

City of Palo Verde Valley Transit Agency is purchasing 1 bus to replace the following vehicle:

VIN: 1FD4E4FSXGDC13189; Mileage: 149,152 ; Age in years: 6

Estimated Useful Life of new vehicle: 6 years

Federal funding \$1,168,145 (FFY 2021)

Local Share \$164,267 (local transportation funds)

Total Project costs \$1,332,412

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Compressed Natural Gas	New	less than 30 ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,168,145
Local			\$164,267
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,332,412

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	9/30/2022	
Contract Award Date	10/30/2022	
Initial Delivery Date	9/30/2023	
Final Delivery Date	10/30/2023	
Contract Completion Date	12/30/2023	

Budget Activity Line Item: 11.12.04 - Replace Bus <30-FT - 11.12.04 (GAS)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.04	BUY REPLACEMENT <30 FT BUS	BUY REPLACEMENTS - CAPITAL BUS	8

Extended Budget Description

City of Dixon is purchasing 2 buses to replace the following vehicles:

VIN: 1FDFE4FS2BDA04988; Mileage: 166,417; Age in years: 10

VIN: 1FDFE4FS8BDA63253; Mileage: 153,903; Age in years: 10

Estimated Useful Life of new vehicles: 10 years

City of Rio Vista is purchasing 2 buses to replace the following vehicles:

VIN: 1FDFE4FS7DDA72786; Mileage: 180,096 ; Age in years: 9

VIN: 1FDFE4FSOGDC51322; Mileage: 116,617 ; Age in years: 6

Estimated Useful Life of new vehicles: 9 years

City of Sonoma County Transit is purchasing 2 buses to replace the following vehicles:

VIN: 1FDFE4FSXGDS49156; Mileage: 142,679 ; Age in years: 6

VIN: 1FDFE4FS4GDC46401; Mileage: 138,394 ; Age in years: 6

Estimated Useful Life of new vehicles: 6 years

City of Yuba-Sutter Transit Authority is purchasing 2 buses to replace the following vehicles:

VIN: 1GB6G5BL0E1121823; Mileage: 176,696 ; Age in years: 7

VIN: 1GB6G5BL5E1120022; Mileage: 167,643 ; Age in years: 7

Estimated Useful Life of new vehicles: 7 years

Federal funding \$891,414 (FFY 2020: \$151,050; FFY 2021 \$740,364)

Local Share \$115,492 (Local transportation funds and CA Transportation Development Act)

Total Project costs \$1,006,906

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Gasoline	New	Less than 30 ft

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$891,414
Local			\$115,492
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,006,906

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	4/1/2022	
Contract Award Date	7/1/2022	

Initial Delivery Date	10/1/2022
Final Delivery Date	1/1/2023
Contract Completion Date	6/30/2024

Budget Activity Line Item: 11.12.03 - Replace Bus 30-FT - 11.12.03 (ELC)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.03	BUY REPLACEMENT 30-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

City of Ojai is purchasing 1 bus to replace the following vehicle:
 VIN: 1F66F5DY8B0A04518; Mileage: 210,051; Age in years: 10
 Estimated Useful Life of new vehicles is 10 years
 Federal Funding \$354,907 (FFY 2021)
 Local Share \$95,093 (Local funds)
 Total Project Cost: \$450,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Electric Propulsion	New	30

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$354,907
Local			\$95,093
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$450,000

Milestone Name	Est. Completion Date	Description
Contract Award Date	6/15/2020	
RFP/IFB Issue Date	3/31/2022	
Initial Delivery Date	3/15/2023	
Final Delivery Date	6/15/2023	
Contract Completion Date	8/23/2023	

Budget Activity Line Item: 11.12.02 - Replace Bus 35-FT - 11.12.02 (ELC)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Sonoma County Transit is purchasing 1 bus to replace the following vehicle:
 VIN: IVBH3L2386502739; Mileage: 403,875; Age in years: 12
 Estimated Useful Life of new vehicle is 14 years
 TOTAL project cost \$755,755
 Federal funding \$73,724 (FFY 2021)
 Local Share \$682,031 (CA Transportation Development Act)

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Electric Propulsion	New	35

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$73,724
Local			\$682,031
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$755,755

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	5/31/2022	
Contract Award Date	7/1/2022	
Initial Delivery Date	1/30/2023	
Final Delivery Date	2/28/2023	
Contract Completion Date	6/30/2024	

Budget Activity Line Item: 11.12.02 - Replace Bus 35-FT - 11.12.02 (CNG)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 35-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Kings County Area Public Transit Agency is purchasing 1 bus to replace the following vehicle:
 VIN: 5FYC5KB12Ac038247; Mileage: 462,154; Age in years: 11
 Estimated Useful Life of new vehicles is 10 years
 Federal funds \$\$280,288 (FFY 2021)
 Local Share \$279,712 (local transportation funds, CA Transportation Development Act)
 Total project cost \$560,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Compressed Natural Gas	N/A	35

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$280,288
Local			\$279,712
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$560,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/15/2020	RFP/IFB
Contract Award Date	5/31/2022	
Initial Delivery Date	6/30/2023	
Final Delivery Date	6/30/2023	
Contract Completion Date	8/31/2023	

Budget Activity Line Item: 11.12.01 - Replace Bus 40-FT - 11.12.01 (DSL)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS - ROLLING STOCK (111-00)	11.12.01	BUY REPLACEMENT 40-FT BUS	BUY REPLACEMENTS - CAPITAL BUS	1

Extended Budget Description

Humboldt Transit is purchasing 1 bus to replace the following vehicle:
 VIN: 15GGD2119B1176323; Mileage: 510,085 ; Age in years: 10
 Estimated Useful Life of new vehicles is 12 years
 Total Project Cost: \$560,000
 Federal Funding: 495,000 (FFY 2021)
 Local Share: \$65,000 (Local transportation funding)

Total project costs @\$560,000

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition	Vehicle Size (ft.)
N/A	Diesel Fuel	N/A	40

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$495,000
Local			\$65,000
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$560,000

Milestone Name	Est. Completion Date	Description
RFP/IFB Issue Date	10/1/2021	RFP/IFB
Contract Award Date	12/1/2021	
Initial Delivery Date	2/1/2023	
Final Delivery Date	3/1/2023	
Contract Completion Date	6/30/2024	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
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Class IIc CE Approved

Project Title: Operating Assistance (5311)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-055-02-00	1622-2022-1-P3	12/21/2021	7/1/2021	6/30/2022

Project Description

Operating assistance for rural transit agencies throughout California

Project Benefits

Support of rural transit service across California

Additional Information

Rural portions of the following California counties:

Alpine County Community Development, Amador Transit, Butte County Association of Governments/ Butte Regional Transit, Calaveras Transit Agency , City of Arvin, City of Auburn, City of California City, City of Chowchilla , City of Corcoran - Corcoran Area Transit, City of Escalon , City of Guadalupe, City of McFarland, City of Needles, City of Ridgecrest, City of Shafter, City of Solvang, City of Taft, City of Tehachapi, City of Wasco, Colusa County Transit Agency, County of Los Angeles - Department of Public Works, County of Mariposa, County of Nevada Public Works, Transit Services Division, County of Sacramento Department of Transportation, County of Shasta Department of Public Works, County of Siskiyou, Eastern Contra Costa Transit Authority, Eastern Sierra Transit Authority, El Dorado County Transit Authority, Fresno County Rural Transit Agency, Glenn County Transportation Commission, Humboldt Transit Authority, Imperial County Transportation Commission, Kern Regional Transit, Lake Transit Authority, Lassen Transit Service Agency, Livermore Amador Valley Transit Authority, Madera County, Marin County Transit District, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Morongo Basin Transit Authority, Mountain Area Regional Transit Authority, Napa Valley Transportation Authority, North County Transit District, Placer County Public Works (TART & PCT), Plumas County Transportation Commission, Redwood Coast Transit Authority, Riverside Transit Agency, San Benito County Local Transportation Authority, San Joaquin Regional Transit District, San Luis Obispo Regional Transit Authority, San Mateo County Transit District, Santa Clara Valley Transportation Authority, Santa Cruz Metropolitan Transit District, Sierra County Transportation Commission, Sunline Transit Agency, Tehama County Transit Agency, Town of Truckee, Transit Joint Powers Authority for Merced County, Trinity County Department of Transportation, Tuolumne County Transit Agency (TCTA), Victor Valley Transit Authority, Yolo County Transportation District

Location Description

Rural portions of the following California counties:

Alpine County Community Development, Amador Transit, Butte County Association of Governments/ Butte Regional Transit, Calaveras Transit Agency , City of Arvin, City of Auburn, City of California City, City of Chowchilla, City of Corcoran - Corcoran Area Transit, City of Escalon , City of Guadalupe, City of McFarland, City of Needles, City of Ridgecrest, City of Shafter, City of Solvang, City of Taft, City of Tehachapi, City of Wasco, Colusa County Transit Agency, County of Los Angeles - Department of Public Works, County of Mariposa, County of Nevada Public Works, Transit Services Division, County of Sacramento Department of Transportation, County of Shasta Department of Public Works, County of Siskiyou, Eastern Contra Costa Transit Authority, Eastern Sierra Transit Authority, El Dorado County Transit Authority, Fresno County Rural Transit Agency, Glenn County Transportation Commission, Humboldt Transit Authority, Imperial County Transportation Commission, Kern Regional Transit, Lake Transit Authority, Lassen Transit Service Agency, Livermore Amador Valley Transit Authority, Madera County, Marin County Transit District, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Morongo Basin Transit Authority, Mountain Area Regional Transit Authority, Napa Valley Transportation Authority, North County Transit District, Placer County Public Works (TART &

PCT), Plumas County Transportation Commission, Redwood Coast Transit Authority, Riverside Transit Agency, San Benito County Local Transportation Authority, San Joaquin Regional Transit District, San Luis Obispo Regional Transit Authority, San Mateo County Transit District, Santa Clara Valley Transportation Authority, Santa Cruz Metropolitan Transit District, Sierra County Transportation Commission, Sunline Transit Agency, Tehama County Transit Agency, Town of Truckee, Transit Joint Powers Authority for Merced County, Trinity County Department of Transportation , Tuolumne County Transit Agency (TCTA), Victor Valley Transit Authority, Yolo County Transportation District.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	27	Judy Chu
California	31	Pete Aguilar
California	35	Norma J Torres
California	36	Raul Ruiz
California	41	Mark Takano
California	42	Ken Calvert
California	50	Darell Issa
California	51	Juan Vargas
California	20	Jimmy Panetta

California	21	David G. Valdao
California	24	Salud Carbajal
California	46	J Luis Correa
California	49	Mike Levin
California	10	Josh Harder
California	9	Jerry McNerney

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Project Plan information is loaded in the Application Information section associated with this application. The document named "5311 Programming information 1622-202201" is an index that is cross-referenced to specific programming documents for specific projects, subrecipients and/or MPO/RTPAs.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,040,229
Local			\$72,685,476
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$167,040
Adjustment			\$0
Total Eligible Cost			\$91,725,705

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-055-02-00	300-00 (300- OPERATING ASSISTANCE	\$19,040,229.00	\$72,685,476.00	\$91,725,705.00	65

	A2)					
CA-2022-055-02-00	30.09.02	Op Assistance 5311 - 30.09.02	\$19,040,229.00	\$72,685,476.00	\$91,725,705.00	65

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - Op Assistance 5311 - 30.09.02

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	65

Extended Budget Description

65 operating assistance projects for the following 64 rural transit agencies:

Alpine County Community Development
Amador Transit
Butte County Association of Governments/ Butte Regional Transit
Calaveras Transit Agency
City of Arvin
City of Auburn
City of California City
City of Chowchilla
City of Corcoran - Corcoran Area Transit
City of Escalon
City of Guadalupe
City of McFarland
City of Needles
City of Ridgecrest
City of Shafter
City of Solvang
City of Taft
City of Tehachapi
City of Wasco
Colusa County Transit Agency
County of Los Angeles - Department of Public Works
County of Mariposa
County of Nevada Public Works, Transit Services Division
County of Sacramento Department of Transportation
County of Shasta Department of Public Works
County of Siskiyou
Eastern Sierra Transit Authority
El Dorado County Transit Authority
Fresno County Rural Transit Agency
Glenn County Transportation Commission
Humboldt Transit Authority
Imperial County Transportation Commission
Kern Regional Transit
Lake Transit Authority
Lassen Transit Service Agency

Livermore Amador Valley Transit Authority
 Madera County
 Marin County Transit District
 Mendocino Transit Authority
 Modoc Transportation Agency
 Monterey-Salinas Transit
 Morongo Basin Transit Authority
 Mountain Area Regional Transit Authority
 Napa Valley Transportation Authority
 North County Transit District
 Placer County Public Works (TART & PCT)
 Plumas County Transportation Commission
 Redwood Coast Transit Authority
 Riverside Transit Agency
 San Benito County Local Transportation Authority
 San Joaquin Regional Transit District
 San Luis Obispo Regional Transit Authority
 San Mateo County Transit District
 Santa Clara Valley Transportation Authority
 Santa Cruz Metropolitan Transit District
 Sierra County Transportation Commission
 Sunline Transit Agency
 Tehama County Transit Agency
 Town of Truckee
 Transit Joint Powers Authority for Merced County
 Trinity County Department of Transportation
 Tuolumne County Transit Agency (TCTA)
 Victor Valley Transit Authority
 Yolo County Transportation District

Federal funding \$19,040,229 (FFY 2008, \$1,253,825; FFY 2009, \$29,187; FFY 2010, \$326,345; FFY 2011, \$46,009; FFY 2012, \$259,332; FFY 2013, \$13,706; FFY 2014, \$186; FFY 2015, \$300,006; FFY 2016, \$259,434; FFY 2020, \$2,715,901; and FFY 2021, \$13,836,298), which includes \$167,040 Transportation Development Credits

Local Share \$72,685,476 (local transportation funding, CA Transportation Development Act)

Total Project costs \$91,725,705

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$19,040,229
Local			\$72,685,476
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$167,040
Adjustment			\$0
Total Eligible Cost			\$91,725,705

Milestone Name	Est. Completion	Description
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Date	
Start Date	7/1/2021
End Date	6/30/2022

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: State Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-055-03-00	1622-2022-1-P5	12/22/2021	7/1/2021	6/30/2026

Project Description

State administrative activities associated with this grant.

Project Benefits

Support rural transit and intercity bus services across California.

Additional Information

None provided.

Location Description

Admin funds for all Rural projects.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	13	Barbara Lee
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	26	Julia Brownley
California	27	Judy Chu
California	28	Adam B Schiff
California	29	Tony Cardenas
California	30	Brad Sherman
California	31	Pete Aguilar
California	32	Grace F Napolitano
California	33	Ted Lieu
California	35	Norma J Torres
California	36	Raul Ruiz
California	37	Karen Bass
California	38	Linda T Sanchez
California	40	Lucille Roybal-Allard
California	41	Mark Takano
California	42	Ken Calvert
California	43	Maxine Waters
California	47	Alan S Lowenthal
California	50	Darell Issa
California	51	Juan Vargas
California	52	Scott H Peters

California	53	Sara Jacobs
California	17	Ro Khanna
California	20	Jimmy Panetta
California	21	David G. Valdao
California	24	Salud Carbajal
California	25	Mike Garcia
California	34	Jimmy Gomez
California	39	Young Kim
California	44	Nanette Barragán
California	45	Katie Porter
California	46	J Luis Correa
California	48	Michelle Steel
California	49	Mike Levin
California	10	Josh Harder
California	9	Jerry McNerney

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Authority to spend federal funds for State administrative activities is derived through the annual Budget Act appropriation passed by the California Legislature every spring. See highlighted references on pages 1, 4, and 10-11 for current year authority.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,098,044
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0

Total Eligible Cost	\$3,098,044
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Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-055-03-00	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$3,098,044.00	\$0.00	\$3,098,044.00	1
CA-2022-055-03-00	11.80.00 State Administration - 11.80.00	\$3,098,044.00	\$0.00	\$3,098,044.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - State Administration - 11.80.00

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

State administrative activities
 Federal funding \$3,098,044 (FFY 2021)
 Local Share \$0
 Total project costs \$3,098,044

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$3,098,044
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,098,044

Milestone Name	Est. Completion	Description
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Date	
Start Date	7/1/2021
End Date	6/30/2026

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Project Title: 5311 Equipment & Facilities

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-055-04-00	1622-2022-1-P6	12/27/2021	1/20/2020	6/30/2026

Project Description

Bus Support Equipment and Facility environmental documentation (Admin/Maint Facilities)

Project Benefits

Support Rural Transit in California

Additional Information

None provided.

Location Description

Portions of Sacramento, Solano, Sutter, Ventura and Yuba Counties

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	3	John Garamendi
California	4	Tom McClintock
California	26	Julia Brownley

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Project Plan information is loaded in the Application Information section associated with this application. The document named "5311 Programming information 1622-202201" is an index that is cross-referenced to specific programming documents for specific projects, subrecipients and/or MPO/RTPAs.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$591,666
Local			\$76,658
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$668,324

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-055-04-00	114-00 (114-A6) BUS: SUPPORT EQUIP AND FACILITIES	\$591,666.00	\$76,658.00	\$668,324.00	4

CA-2022-055-04-00	11.41.03	Environmental Docs - 11.41.03 Yuba-Sutter	\$364,491.00	\$47,224.00	\$411,715.00	1
CA-2022-055-04-00	11.42.20	Support Equipment - 11.42.20	\$227,175.00	\$29,434.00	\$256,609.00	3

Project Budget Activity Line Items

Budget Activity Line Item: 11.42.20 - Support Equipment - 11.42.20

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC SUPPORT EQUIPMENT	ACQUISITION OF BUS SUPPORT EQUIP/FACILITIES	3

Extended Budget Description

Acquire miscellaneous support equipment for the following agencies:

City of Dixon (transformer, with associated installation, to facilitate electrification of the transit fleet)

City of Ojai (charging station and electrical service upgrade associated with vehicle purchase)

City of Rio Vista (transformer, with associated installation, to facilitate electrification of the transit fleet)

Federal funding \$227,175 (FFY 2021)

Local Share \$29,434 (local transportation funding)

Total Project costs \$256,609

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$227,175
Local			\$29,434
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$256,609

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Performance Period begins
End Date	6/30/2022	Performance Period ends

Budget Activity Line Item: 11.41.03 - Environmental Docs - 11.41.03 Yuba-Sutter

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.03	ENG/DESIGN - ADMIN/ MAINTENANCE FACILITY	ENGINEERING & DESIGN - BUS SUPPORT EQUIP/FACIL	1

Extended Budget Description

Yuba-Sutter Transit is preparing environmental documentation in advance of the design and construction of their Next Generation Zero-Emission Bus Operations, Maintenance & Administration Facility (ID YST10533). The new facility will make it possible for Yuba-Sutter Transit to ultimately operate a fleet of clean zero-emission buses. The use of new technologies and service delivery options will minimize the local environmental impact of the operation and maximize the benefits of public transportation by increasing options for enhanced mobility of disadvantaged populations and improving access to living wage jobs, education, health care, and essential goods and services, both locally and regionally. This project is supplemented with 5311 CRRSAA funds (see TrAMS application CA-2021-9; FAIN not yet assigned).

Federal funds \$364,491 (FFY 2021)
 Local Share \$47,424 (local transportation funds)
 Total project costs \$411,715

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$364,491
Local			\$47,224
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$411,715

Milestone Name	Est. Completion Date	Description
Start Date	1/20/2020	Performance Period begins
End Date	6/30/2026	Performance Period ends

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply

with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.03	Environmental Docs - 11.41.03 Yuba-Sutter	1	\$364,491.00	\$411,715.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	Support Equipment - 11.42.20	3	\$227,175.00	\$256,609.00

Project Title: Preventive Maint - 11.7A.00

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-055-05-00	1622-2022-1-P7	1/13/2022	7/1/2021	6/30/2022

Project Description

Perform preventive maintenance

Project Benefits

Support rural transit across California

Additional Information

Portions of Contra Costa and Madera Counties

Location Description

Rural transit service areas within Madera County and Contra Costa County.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	5	Mike Thompson
California	11	Mark DeSaulnier
California	16	Jim Costa
California	9	Jerry McNerney

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Project Plan information is loaded in the Application Information section associated with this application. The document named "5311 Programming information 1622-202201" is an index that is cross-referenced to specific programming documents for specific projects, subrecipients and/or MPO/RTPAs.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$242,037
Local			\$82,371
Local/In-Kind			\$0
State			\$0

State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$324,408

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-055-05-00	117-00 (117-A4) OTHER CAPITAL ITEMS (BUS)	\$242,037.00	\$82,371.00	\$324,408.00	2
CA-2022-055-05-00	Preventive 11.7A.00 Maintenance - 11.7A.00	\$242,037.00	\$82,371.00	\$324,408.00	2

Project Budget Activity Line Items

Budget Activity Line Item: 11.7A.00 - Preventive Maintenance - 11.7A.00

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	2

Extended Budget Description

2 preventive maintenance projects to be implemented by the following agencies:

Madera County

Eastern Contra Costa Transit Authority

Federal funds \$242,037 (FFY 2021)

Local Share \$82,371 (local transportation funds)

Total Project costs \$324,408

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$242,037
Local			\$82,371
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit	\$0
Adjustment	\$0
Total Eligible Cost	\$324,408

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	
End Date	6/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date Description	Date
Class IIc CE Approved	

Project Title: Operating Assistance 5311(f)

Project Number	Temporary Project Number	Date Created	Start Date	End Date
CA-2022-055-06-00	1622-2022-1-P8	2/23/2022	7/1/2021	6/30/2022

Project Description

Operating assistance for intercity bus service providers throughout California

Project Benefits

Support of intercity bus service across California

Additional Information

None provided.

Location Description

Portions of Butte, Del Norte, Fresno, Humboldt, Inyo, Lake, Lassen, Mariposa, Mendocino, Merced, Modoc, Mono, Monterey, Napa, Plumas, Riverside, San Bernardino, Santa Barbara, Shasta, Sonoma, Trinity, Tulare, and Tuolumne Counties of California.

Project Location (Urbanized Areas)

UZA Code	Area Name
060000	California

Congressional District Information

State	District	Representative
California	1	Doug LaMalfa
California	2	Jared Huffman
California	3	John Garamendi
California	4	Tom McClintock
California	5	Mike Thompson
California	6	Doris O Matsui
California	7	Ami Bera
California	8	Jay Obernolte
California	11	Mark DeSaulnier
California	12	Nancy Pelosi
California	14	Jackie Speier
California	15	Eric Swalwell
California	16	Jim Costa
California	18	Anna G Eshoo
California	19	Zoe Lofgren
California	22	Devin Nunes
California	23	Kevin McCarthy
California	27	Judy Chu
California	31	Pete Aguilar
California	35	Norma J Torres
California	36	Raul Ruiz
California	51	Juan Vargas
California	20	Jimmy Panetta
California	21	David G. Valdao
California	24	Salud Carbajal
California	49	Mike Levin

Program Plan Information

STIP/TIP

Date: Not Provided

Description: Project Plan information is loaded in the Application Information section associated with this application. The document named "5311 Programming information 1622-202201" is an index that is

cross-referenced to specific programming documents for specific projects, subrecipients and/or MPO/RTPAs.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,846,209
Local			\$4,459,463
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$134,010
Adjustment			\$0
Total Eligible Cost			\$9,305,672

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
CA-2022-055-06-00	634-00 (634-A5) INTERCITY BUS TRANSPORTATION	\$4,846,209.00	\$4,459,463.00	\$9,305,672.00	24
CA-2022-055-06-00	30.09.02 Op Assistance 5311(f) - 30.09.02	\$4,846,209.00	\$4,459,463.00	\$9,305,672.00	24

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - Op Assistance 5311(f) - 30.09.02

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
INTERCITY BUS	30.09.02	SLIDING SCALE (5311	OPERATING	24

TRANSPORTATION (634-00)

OR 5310 PILOT ONLY ASSISTANCE

Extended Budget Description

24 operating assistance projects for 19 intercity bus service providers including:

Butte County Association of Governments/ Butte Regional Transit

City of Santa Maria

City of Visalia

County of Shasta Department of Public Works

Eastern Sierra Transit Authority (2)

Fresno Council of Governments

Greyhound Lines, Inc.

Humboldt Transit Authority

Lake Transit Authority (2)

Mendocino Transit Authority

Modoc Transportation Agency (3)

Monterey-Salinas Transit

Mountain Area Regional Transit Authority

Napa Valley Transportation Authority

Plumas County Transportation Commission

Redwood Coast Transit Authority

Sunline Transit Agency

Trinity County Department of Transportation (2)

Yosemite Area Regional Transportation System

Federal funding \$4,846,209 (FFY 2021; includes \$134,010 in Transportation Development Credits)

Local Share \$4,459,463 (local transportation funding, CA Transportation Development Act)

Total Project costs \$9,305,672

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$4,846,209
Local			\$4,459,463
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$134,010
Adjustment			\$0
Total Eligible Cost			\$9,305,672

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2021	Performance Period begins
End Date	6/30/2022	Performance Period ends

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects that do not have a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. FTA requires a sufficient project description to support a CE determination. The project may require additional documentation to comply with other environmental laws.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Part 4: Fleet Details

Fleet Type: Fixed Route

Fleet Comments

Combination of medium buses, cutaways and lift-equipped transit vans.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	19
	B. Spares	6
	C. Total (A+B)	25
	D. Spare Ratio (B/A)	31.58%
II.	Inactive Fleet	
	A. Other	2
	B. Pending Disposal	2
	C. Total (A+B)	2
III.	Total (I.C and II.C)	27

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Jean Mazur

Comment Type DOL Review for Information

Date 3/21/2022

Comment California FY2021 5311 Formula funds application for your information.

Application Review Comments

Comment By Jean Mazur

Comment Type Pre-Award Manager Returns Application

Date 3/1/2022

Comment The application is being returned for the following items: 1) please make sure that there is some comment for each STIP/TIP listing, even if it is just that the information is provided in the application documents; 2) please make sure that the federal funds in the extended budget description include the year of funds, FY20 or FY21; 3) we need additional information about the equipment purchases to make the proper NEPA determination.

Comment By Jean Mazur

Comment Type Pre-Award Manager Returns Application

Date 3/15/2022

Comment Some updates to the application need to be made for the funds reservation. Specific information will be sent via email.

Comment By Jean Mazur

Comment Type Pre-Award Manager Returns Application

Date 3/17/2022

Comment I am returning per our email exchange.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-29, October 1, 2021)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:
(1) "Federal Transit Administration Master Agreement," FTA MA(29), <http://www.transit.dot.gov>,

- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
(3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: CALIFORNIA, DEPARTMENT OF TRANSPORTATION

Recipient ID: 1622

UEI:

DUNS: 135253776

Application Information

Federal Award Identification Number: CA-2022-055-00

Application Name: Caltrans FFY21 5311 Formula (Calif ID 0021000227)

Application Start Date: N/A

Original Award End Date: 9/30/2026

Current Award End Date: N/A

Application Executive Summary: This application is to request Section 5311 funds apportioned to California for the following Federal Funding Years (FFY) and amounts: FFY 2008 funds in the amount of \$1,253,825; FFY 2009, \$29,187; FFY 2010, \$326,345; FFY 2011, \$46,009; FFY 2012, \$259,332; FFY 2013, \$13,706; FFY 2014, \$186; FFY 2015, \$300,006; FFY 2016, \$259,434; FFY 2020, \$2,866,951 and FFY 2021, \$25,726,682. The total federal funding requested in this application is \$31,081,663, which includes \$301,050 in Transportation Development (Toll) Credits. This application includes Local Match of \$78,705,563; total project costs are \$109,787,226. FFY 2021 Section 5311 funds apportioned to California in the amount of \$3,562,299 will be obligated at a later date.

•Purpose: The purpose of the award is to provide of this award is to provide operating funds for the period of July 1, 2021 to June 30 2022, provide 17 replacement vehicles, bus support equipment, perform preventative maintenance, perform environmental work, and perform state administrative and oversight activities.

- Activities to be performed: Funds will cover operating expenses such as salaries, fuel, and items having a useful life of less than one year, capital expenses for vehicles and equipment, costs of environmental work and preventive maintenance, and costs incurred by the State for its grant administration and subrecipient oversight activities.

- Expected Outcomes: Funding will allow the continued operation of transit services, timely replacement or maintenance vehicles, and oversight for federal compliance requirements.

- Intended beneficiaries: California residents using transit within the rural areas of the state.

- Subrecipient Activities: The following subrecipient agencies will operate and maintain public transit service: Alpine County Community Development, Amador Transit, Butte County Association of Governments/Butte Regional Transit, Calaveras Transit Agency, City of Arvin, City of Auburn, City of California City, City of Chowchilla, City of Corcoran-Corcoran Area Transit, City of Escalon, City of Guadalupe, City of McFarland, City of Needles, City of Ridgecrest, City of Shafter, City of Solvang, City of Taft, City of Tehachapi, City of Wasco, Colusa County Transit Agency, County of Los Angeles-Department of Public Works, County of Mariposa, County of Nevada Public Works, Transit Services Division, County of Sacramento Department of Transportation, County of Shasta Department of Public Works, County of Siskiyou, Eastern Sierra Transit Authority, El Dorado County Transit Authority, Fresno County Rural Transit Agency, Glenn County Transportation Commission, Humboldt Transit Authority, Imperial County Transportation Commission, Kern Regional Transit, Lake Transit Authority, Lassen Transit Service Agency, Livermore Amador Valley Transit Authority, Madera County, Marin County Transit District, Mendocino Transit Authority, Modoc Transportation Agency, Monterey-Salinas Transit, Morongo Basin Transit Authority, Mountain Area Regional Transit Authority, Napa Valley Transportation Authority, North County Transit District, Placer County Public Works, Plumas County Transportation Commission, Redwood Coast Transit Authority, Riverside Transit Agency, San Benito County Local Transportation Authority, San Joaquin Regional Transit District, San Luis Obispo Regional Transit Authority, San Mateo County Transit District, Santa Clara Valley Transportation Authority, Santa Cruz Metropolitan Transit District, Sierra County Transportation Commission, Sunline Transit Agency, Tehama County Transit Agency, Town of Truckee, Transit Joint Powers Authority for Merced County, Trinity County Department of Transportation, Tuolumne County Transit Agency, Victor Valley Transit Authority, and Yolo County Transportation District.

The following subrecipient agencies will provide intercity bus service: Butte County Association of Governments/Butte Regional Transit, City of Santa Maria, City of Visalia, County of Shasta Department of Public Works, Eastern Sierra Transit Authority, Eastern Sierra Transit Authority, Fresno Council of Governments, Greyhound Lines, Inc., Humboldt Transit Authority, Lake Transit Authority, Lake Transit Authority, Mendocino Transit Authority, Modoc Transportation Agency, Modoc Transportation Agency, Modoc Transportation Agency, Monterey-Salinas Transit, Mountain Area Regional Transit Authority, Napa Valley Transportation Authority, Plumas County Transportation Commission, Redwood Coast Transit Authority, Sunline Transit Agency, Trinity County Department of Transportation, Trinity County Department of Transportation, and Yosemite Area Regional Transportation System.

The following subrecipient agency will perform preventive maintenance: Eastern Contra Costa Transit Authority and Madera County.

The following agencies will purchase support equipment: City of Dixon, City of Ojai, and City of Rio Vista.

The following subrecipient agency will perform environmental work: Yuba-Sutter Transit Authority.

The following subrecipient agencies will purchase replacement vehicles: Humboldt Transit Authority, Kings County Area Public Transit Agency, Sonoma County Transit, City of Ojai, City of Dixon, City of Rio Vista, County of Tulare, Palo Verde Valley Transit Agency, and Yuba-Sutter Transit Authority.

Pursuant to Section 120(b)(2) of Title 23 USC, California is eligible for increased Federal Share rates of 88.53% (capital) and 55.33% (operating), depending on type of project. The required signed agreement between the Department and FHWA is saved in the Recipient Documents section of TrAMS.

Due to the use of Toll Credits in the amount of \$301,050 that are requested in lieu of Local Share, the effective Federal Share for projects to be funded by this award will differ from the usual rates.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$109,787,226.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$31,081,663.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$78,705,563.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$31,081,663.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$78,705,563.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$31,081,663
Local			\$78,705,563
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$301,050
Adjustment			\$0
Total Eligible Cost			\$109,787,226

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

CALIFORNIA, DEPARTMENT OF TRANSPORTATION