

Application

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| Federal Award Identification Number (FAIN) | NM-2020-041-00 |
| Temporary Application Number | 6953-2020-2 |
| Application Name | FFY 2020 Pueblo of Santa Clara 5311(c) Tribal Transit Formula Operating. |
| Application Status | Final Concurrence / Reservation |
| Application Budget Number | 0 |

| | |
|--|---------------------|
| Period of Performance Start Date | N/A |
| Original Period of Performance End Date | 6/30/2022 |
| Current Period of Performance End Date | N/A Revision #: N/A |

Part 1: Recipient Information

Name: Santa Clara, Pueblo Of

| Recipient ID | Recipient OST Type | Recipient Alias | Recipient DUNS |
|--------------|--------------------|------------------------|----------------|
| 6953 | Indian Tribe | SANTA CLARA, PUEBLO OF | 075762740 |

| Location Type | Address | City | State | Zip |
|------------------|--------------|----------|-------|-------|
| Headquarters | | | | |
| Physical Address | 1 KEE ST | ESPANOLA | NM | 87532 |
| Mailing Address | P.O. BOX 580 | ESPANOLA | NM | 87532 |

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: FFY 2020 Pueblo of Santa Clara 5311(c) Tribal Transit Formula Operating.

| FAIN | Application Status | Application Type | Date Created | Last Updated Date | From TEAM? |
|----------------|---------------------------------|-------------------------|---------------------|--------------------------|-------------------|
| NM-2020-041-00 | Final Concurrence / Reservation | Grant | 9/21/2020 | 9/21/2020 | No |

Application Executive Summary

Santa Clara Pueblo is applying for \$148,698 FY20 5311c funds for operating. These grants will provide Santa Clara Pueblo funds for continued services. Santa Clara Pueblo is located in north central New Mexico and is 25 miles north of Santa Fe. Santa Clara Pueblo is a member of the North Central Regional Transit District. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the Transit District. The District spends approximately \$151,000 per year to operate this route.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

| First Name | Last Name | Title | E-mail Address | Phone |
|-------------------|------------------|-------------------------------|-----------------------|----------------|
| Abel | Ayala | Program Management Specialist | Abel.Ayala@dot.gov | (817) 978-0560 |

Application Budget Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|------------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$148,698 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$148,698 |

Application Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| NM-2020-041-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$148,698.00 | \$0.00 | \$148,698.00 | 0 |
| NM-2020-041-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE | \$148,698.00 | \$0.00 | \$148,698.00 | 0 |

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: FFY2020 Operating

| Project Number | Temporary Project Number | Date Created | Start Date | End Date |
|-------------------|--------------------------|--------------|------------|-----------|
| NM-2020-041-01-00 | 6953-2020-2-P1 | 9/21/2020 | 9/21/2020 | 3/31/2022 |

Project Description

These grants will provide the Pueblo of Santa Clara funds for services as depicted in the District's recently adopted service plan. The Pueblo of Santa Clara is located in North Central New Mexico and borders the City of Española on the southwest.

Project Benefits

These services provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the district.

Additional Information

Funds will be used for partial reimbursement of continued transit services provided with District's reserves during Fiscal years 2020-2021

Location Description

Santa Clara Pueblo (is a census-designated place (CDP) in Rio Arriba County, New Mexico, United States and a federally recognized tribe of Native American Pueblo people. The Pueblo was established about 1550 and is located in North Central New Mexico and borders the City of Española on the southwest. The pueblo is next to the Rio Grande, between Ohkay Owingeh (formerly San Juan Pueblo) to the north and San Ildefonso Pueblo to the south.

Project Location (Urbanized Areas)

| UZA Code | Area Name |
|----------|------------|
| 350000 | New Mexico |

Congressional District Information

| State | District | Representative |
|------------|----------|----------------|
| New Mexico | 3 | Ben R Lujan |

Program Plan Information

STIP/TIP

Date: 9/21/2020

Description: No specific mention of the Santa Clara Pueblo in the document.

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: 9/21/2020

Description: The Santa Clara Pueblo is mentioned on page I.

Project Control Totals

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$148,698 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |

| | | | |
|-----------------------------------|--|--|------------------|
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |
| Total Eligible Cost | | | \$148,698 |

Project Budget

| Project Number | Budget Item | FTA Amount | Non-FTA Amount | Total Eligible Amount | Quantity |
|-------------------|-------------------------------------|--------------|----------------|-----------------------|----------|
| NM-2020-041-01-00 | 600-00 (600-A1) OTHER PROGRAM COSTS | \$148,698.00 | \$0.00 | \$148,698.00 | 0 |
| NM-2020-041-01-00 | 30.09.01 UP TO 50% FEDERAL SHARE | \$148,698.00 | \$0.00 | \$148,698.00 | 0 |

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.01 - UP TO 50% FEDERAL SHARE

| Scope Name / Code | Line Item # | Line Item Name | Activity | Quantity |
|------------------------------|-------------|-------------------------|----------------------|----------|
| OTHER PROGRAM COSTS (600-00) | 30.09.01 | UP TO 50% FEDERAL SHARE | OPERATING ASSISTANCE | 0 |

Extended Budget Description

This grant will provide the Pueblo of Santa Clara funds for services as depicted in the District's service plan. The funds for FY 2020 will reimburse NCRTD for services provided during FY 2020 and 2021.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|--------------------|-------------|-----------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$148,698 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |

| | | |
|----------------------------|--|------------------|
| Adjustment | | \$0 |
| Total Eligible Cost | | \$148,698 |

| Milestone Name | Est. Completion Date | Description |
|----------------|----------------------|--|
| Start Date | 9/21/2020 | This grant will provide the Pueblo of Santa Clara funds for services as depicted in the District's service plan. The funds for FY 2020 will reimburse NCRTD for services provided during FY 2020 and FY2021. |
| End Date | 3/31/2022 | This grant will provide the Pueblo of Santa Clara funds for services as depicted in the District's service plan. The funds for FY 2020 will reimburse NCRTD for services provided during FY 2020 and FY2021. |

Project Environmental Findings

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|---|
| Finding: Class II(c) - Categorical Exclusions (C-List) |
|---|

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

| Date | Description | Date |
|------|-----------------------|-----------|
| | Class IIc CE Approved | 9/21/2020 |

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By **Abel Ayala**

Comment Type DOL Review for Information

| | |
|---------|---|
| Date | 9/22/2020 |
| Comment | Tribal Section 5311c for information Only |

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Santa Clara, Pueblo Of

Recipient ID: 6953

DUNS No: 075762740

Application Information

Federal Award Identification Number: NM-2020-041-00

Application Name: FFY 2020 Pueblo of Santa Clara 5311(c) Tribal Transit Formula Operating.

Application Start Date: N/A

Original Award End Date: 6/30/2022

Current Award End Date: N/A

Application Executive Summary: Santa Clara Pueblo is applying for \$148,698 FY20 5311c funds for operating. These grants will provide Santa Clara Pueblo funds for continued services. Santa Clara Pueblo is located in north central New Mexico and is 25 miles north of Santa Fe. Santa Clara Pueblo is a member of the North Central Regional Transit District. These services will provide greater opportunity for tribal and community members to access employment, medical, educational, and recreational facilities within the service area of the Transit District. The District spends approximately \$151,000 per year to operate this route.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is directly allocated these funds and is eligible to apply for and receive these funds directly.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$148,698.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$148,698.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$148,698.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

| Funding Source | Section of Statute | CFDA Number | Amount |
|---------------------------------------|---------------------------|--------------------|---------------|
| 5311 - Tribal Transit Program Formula | 5311-1B | 20509 | \$148,698 |
| Local | | | \$0 |
| Local/In-Kind | | | \$0 |
| State | | | \$0 |
| State/In-Kind | | | \$0 |
| Other Federal | | | \$0 |
| Transportation Development Credit | | | \$0 |
| Adjustment | | | \$0 |

| | |
|----------------------------|------------------|
| Total Eligible Cost | \$148,698 |
|----------------------------|------------------|

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Santa Clara, Pueblo Of