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FTA

U.S. Department of Transportation

Federal Transit Administration

Application

Federal Award Identification Number (FAIN)	VT-2020-011-00
Temporary Application Number	1393-2020-11
Application Name	VTRANS FFY20 Flex to 5311 for SFY21 grants
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	N/A
Original Period of Performance End Date	9/30/2023
Current Period of Performance End Date	N/A Revision #: N/A

Part 1: Recipient Information

Name: TRANSPORTATION, VERMONT AGENCY OF

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1393	State Agency	VERMONT AGENCY OF TRANSPORTATION	809376296

Location Type	Address	City	State	Zip
Headquarters	109 STATE ST STE 5	MONTPELIER	VT	05609
Physical Address	219 N MAIN ST STE 402	BARRE	VT	05641
Mailing Address	219 N MAIN ST STE 402	BARRE	VT	05641

Union Information

Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
Address 1	25 LOUISIANA AVE., NW
Address 2	
City	WASHINGTON
State	District of Columbia

Zipcode	20001
Contact Name	TOM SEVER
Telephone	8024764159
Fax	
E-mail	
Website	
<hr/>	
Union Name	INTERNATIONAL BROTHERHOOD OF TEAMSTERS
Address 1	QUARRY HILL RD
Address 2	PO BOX 424
City	BARRE
State	Vermont
Zipcode	05641
Contact Name	RONALD RABIDEAU
Telephone	800-371-17
Fax	802-476-41
E-mail	
Website	
<hr/>	
Union Name	TEAMSTERS, LOCAL NO. 597
Address 1	149 Quarry Hill Road
Address 2	
City	Barre
State	Vermont
Zipcode	56410
Contact Name	Duane Messier
Telephone	800-371-17
Fax	802-476-41
E-mail	
Website	

Part 2: Application Information

Title: VTRANS FFY20 Flex to 5311 for SFY21 grants

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
VT-2020-011-00	Final Concurrence / Reservation	Grant	7/25/2020	7/25/2020	No

Application Executive Summary

The Vermont Agency of Transportation (VTrans) is requesting \$10,788,925 of CMAQ & STBG funds transferred into the 5311 program in FFY 2020. These funds will be used for fixed route, commuter,

demand response service in all of Vermont, with the exception of the urban areas of Chittenden County. Attached documentation for these transfers is included. Local match for these funds will come from a combination of the T-fund (State of Vermont), local municipalities and institutions and third party contracts through local appropriations.

See attached for a list of the Transit Providers detailing their sources of local match.

Federal Funding summary:

Project 1 - CMAQ transferred to 5311
CMAQ operating - \$ 1,704,954
State/Program Administration - \$725,000
Project Administration - \$ 3,164,633
Special Services Administration - \$2,797,037
Rural Transit Assistance Program - \$71,700
Project 1 total federal - \$8,463,324

Project 2 - STBG transferred to 5311
Special Services Administration - \$1,504,201
Preventive Maintenance - \$821,400
Project 2 total federal - \$2,325,601

Total \$10,788,925 FTA

1) Period of Performance:

Award Start Date: Dec 3, 2019

Award End Date: September 30, 2023

2) Designated and Direct Recipients:

This application is for FFY2020 Flex funds to be applied to Vermont Agency of Transportation (VTrans) SF21 grants for Section the 5311 program. VTrans is the designated recipient. VTrans is charged with managing all modes of transportation in Vermont and is authorized to do so under legislation, Title 25 §5094. (See attached). VTrans has oversight responsibility for the Department of Motor Vehicles, Aviation Section, Rail Program and all aspects of Highway and Bridges.

VTrans competitively selected Advance Transit, CCTA dba Green Mountain Transit, Green Mountain Community Network, Marble Valley Regional Transit District, Rural Community Transportation, Southeast Vermont Transit, Tri-Valley Transit, the Vermont Association for the Blind & Visually Impaired Service area, Woodstock Area Council on Aging dba/Thompson Senior Center (as subcontractors to sub-recipients), and VT Translines (Premier Coach). This application will cover the service types listed above. For full descriptions of sub-recipients and contact information, see attached description of services with source of local match.

These funds will not be used for research and indirect costs will be applied at approved rates.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The subrecipient, GMT (rural), has an FTA approved indirect cost rate. This will apply to GMT only. Please see the attached documentation for more information.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Stephanie	Reilly	Program Coordinator	stephanie.reilly@vermont.gov	(802) 522-7120
Eric	Papetti	Transportation Program Specialist	eric.papetti@dot.gov	617-494-3494

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$10,788,925
Local			\$2,471,905
Local/In-Kind			\$0
State			\$38,155
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,298,985

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
VT-2020-011-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$1,704,954.00	\$438,240.00	\$2,143,194.00	1
VT-2020-011-01-00	30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL	\$1,704,954.00	\$438,240.00	\$2,143,194.00	1
VT-2020-011-01-00	435-00 (435-A3) RURAL TRANSIT ASST PROGRAM	\$71,700.00	\$0.00	\$71,700.00	1
VT-2020-011-01-00	43.50.02 TECHNICAL ASSISTANCE	\$71,700.00	\$0.00	\$71,700.00	1
VT-2020-011-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$725,000.00	\$0.00	\$725,000.00	1
VT-2020-011-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$725,000.00	\$0.00	\$725,000.00	1
VT-2020-011-01-00	620-00 (620-A4) PROJECT ADMINISTRATION	\$5,961,670.00	\$1,490,419.00	\$7,452,089.00	2
VT-2020-011-01-00	11.79.00 PROJECT ADMINISTRATION	\$2,797,037.00	\$699,260.00	\$3,496,297.00	1
VT-2020-011-01-00	11.79.00 PROJECT ADMINISTRATION	\$3,164,633.00	\$791,159.00	\$3,955,792.00	1
VT-2020-011-02-00	117-00 (117-A5) OTHER CAPITAL ITEMS (BUS)	\$821,400.00	\$205,350.00	\$1,026,750.00	1
VT-2020-011-02-00	11.7A.00 PREVENTIVE MAINTENANCE	\$821,400.00	\$205,350.00	\$1,026,750.00	1
VT-2020-011-02-00	620-00 (620-A6) PROJECT ADMINISTRATION	\$1,504,201.00	\$376,051.00	\$1,880,252.00	1
VT-2020-011-02-00	11.79.00 PROJECT ADMINISTRATION	\$1,504,201.00	\$376,051.00	\$1,880,252.00	1

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY20 CMAQ Flex Funds for Operating, Admin, Special Services & RTAP

Project Number	Temporary Project Number	Date Created	Start Date	End Date
VT-2020-011-01-00	1393-2020-11-P1	8/18/2020	12/3/2019	9/30/2023

Project Description

VTrans is requesting \$8,463,324 in FFY20 CMAQ Flex Funds for CMAQ Operating, State Program Administration, Statewide Project Administration, Special Services Administration and Rural Transit Assistance Program (RTAP).

The funds will be granted to the following providers who were chosen in the VTrans competitive grant process and are in good standing with the State:

Project 1 (FFY20 CMAQ Flex funds) for:

CMAQ operating (\$1,704,954) for seven (7) Rural 5311 transit providers as follows:

- AT \$170,000
- GMCNI \$39,014
- GMT Rural \$74,040
- MVRTD \$210,000
- RCT \$316,000
- SEVT \$120,900
- TVT \$775,000

- State/Programs Administration by VTrans management - \$725,000.

- Project Administration for seven (7) Rural 5311 transit providers, one (1) demand response transportation broker the Vermont Public Transportation Association (VPTA) and administration for VTrans Drug and Alcohol testing contract - \$3,164,633.

- Project Administration for specialized services for the elderly and disabled (\$2,797,037) as follows:

- GMCNI \$363,800
- GMT Rural \$1,154,237
- MVRTD \$475,000
- RCT \$804,000

Vermont has a special focused program for extensive service for the elderly and those with disabilities (see attached POP for providers and amounts)

- Rural Transit Assistance Program (RTAP) funds provided annually to the subrecipients to assist with training needs and other technical resources - \$71,700.

Project Benefits

As these projects reflect every type of service delivered across the state in every region except the urban area of Chittenden County, each and every resident and visitor to Vermont has the chance to access general mobility whether it's for recreation, work, medical service, or personal business. These services are provided by a range of methods from the highest level commuter bus to general fixed route to demand response buses and volunteers in their own vehicles transporting their neighbors, friends and strangers.

Additional Information

VTrans and the Vermont direct recipients and subrecipients in all instances maintain in operative

condition those features of facilities and vehicles that are required to make the vehicles and facilities readily accessible to and usable by individuals with disabilities. These features include, but are not limited to, lifts and other means of access to vehicles, securement devices, mobility equipment, elevators, signage and systems to facilitate communications with persons with impaired vision or hearing have equal access to public transportation. Accessibility features shall be repaired promptly if they are damaged or out of order. When an accessibility feature is out of order, the entity takes reasonable steps to accommodate individuals with disabilities who would otherwise use the feature. Vtrans or it's subrecipients will not engage in the business of denying riders with mobility devices and instead find an ADA accessible vehicle

Location Description

All of Vermont with the exception of the small urban area in Chittenden County

Project Location (Urbanized Areas)

UZA Code	Area Name
500000	Vermont
503000	Burlington, VT

Congressional District Information

State	District	Representative
Vermont	1	Peter Welch

Program Plan Information

STIP/TIP

Date: 3/25/2020

Description: See pages 1-5 for general award information See pages 39-42 for specific listing of awards in flex

UPWP

Date: 5/20/2020

Description: The Burlington UPWP focuses on intermodal planning, 2.3.9 and development of the TIP 2.3.19 in which they determine the needs of the area.

Long Range Plan

Date: 6/11/2019

Description: See pages 20-21 for specific transit information. Goals are throughout the document

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$8,463,324
Local			\$1,890,504
Local/In-Kind			\$0

State		\$38,155
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$10,391,983

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
VT-2020-011-01-00	300-00 (300-A1) OPERATING ASSISTANCE	\$1,704,954.00	\$438,240.00	\$2,143,194.00	1
VT-2020-011-01-00	30.80.01 OPERATING ASSISTANCE - 80% CMAQ CAPITAL	\$1,704,954.00	\$438,240.00	\$2,143,194.00	1
VT-2020-011-01-00	435-00 (435-A3) RURAL TRANSIT ASST PROGRAM	\$71,700.00	\$0.00	\$71,700.00	1
VT-2020-011-01-00	43.50.02 TECHNICAL ASSISTANCE	\$71,700.00	\$0.00	\$71,700.00	1
VT-2020-011-01-00	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$725,000.00	\$0.00	\$725,000.00	1
VT-2020-011-01-00	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$725,000.00	\$0.00	\$725,000.00	1
VT-2020-011-01-00	620-00 (620-A4) PROJECT ADMINISTRATION	\$5,961,670.00	\$1,490,419.00	\$7,452,089.00	2
VT-2020-011-01-00	11.79.00 PROJECT ADMINISTRATION	\$2,797,037.00	\$699,260.00	\$3,496,297.00	1
VT-2020-011-01-00	11.79.00 PROJECT ADMINISTRATION	\$3,164,633.00	\$791,159.00	\$3,955,792.00	1

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Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

These funds will support, \$3,164,633, project administration for all transit providers:

- \$686,000 fed / \$171,500 local for TVT
- \$240,000 / \$60,000 local for AT
- \$222,384 fed / \$55,596 local for GMCNI
- \$550,000 fed / \$137,500 local for GMT (rural)
- \$550,000 fed / \$137,500 local for MVRTD
- \$225,000 fed / \$56,250 local for RCT
- \$545,000 fed / \$136,250 local for SEVT
- \$50,249 fed / \$12,563 local for VPTA coordinated transportation
- \$96,000 fed / \$24,000 state for Drug & Alcohol testing program

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$3,164,633
Local			\$767,159
Local/In-Kind			\$0
State			\$24,000
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,955,792

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Expenditures for above begin.
End Date	6/30/2021	all expenditures complete

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

These funds (\$2,797,037 federal / \$699,260 local) will be used for the additional expenses to fund the special services for seniors and those with disabilities to access essential services across the state:

- \$363,800 fed / \$90,950 local for GMCNI
- \$1,154,237 fed / \$288,560 local for GMT (Rural)
- \$475,000 fed / \$118,750 local for MVRTD
- \$804,000 fed / \$201,000 local for RCT

Funds provided to GMT cover only part of state Fiscal Year 2021. Intent is to provide additional transferred funds in FFY21.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$2,797,037
Local			\$699,260
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$3,496,297

Milestone Name	Est. Completion Date	Description
Start date	7/1/2020	Expenditures for above begin
End Date	9/30/2023	Expenditures are complete

Budget Activity Line Item: 43.50.02 - TECHNICAL ASSISTANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	RURAL TRANSIT ASST PROGRAM	1

Extended Budget Description

National Rural Transit Assistance Program (RTAP) \$71,700 @ 100% federal share. The following providers will receive:

- AT \$3,000

- TVT \$6,000
- GMCN \$6,000
- GMT Rural \$12,000
- MVRTD \$3,000
- RCT \$3,000
- SEVT \$6,000
- VPTA \$32,700

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$71,700
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$71,700

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Eligible expenditures begin.
End Date	6/30/2021	Eligible expenditures complete.

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

State Program Admin funds, \$725,000 federal at 100% federal share, will be utilized to support salaries and associated administrative expenses for staff working on the FTA programs. These costs will also include planning and technical assistance utilizing contractors.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$725,000
Local			\$0

Local/In-Kind		\$0
State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$725,000

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	Expenditures above begin
End Date	6/30/2021	all expenditures complete.

Budget Activity Line Item: 30.80.01 - OPERATING ASSISTANCE - 80% CMAQ CAPITAL

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	OPERATING ASSISTANCE	1

Extended Budget Description

These \$1,704,954 of federal funds will be used to support operating expenses at 80% federal share for those routes which either are within the 3 year timeline or fall under the 2012 budget agreement for CMAQ forever. Local match will be provided by the transit providers.

- AT Orange Line Expansion (2nd year and expires in SFY23 on 08/05/2022) \$170,000
- GMCN Wilmington to Bennington Forever CMAQ \$39,014
- GMT Rural US 2 Commuter Mid-Day service Forever CMAQ \$34,360
- GMT Rural additional operating assistance for Montpelier Downtown Transit Center (year 1 starting 12/3/2019) \$39,680
- MVRTD South Route Extension Forever CMAQ \$210,000
- RCT St. J to Lyndonville Forever CMAQ \$58,472
- RCT US2 Commuter Forever CMAQ \$196,909
- RCT Route 14 Hardwick service (2nd year and expires in SFY23 on 11/04/2022) \$56,619
- RCT New Seasonal Burke Service (Starts May 1, 2021) Prorated for 2 months of SFY21 at \$4,000
- SEVT Wilmington-Bennington and Ludlow Route Forever CMAQ \$120,900
- TVT ACTR Route 116 Commuter Forever CMAQ \$102,000
- TVT ACTR Saturday Burlington LINK Expansion Forever CMAQ \$8,500
- TVT Tri-Town Shuttle Expansion Forever CMAQ \$150,000
- TVT Middlebury Shuttle Expansion Forever CMAQ \$184,300
- TVT 89er North Commuter Forever CMAQ \$125,000
- TVT 89er South Commuter Expansion (Third year and expires in SFY22 on 08/27/2021) \$125,000
- TVT Thetford Connector Service Expansion (Start date 10/19/2020) \$80,200

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,704,954
Local			\$424,085
Local/In-Kind			\$0
State			\$14,155
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,143,194

Milestone Name	Est. Completion Date	Description
Start Date	12/3/2019	Eligible expenditures begin.
End Date	6/30/2021	Eligible expenditures complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.80.01	OPERATING ASSISTANCE - 80% CMAQ CAPITAL	1	\$1,704,954.00	\$2,143,194.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment

and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$725,000.00	\$725,000.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
RURAL TRANSIT ASST PROGRAM (435-00)	43.50.02	TECHNICAL ASSISTANCE	1	\$71,700.00	\$71,700.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction,

such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$2,797,037.00	\$3,496,297.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$3,164,633.00	\$3,955,792.00

Project Title: FFY20 STP Flex Funds for Preventive Maintenance and Special Services

Project Number	Temporary Project Number	Date Created	Start Date	End Date
VT-2020-011-02-00	1393-2020-11-P2	8/18/2020	7/1/2020	6/30/2021

Project Description

VTrans is requesting \$2,325,601 in FFY20 STP flex funds for Preventive Maintenance and Special Services Administration.

The Preventive Maintenance funds will be granted to the following providers who were chosen in the VTrans competitive grant process and are in good standing with the State:

- RCT-\$138,000
- SEVT-\$683,400

Project 2 also consists of Project Administration for specialized services for the elderly and disabled:

- TVT \$811,000
- SEVT \$559,901
- VABVI \$120,000
- GMT Rural \$13,300.

Vermont has a special focused program for extensive service for the elderly and those with disabilities (see attached POP for providers and amounts). Partial SFY21 payments for TVT and SEVT until additional FFY21 FHWA flex transfer.

Project Benefits

These funds will be used exclusively to maintain a state of good repair for preventive maintenance for all providers. This encourages a safe atmosphere and prolongs the lifespan of our vehicles and facilities. These projects reflect every type of service delivered across the state in every region except the urban area of Chittenden County, each and every resident and visitor to Vermont has the chance to access general mobility whether it's for recreation, work, medical service, or personal business. These services are provided by a range of methods from the highest level commuter bus to general fixed route to demand response buses and volunteers in their own vehicles transporting their neighbors, friends and strangers.

Additional Information

VTrans and the Vermont direct recipients and subrecipients in all instances maintain in operative condition those features of facilities and vehicles that are required to make the vehicles and facilities readily accessible to and usable by individuals with disabilities. These features include, but are not limited to, lifts and other means of access to vehicles, securement devices, mobility equipment, elevators, signage and systems to facilitate communications with persons with impaired vision or hearing have equal access to public transportation. Accessibility features shall be repaired promptly if they are damaged or out of order. When an accessibility feature is out of order, the entity takes reasonable steps to accommodate individuals with disabilities who would otherwise use the feature. Vtrans or it's subrecipients will not engage in the business of denying riders with mobility devices and instead find an ADA accessible vehicle

Location Description

This project covers all of Vermont with the exception of the urban areas in Chittenden County.

Project Location (Urbanized Areas)

UZA Code	Area Name
500000	Vermont
503000	Burlington, VT
330000	New Hampshire

Congressional District Information

State	District	Representative
Vermont	1	Peter Welch

Program Plan Information

STIP/TIP

Date: 3/25/2020

Description: See pages 1-5 for general award information See pages 39-42 for specific listing of awards in flex

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 6/11/2019

Description: See pages 20-21 for specific transit information. Goals are throughout the document

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$2,325,601
Local			\$581,401
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$2,907,002

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
VT-2020-011-02-00	117-00 (117-A5) OTHER CAPITAL ITEMS (BUS)	\$821,400.00	\$205,350.00	\$1,026,750.00	1
VT-2020-011-02-00	11.7A.00 PREVENTIVE MAINTENANCE	\$821,400.00	\$205,350.00	\$1,026,750.00	1
VT-2020-011-02-00	620-00 (620-A6) PROJECT ADMINISTRATION	\$1,504,201.00	\$376,051.00	\$1,880,252.00	1
VT-2020-011-02-00	11.79.00 PROJECT ADMINISTRATION	\$1,504,201.00	\$376,051.00	\$1,880,252.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.79.00 - PROJECT ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	PROJECT ADMINISTRATION	1

Extended Budget Description

These funds will be used for the additional project administration expenses for access to essential services for seniors and persons with disabilities across Vermont.

- TVT \$811,000 fed / \$202,750 local
- SEVT \$559,901 fed / 139,976 local
- VABVI \$120,000 fed / 30,000 local
- GMT Rural \$13,300 fed / \$3,325 local

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$1,504,201
Local			\$376,051
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,880,252

Milestone Name	Est. Completion Date	Description
Start date	7/1/2020	Expenditures for above begin.
End Date	6/30/2021	Expenditures estimated to be complete for this program.

Budget Activity Line Item: 11.7A.00 - PREVENTIVE MAINTENANCE

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	OTHER CAPITAL ITEMS (BUS)	1

Extended Budget Description

These funds will cover maintenance expenses for:

- RCT \$138,000 fed / \$34,500 local
- SEVT \$683,400 fed / \$170,850 local

PM expenses including but are not limited to oil changes, minor repairs, maintenance work, tires, transmission repairs, etc.

Local match will come from the providers. Documentation of match sources is attached to the grant.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$821,400
Local			\$205,350
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,026,750

Milestone Name	Est. Completion Date	Description
Start Date	7/1/2020	eligible expenditures begin.
End Date	6/30/2021	All expenditures complete.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or accommodated by existing facilities, that does not result in a change in functional use of the facilities, such as: equipment to be located within existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers that can be accommodated by existing facilities or by new facilities that qualify for a categorical exclusion.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OTHER CAPITAL ITEMS (BUS) (117-00)	11.7A.00	PREVENTIVE MAINTENANCE	1	\$821,400.00	\$1,026,750.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description
	Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
PROJECT ADMINISTRATION (620-00)	11.79.00	PROJECT ADMINISTRATION	1	\$1,504,201.00	\$1,880,252.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Eric Papetti

Comment Type DOL Review for Information

Date 9/9/2020

Comment Per DOL's guidelines, FTA is transmitting this grant application through TrAMS to the Department so the Department can notify the labor organizations representing potentially affected employees of the grants approval and their rights under the Special Warranty Arrangement.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: TRANSPORTATION, VERMONT AGENCY OF

Recipient ID: 1393

DUNS No: 809376296

Application Information

Federal Award Identification Number: VT-2020-011-00

Application Name: VTRANS FFY20 Flex to 5311 for SFY21 grants

Application Start Date: N/A

Original Award End Date: 9/30/2023

Current Award End Date: N/A

Application Executive Summary: The Vermont Agency of Transportation (VTrans) is requesting \$10,788,925 of CMAQ & STBG funds transferred into the 5311 program in FFY 2020. These funds will be used for fixed route, commuter, demand response service in all of Vermont, with the exception of the urban areas of Chittenden County. Attached documentation for these transfers is included. Local match for these funds will come from a combination of the T-fund (State of Vermont), local municipalities and institutions and third party contracts through local appropriations.

See attached for a list of the Transit Providers detailing their sources of local match.

Federal Funding summary:

Project 1 - CMAQ transferred to 5311
CMAQ operating - \$ 1,704,954
State/Program Administration - \$725,000
Project Administration - \$ 3,164,633
Special Services Administration - \$2,797,037
Rural Transit Assistance Program - \$71,700
Project 1 total federal - \$8,463,324

Project 2 - STBG transferred to 5311
Special Services Administration - \$1,504,201
Preventive Maintenance - \$821,400
Project 2 total federal - \$2,325,601

Total \$10,788,925 FTA

1) Period of Performance:

Award Start Date: Dec 3, 2019

Award End Date: September 30, 2023

2) Designated and Direct Recipients:

This application is for FFY2020 Flex funds to be applied to Vermont Agency of Transportation (VTrans) SF21 grants for Section the 5311 program. VTrans is the designated recipient. VTrans is charged with managing all modes of transportation in Vermont and is authorized to do so under legislation, Title 25 §5094. (See attached). VTrans has oversight responsibility for the Department of Motor Vehicles, Aviation Section, Rail Program and all aspects of Highway and Bridges.

VTrans competitively selected Advance Transit, CCTA dba Green Mountain Transit, Green Mountain Community Network, Marble Valley Regional Transit District, Rural Community Transportation, Southeast Vermont Transit, Tri-Valley Transit, the Vermont Association for the Blind & Visually Impaired Service area, Woodstock Area Council on Aging dba/Thompson Senior Center (as subcontractors to sub-recipients), and VT Translines (Premier Coach). This application will cover the service types listed above. For full descriptions of sub-recipients and contact information, see attached description of services with source of local match.

These funds will not be used for research and indirect costs will be applied at approved rates.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$13,298,985.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$10,788,925.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$2,510,060.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$10,788,925.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$2,510,060.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
FHWA Transfer to 5311Rural Area Formula	5311-2	20509	\$10,788,925
Local			\$2,471,905
Local/In-Kind			\$0
State			\$38,155
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$13,298,985

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

TRANSPORTATION, VERMONT AGENCY OF