

Application

Federal Award Identification Number (FAIN)	MP-2019-002-01
Temporary Application Number	7169-2019-3
Application Name	5311 Operations and Program Administration FFY2018\$
Application Status	Final Concurrence / Reservation
Application Budget Number	0

Period of Performance Start Date	8/27/2019
Original Period of Performance End Date	9/30/2022
Current Period of Performance End Date	9/30/2022
	Revision #: 0

Part 1: Recipient Information

Name: Commonwealth Office Of Transit Authority

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
7169	Transit Authority	COMMONWEALTH OFFICE OF TRANSIT AUTHORITY	854858263

Location Type	Address	City	State	Zip
Headquarters				
Physical Address	ISA DRIVE FIRST FLOOR ADMINIS	SAIPAN	MP	96950
Mailing Address	CALLER BOX 10007	SAIPAN	MP	96950

Union Information

There are no union contacts for this application

Part 2: Application Information

Title: 5311 | Operations and Program Administration | FFY2018\$

FAIN	Application Status	Application Type	Date Created	Last Updated Date	From TEAM?
MP-2019-002-01	Final Concurrence / Reservation	Grant	9/1/2020	9/1/2020	No

Application Executive Summary

The COTA will utilize additional funds for the Operating Assistance with a total of \$14,611.00 to operate the current public transportation service Call-A-Ride Saipan (CARS) demand responsive shared ride service and fixed route service (Flame Tree Line 1A). This grant will have a total of \$1,347,472.00 and this grant will be using Lapsing Funds.

END OF AMENDMENT 1

This grant applies for \$1,332,861 in FFY 2018 funds to provide operating assistance and program administration funds for COTA to continue to launch its first of its kind fixed route and demand response shared ride service in addition to meet changes in demand for public transportation needs in the CNMI. FTA Circular 9040. 1F, Chapter 111-5, Section 1e and 48 U.S.C. Section 14 U.S. C 1469(a) allow insular areas such as the Northern Mariana Islands to consolidate all the above-mentioned grants to a single grant namely, Section 5311 grant program and to request a waiver of local match requirements. Consolidated grant application includes \$308,844 for Section 5311 funds for Non-urbanized Area Formula Assistance Program; \$9,406 for Section 5310; \$14,611 for Section 5311 (b) (3); and \$1,000,000 for Section 5339 funds for a total of \$1,332,861 for the Section 5311 grant program.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

Yes, this Grant will use Lapsing Funds.

Will indirect costs be applied to this application?

This award is applying an approved Indirect cost rate(s).

Indirect Rate Details: The approved rates of 9.42% and 9.23% will be charged to the applicable activity line description. The approved rates from the U.S. Department of the Interior has been uploaded as a supporting document for this grant application.

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title	E-mail Address	Phone
Ryan	Fujii	General Engineer	ryan.fujii@dot.gov	202-748-0677
Alfreda	Camacho	Special Assistant for Public Trans.	alfreda.camacho@gov.mp	670-664-2682

Application Budget Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,347,472
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,347,472

Application Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2019-002-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$641,222.00	\$0.00	\$641,222.00	1
MP-2019-002-01-01	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$641,222.00	\$0.00	\$641,222.00	1
MP-2019-002-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$373,389.00	\$0.00	\$373,389.00	1

MP-2019-002-01-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$373,389.00	\$0.00	\$373,389.00	1
MP-2019-002-02-01	610-00 (610-A3)		STATE / PROGRAMS ADMINISTRATION	\$308,844.00	\$0.00	\$308,844.00	1
MP-2019-002-02-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$308,844.00	\$0.00	\$308,844.00	1
MP-2019-002-03-01	610-00 (610-A4)		STATE / PROGRAMS ADMINISTRATION	\$9,406.00	\$0.00	\$9,406.00	1
MP-2019-002-03-01		11.80.00	STATE OR PROGRAM ADMINISTRATION	\$9,406.00	\$0.00	\$9,406.00	1
MP-2019-002-04-01	300-00 (300-A5)		OPERATING ASSISTANCE	\$14,611.00	\$0.00	\$14,611.00	0
MP-2019-002-04-01		30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$14,611.00	\$0.00	\$14,611.00	0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: Operating Assistance & Admin

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2019-002-01-01	7169-2019-3-P1	9/1/2020	8/1/2019	9/30/2022

Project Description

Operating Assistance: COTA will utilize these funds to operate the current public transportation services: Call-A-Ride Saipan (CARS) demand response shared ride service and the fixed route service (Flame Tree Line 1A) with the possibility of launching additional routes on the fixed route service on the island of Saipan.

Project Benefits

This will allow COTA to continue operating both transit services with the continued intent to achieve COTA's goal in providing a safe, efficient, effective, flexible and responsive transit service that meet the public demand and provide a more reliable form of transportation for the community but most especially the elderly and those with disabilities.

Additional Information

The COTA would like to reallocate funds from MP-2019-002-00: Project: MP-2019-002-02-00 State or Programs Administration into the Project: MP-2019-002-01-00 Operating Assistance with a total amount of \$38,110.00. The reason for the change in scope is to fund additional transit operators to continue with the COVID-19 response efforts for transportation services in the CNMI. These funds which originally budgeted for Travel Expenses, will not be needed due to the recent public health crisis the CNMI and the rest of the world is facing today.

Location Description

The operating Assistance project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

Program Plan Information

STIP/TIP

Date: 6/25/2019

Description: TTIP 8/14/2018 , amended 5/22/2019

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,014,611
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$1,014,611

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2019-002-01-01	300-00 (300-A1) OPERATING ASSISTANCE	\$641,222.00	\$0.00	\$641,222.00	1
MP-2019-002-01-01	30.09.02 (5311 OR 5310 PILOT ONLY) SLIDING SCALE	\$641,222.00	\$0.00	\$641,222.00	1
MP-2019-002-01-01	610-00 (610-A2) STATE / PROGRAMS ADMINISTRATION	\$373,389.00	\$0.00	\$373,389.00	1
MP-2019-002-01-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$373,389.00	\$0.00	\$373,389.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	1

Extended Budget Description

These funds will be utilized for the operations of COTA's Call-A-Ride Saipan demand response shared ride service and fixed route service we will be recently implemented on the Island of Saipan on April 9, 2018.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$641,222
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$641,222

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2019	
End Date	9/30/2022	

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

These monies will fund personnel-In order to carry out its local and federal mandated responsibilities and related functions to the program. COTA will use 100% FTA funds to pay for personnel salaries plus benefits of twenty-seven FTEs to include the indirect cost rate at (9.5%)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$373,389
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$373,389

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2019	
End Date	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	1	\$641,222.00	\$641,222.00

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description	Date
Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	1	\$373,389.00	\$373,389.00

Project Title: State or Programs Administration
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Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2019-002-02-01	7169-2019-3-P2	9/1/2020	8/1/2019	9/30/2022

Project Description

Administrative Operations: COTA will utilize these funds to administer this program which includes indirect cost at a rate of 9.42% and 9.23%.

Project Benefits

This will allow COTA to continue the administrative functions to assist in the operations of the Saipan Call-A-Ride service as well as the Fixed Route Public Transit Service that will soon be implemented, achieving COTA's goal in providing a safe, efficient, effective, flexible and responsive transit service that meet the public transit demand and provide a more reliable form of transportation for the community but most especially the elderly and those with disabilities.

Additional Information

None provided.

Location Description

The operating Assistance project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

Program Plan Information**STIP/TIP**

Date: 7/11/2019

Description: TTIP 8/14/2018, amended 5/22/2019

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$308,844
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$308,844

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2019-002-02-01	610-00 (610-A3) STATE / PROGRAMS ADMINISTRATION	\$308,844.00	\$0.00	\$308,844.00	1
MP-2019-002-02-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$308,844.00	\$0.00	\$308,844.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION				
Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

These monies will fund personnel-In order to carry out its local and federal mandated responsibilities and related functions to the program. COTA will use 100% FTA funds to pay for personnel salaries plus benefits of twenty-seven FTEs to include the indirect cost rate at (9.5%)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$308,844
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$308,844

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2019	
End Date	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: State Or Programs Administration

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2019-002-03-01	7169-2019-3-P3	9/1/2020	8/1/2019	9/30/2022

Project Description

Administrative Operations: COTA will utilize these funds to administer this program which includes indirect costs at a rate of 9.42% and 9.23%.

Project Benefits

This will allow COTA to continue the administrative functions to assist in the operations of the Saipan Call-A-Ride service as well as the Fixed Route Public Transit Service that will soon be implemented, achieving COTA's goal in providing a safe, efficient, effective, flexible and responsive transit service that meet the public transit demand and provide a more reliable form of transportation for the community but most especially the elderly and those with disabilities.

Additional Information

None provided.

Location Description

The operating assistance project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

Program Plan Information**STIP/TIP**

Date: 6/25/2019

Description: TTIP 8/14/2018, amended 5/22/219

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,406
Local			\$0
Local/In-Kind			\$0

State		\$0
State/In-Kind		\$0
Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$9,406

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2019-002-03-01	610-00 (610-A4) STATE / PROGRAMS ADMINISTRATION	\$9,406.00	\$0.00	\$9,406.00	1
MP-2019-002-03-01	11.80.00 STATE OR PROGRAM ADMINISTRATION	\$9,406.00	\$0.00	\$9,406.00	1

Project Budget Activity Line Items

Budget Activity Line Item: 11.80.00 - STATE OR PROGRAM ADMINISTRATION

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
STATE / PROGRAMS ADMINISTRATION (610-00)	11.80.00	STATE OR PROGRAM ADMINISTRATION	STATE / PROGRAM ADMINISTRATION	1

Extended Budget Description

These monies will fund personnel-In order to carry out its local and federal mandated responsibilities and related functions to the program. COTA will use 100% FTA funds to pay for personnel salaries plus benefits of twenty-seven FTEs to include the indirect cost rate at (9.5%)

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$9,406
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0

Other Federal		\$0
Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$9,406

Milestone Name	Est. Completion Date	Description
Start Date	8/1/2019	
End Date	9/30/2022	

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Project Title: Operating Assistance

Project Number	Temporary Project Number	Date Created	Start Date	End Date
MP-2019-002-04-01	MP-2019-002-01-P4	9/1/2020	10/1/2020	9/30/2022

Project Description

Operating Assistance: COTA will utilize these funds to operate the current public transportation services: Call-A-Ride Saipan (CARS) demand responsive shared ride service and the fixed route service (Flame Tree Line 1A) with the possibility of launching additional routes on the fixed route service on the island of Saipan.

Project Benefits

This will allow COTA to continue operating both transit services with the continued intent to achieve COTA's goal in providing a safe, efficient, effective, flexible and responsive transit service that meet the public demand and provide a more reliable form of transportation for the community but most especially the elderly and those with disabilities.

Additional Information

The COTA will utilize additional funds for the Operating Assistance with a total of \$14,611 to operate the current public transportation services Call-A-Ride Saipan (CARS) demand response shared ride service and fixed route service (Flame Tree Line 1A). This will allow COTA to continue operating while achieving the goal in providing a safe, efficient, and flexible transit service to provide a more reliable form of transportation for the community, the elderly, and people with disabilities.

Location Description

The Operating Assistance project will be located on the island of Saipan, which is an insular area in the Pacific known as the Commonwealth of the Northern Mariana Islands.

Project Location (Urbanized Areas)

UZA Code	Area Name
690000	North Mariana Islands

Congressional District Information

State	District	Representative
Commonwealth of the Northern Mariana Islands	1	Gregorio K Sablan

Program Plan Information**STIP/TIP**

Date: 7/20/2020

Description: Transportation Improvement Plan for FY2020-2023

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,611
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0

Transportation Development Credit		\$0
Adjustment		\$0
Total Eligible Cost		\$14,611

Project Budget

Project Number	Budget Item	FTA Amount	Non-FTA Amount	Total Eligible Amount	Quantity
MP-2019-002-04-01	300-00 (300-A5) OPERATING ASSISTANCE	\$14,611.00	\$0.00	\$14,611.00	0
MP-2019-002-04-01	30.09.02 SLIDING SCALE (5311 OR 5310 PILOT ONLY)	\$14,611.00	\$0.00	\$14,611.00	0

Project Budget Activity Line Items

Budget Activity Line Item: 30.09.02 - SLIDING SCALE (5311 OR 5310 PILOT ONLY)

Scope Name / Code	Line Item #	Line Item Name	Activity	Quantity
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	OPERATING ASSISTANCE	0

Extended Budget Description

The COTA will utilize these additional funds for the Operating Assistance with a total of \$14,611 to operate the current public transportation services Call-A-Ride Saipan (CARS) demand response shared ride service and fixed route service (Flame Tree Line 1A).

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$14,611
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0

Adjustment		\$0
Total Eligible Cost		\$14,611

Milestone Name	Est. Completion Date	Description
Start Date	10/1/2020	These additional funds will be utilized for the operations of the COTA's demand responsive shared ride service: Call-A-Ride Saipan (CARS) and the fixed route service Flame Tree Line IA.
End Date	9/30/2022	These funds are anticipated to be fully expended on or before September 30, 2022.

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, a significant environmental impact on the human or natural environment and are therefore categorically excluded from the requirement to prepare an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance and research; promulgation of rules, regulations, directives, or program guidance; approval of project concepts; engineering; and operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date	Description	Date
	Class IIc CE Approved	

Scope Name / Code	Line Item Number	Line Item Name	Quantity	FTA Amount	Total Eligible Cost
OPERATING ASSISTANCE (300-00)	30.09.02	SLIDING SCALE (5311 OR 5310 PILOT ONLY)	0	\$14,611.00	\$14,611.00

Part 4: Fleet Details

No fleet data exists for this application.

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Ryan Fujii

Comment Type	DOL Review for Information
Date	9/1/2020
Comment	Forwarding for DOL information. This amendment utilizes 5311 lapsing funds for operations

Application Review Comments

Comment By Ryan Fujii

Comment Type	FTA Post Review Comments for Grantee
Date	9/1/2020
Comment	Add TIP information for the new project

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Commonwealth Office Of Transit Authority

Recipient ID: 7169

DUNS No: 854858263

Application Information

Federal Award Identification Number: MP-2019-002-01

Application Name: 5311 | Operations and Program Administration | FFY2018\$

Application Start Date: 8/27/2019

Original Award End Date: 9/30/2022

Current Award End Date: 9/30/2022

Application Executive Summary: The COTA will utilize additional funds for the Operating Assistance with a total of \$14,611.00 to operate the current public transportation service Call-A-Ride Saipan (CARS) demand responsive shared ride service and fixed route service (Flame Tree Line 1A). This grant will have a total of \$1,347,472.00 and this grant will be using Lapsing Funds.
END OF AMENDMENT 1

This grant applies for \$1,332,861 in FFY 2018 funds to provide operating assistance and program administration funds for COTA to continue to launch its first of its kind fixed route and demand response shared ride service in addition to meet changes in demand for public transportation needs in the CNMI. FTA Circular 9040. 1F, Chapter 111-5, Section 1e and 48 U.S.C. Section 14 U.S. C 1469(a) allow insular areas such as the Northern Mariana Islands to consolidate all the above-mentioned grants to a single grant namely, Section 5311 grant program and to request a waiver of local match requirements. Consolidated grant application includes \$308,844 for Section 5311 funds for Non-urbanized Area Formula Assistance Program; \$9,406 for Section 5310; \$14,611 for Section 5311 (b) (3); and \$1,000,000 for Section 5339 funds for a total of \$1,332,861 for the Section 5311 grant program.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award is applying an approved Indirect cost rate(s).

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$1,347,472.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$14,611.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$1,347,472.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
5311 - Rural Area Formula	5311-1A	20509	\$1,347,472
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$1,347,472

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,
 - (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Commonwealth Office Of Transit Authority