

Application

Federal Award Identification Number (FAIN)	KY-2020-011-02		
Temporary Application Number	1003-2020-1		
Application Name	KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide		
Application Status	Final Concurrence / Reservation		
Application Budget Number	0		

Period of Performance Start Date	5/4/2020		
Original Period of Performance End Date	3/30/2023		
Current Period of Performance End Date	3/30/2024	Revision #: 1	

Part 1: Recipient Information

Name: Transportation Cabinet, Kentucky

Recipient ID	Recipient OST Type	Recipient Alias	Recipient DUNS
1003	State Agency	KENTUCKY TRANSPORTATION CABINET	927328732

Location Type	Address	City	State	Zip
Headquarters	STATE CPTL 700 CPITOL AVE STATE CAPITOL	FRANKFORT	KY	40601
Physical Address	200 MERO ST	FRANKFORT	KY	40601
Mailing Address	OFFICE TRANSPORTATION DELIVERY	FRANKFORT	KY	40601

Union Information

Union Name	NONE No Union
Address 1	0000 No Union
Address 2	
City	No Union

State	KY
Zipcode	00000
Contact Name	NONE No Union NONE No Union
Telephone	(000) 000-0000
Fax	
E-mail	nounion@none.com
Website	

Part 2: Application Information

Title: KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide

FAIN	Application Status	Appli
KY-2020-011-02	Final Concurrence / Reservation	Grant

Application Executive Summary Amendment #2 – August 28, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,802,579 (100% Federal Share). 100% Federal Share. The application scope of work includes \$1,802,579 in Capital Assistance for rural transit Agencies s

The total 5311 and 5340 apportionment is \$58,808,456. The current balance is \$31,265,178. This Amendment decreases

Total Revised Federal Share: \$31,109,857.

Currently only \$2,740,798 (9.34%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,800,000) at least another \$6,080,470 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionme

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this

KYTC/OTD is a designated recipient of the Section 5311 funds.

The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these proj C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Start date is Date of Award. End date is 03/30/2024.

Amendment #1 – July 17, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$6,413,177 (100% Federal Share). 100% Federal Share. The application scope of work includes \$469,650 in Operating Assistance, \$354,418 in Intercity Operating Assistance.

The total 5311 and 5340 apportionment is \$58,808,456, and there is a current balance of \$37,678,355. This Amendment

Total Revised Federal Share: \$29,307,278.

Currently, only \$2,797,418 (10.16%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,715,738) include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs. This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance. KYTC will not reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application are subject to review as part of the agency's next State Management Review.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on the application. The Operational Expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects. See C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate accurate records.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after purchase.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-requirements>).

Start date is Date of Award. End date is 03/30/2024.

Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101 (100% Federal Share) , this application is for 100% Federal Share. The application scope of work includes \$19,580,585 in Operating Assistance, \$1,549,516 in Intercity Operating Assistance.

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,827,272) is required, at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance. OTD will not reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

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KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on the application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Frequency of Milestone Progress Reports (MPR)

Annual

Frequency of Federal Financial Reports (FFR)

Annual

Does this application include funds for research and/or development activities?

This award does not include research and development activities.

Pre-Award Authority

This award is using Pre-Award Authority.

Does this application include suballocation funds?

Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Will this Grant be using Lapsing Funds?

No, this Grant does not use Lapsing Funds.

Will indirect costs be applied to this application?

This award does not include an indirect cost rate.

Indirect Rate Details: N/A

Requires E.O. 12372 Review

No, this application does not require E.O. 12372 Review.

Delinquent Federal Debt

No, my organization does not have delinquent federal debt.

Application Point of Contact Information

First Name	Last Name	Title
	holly.liles@dot.gov	Commu
	eric.perez@ky.gov	Staff As

Application Budget Control Totals

Funding Source
5311 - Rural Area Formula (CARES Act)
5311 Appalachian Dev Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Application Budget

Project Number	Budget Item
KY-2020-011-01-02	111-00 (111-A4) BUS - ROLLING STOCK
KY-2020-011-01-02	11.12.0
KY-2020-011-01-02	11.12.1
KY-2020-011-01-02	11.13.1
KY-2020-011-01-02	114-00 (114-A5) BUS: SUPPORT EQUIP AND FACILIT
KY-2020-011-01-02	11.41.0
KY-2020-011-01-02	11.42.0
KY-2020-011-01-02	11.42.0
KY-2020-011-01-02	11.42.0
KY-2020-011-01-02	11.42.0
KY-2020-011-01-02	11.42.2
KY-2020-011-01-02	11.44.0
KY-2020-011-01-02	11.44.0

KY-2020-011-01-02	116-00 (116-A6)	SIGNAL & COMM EQUIPMENT (BUS)	
KY-2020-011-01-02			11.62.2
KY-2020-011-01-02	300-00 (300-A3)	OPERATING ASSISTANCE	
KY-2020-011-01-02			30.09.0
KY-2020-011-01-02	634-00 (634-A1)	INTERCITY BUS TRANSPORTATION	
KY-2020-011-01-02			11.12.1
KY-2020-011-01-02			11.13.1
KY-2020-011-01-02			11.42.0
KY-2020-011-01-02			30.09.0
KY-2020-011-01-02	648-00 (648-A2)	APPALACHIAN DEV PUB TRANSIT A	
KY-2020-011-01-02			30.09.0

Discretionary Allocations

This application does not contain discretionary allocations.

Part 3: Project Information

Project Title: 5311 CARES Act Operating, Intercity Operating, & Appalachian Op

Project Number

KY-2020-011-01-02

Temporary Project Number

1003-2020-1-P1

Project Description

Amendment #2 – August 28, 2020

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See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

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reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance

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Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101. Per the CARES Act, the grant re Assistance, and \$1,764,000 in Appalachian Operating Assistance for rural transit Agencies statewide in Kentucky. Please

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,808,456) at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionme

KYTC/OTD is a designated recipient of the Section 5311 funds.

Please see attached POP for Subrecipient Names and Amounts by ALI Code and Scope.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on c application are subject to review as part of the agency's next State Management Review.

Project Benefits

These rural transit agencies will provide transportation services statewide to the general public in the rural areas of Kentu dialysis), Veterans, shopping, etc. Intercity Bus services support the connection between non-urbanized areas and the lar

Per the US Census, the poverty rate in Kentucky is 16.9% which means that approximately 1 out of ever 6 residents live i unemployed and need access to reliable transportation for medical care, groceries, prescriptions, and other necessities.

Transit Agencies, in Kentucky, serve a large portion of the Appalachian Region. 54 of Kentucky's 120 Counties are in the combined Appalachian Region (Alabama, Kentucky, Tennessee, Virginia, and West Virginia) was found to be 19.7%. How versus the rest of the state. The county in Kentucky with the highest poverty rate is Owsley County, an Appalachian Coun poverty in the Appalachian Region was that the employed population make significantly lower amounts of money than the

Additional Information

None provided.

Location Description

The Kentucky Transportation Cabinet/Office of Transportation Delivery (KYTC/OTD), the Designated Recipient, is applying for funding for KY-001, KY-002, KY-004, KY-005, and KY-006. All congressional districts are represented, with the exception of KY-003,

Project Location (Urbanized Areas)

UZA Code
210000

Congressional District Information

State	District
Kentucky	1
Kentucky	2
Kentucky	4
Kentucky	5
Kentucky	6

Program Plan Information**STIP/TIP**

Date: 11/29/2018

Description: STIP Federally Approved on 11/29/2018

UPWP

Date: N/A

Description: N/A

Long Range Plan

Date: N/A

Description: N/A

Project Control Totals

Funding Source
5311 - Rural Area Formula (CARES Act)
5311 Appalachian Dev Formula (CARES Act)
Local
Local/In-Kind
State

State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Project Budget

Project Number	Budget Item
KY-2020-011-01-02	111-00 (111-A4) BUS - ROLLING STOCK
KY-2020-011-01-02	11.12.02
KY-2020-011-01-02	11.12.15
KY-2020-011-01-02	11.13.15
KY-2020-011-01-02	114-00 (114-A5) BUS: SUPPORT EQUIP AND FACILITIES
KY-2020-011-01-02	11.41.03
KY-2020-011-01-02	11.42.06
KY-2020-011-01-02	11.42.07
KY-2020-011-01-02	11.42.08
KY-2020-011-01-02	11.42.09
KY-2020-011-01-02	11.42.20
KY-2020-011-01-02	11.44.01
KY-2020-011-01-02	11.44.03
KY-2020-011-01-02	116-00 (116-A6) SIGNAL & COMM EQUIPMENT (BUS)
KY-2020-011-01-02	11.62.20
KY-2020-011-01-02	300-00 (300-A3) OPERATING ASSISTANCE
KY-2020-011-01-02	30.09.08
KY-2020-011-01-02	634-00 (634-A1) INTERCITY BUS TRANSPORTATION
KY-2020-011-01-02	11.12.15
KY-2020-011-01-02	11.13.15
KY-2020-011-01-02	11.42.09
KY-2020-011-01-02	30.09.08
KY-2020-011-01-02	648-00 (648-A2) APPALACHIAN DEV PUB TRANSIT A

Project Budget Activity Line Items

Budget Activity Line Item: 11.41.03 - ENG/DESIGN - ADMIN/MAINTENANCE FACILITY

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.03	ENG/DESIGN - ADMIN/M

Extended Budget Description

Amendment #2 – August 28, 2020

Add this ALI Code with a \$107,400 Federal Share. 100% Federal Share. Added quantity of 1. See attached POP for Sub

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
Start Date	11/1/2020
Contract Award Date	1/1/2021
End Date	12/31/2021

Budget Activity Line Item: 11.44.03 - REHAB/RENOVATE - ADMIN/MAINT FACILITY

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.03	REHAB/RENOVATE

Extended Budget Description

Amendment #2 – August 28, 2020

Add this ALI Code with a \$1,695,179 Federal Share. 100% Federal Share. Added quantity of 2. See attached POP for Su

1200 S. Clay Street Hopkinsville, KY and owned by Pennyrile Allied Community Services (was purchased, previously, wit

1962). The Total Federal Amount was \$500,000 (KY-04-0037) and it was matched with toll credits as the 20% match. Now renovation will add a wash bay, employee breakroom, restrooms, training room, and additional offices. The wash bay is needed to allow PACS to renovate and remodel the Transportation Annex building to meet current, emerging, and future public transportation needs necessary for a Transportation Agency. The facility renovations would include administrative space, training and break room to provide the necessary maintenance and clean up required year-round for their vehicles. The facility renovations will improve FTA for the proposed PACS project. FTA has determined that the appropriate NEPA class of action for this proposed project is

203 High Street Flemingsburg, KY and owned by Licking Valley Community Action Program (was not purchased with FTA). The warehouse to have a maintenance/shop area to work on 90 plus fleet, ADA restrooms to be installed, meeting/training room for drivers and transportation staff. Recently, LVCAP received a grant for shop equipment that has already been purchased, and LVCAP is ready to install a new shop for the proposed LVCAP project. FTA has determined that the appropriate NEPA class of action for this proposed project is

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
Start Date	11/1/2020
IFB Issue Date	11/15/2020
Contract Award Date	12/31/2020
End Date	9/30/2021

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share (CARE Act)

Scope Name / Code	Line Item #	Line Item Name
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF

Extended Budget Description

Amendment #1 – July 10, 2020

Add \$354,418 Federal Share for a Revised Federal Share Total of \$1,903,934. See attached POP for Subrecipient Name

Currently, only \$2,797,418 (9.64%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,800,000) include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act App

Original Application

Intercity Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not

legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope,

Currently only \$1,549,516 (6.82%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,8 at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionme

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
Start Date	2/1/2020
End Date	6/30/2021

Budget Activity Line Item: 30.09.01 - Appalachian Operating (CARES Act) 100% Federal Share

Scope Name / Code	Line Item #	Lin
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	UF

Extended Budget Description

Appalachian Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including bu advertising, legal fees, etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope,

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source
5311 Appalachian Dev Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit

Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
Start Date	2/1/2020
End Date	6/30/2021

Budget Activity Line Item: 30.09.08 - EMER RELIEF - OPERATING ASSIST - 100% Fed Share

Scope Name / Code	Line Item #	Line Item Name
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPERATI

Extended Budget Description

Amendment #1 – July 10, 2020

Add \$469,650 Federal Share for a Revised Federal Share Total of \$20,050,235. See attached POP for Subrecipient Name

Original Application

Operating funds will cover expenses for existing service incurred beginning 2/1/2020-6/30/2021, including but not limited to etc. See attached POP for Subrecipient Names and Amounts by ALI Code & Scope.

Will 3rd Party contractors be used to fulfill this activity line item?

No, 3rd Party Contractors will not be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
Start Date	2/1/2020
End Date	6/30/2021

Budget Activity Line Item: 11.12.02 - BUY REPLACEMENT 35-FT BUS

Scope Name / Code	Line Item #	Line Item Name
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPLACEMENT 3

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$332,912 Federal Share. Added quantity of 4. See attached POP for Subrecipient Names and A

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition
N/A	Gasoline	New

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	3/5/2019
Contract Award Date	5/13/2019
Initial Delivery Date	3/31/2021
Final Delivery Date	6/30/2021
Contract Completion Date	9/30/2021

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	Line Item Name
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPLACEMENT

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$1,786,110 Federal Share. Added quantity of 41. See attached POP for Subrecipient Names and A

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition
N/A	Gasoline	New

Funding Source

5311 - Rural Area Formula (CARES Act)

Local

Local/In-Kind

State

State/In-Kind

Other Federal

Transportation Development Credit

Adjustment

Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	3/5/2019
Contract Award Date	5/14/2019
Initial Delivery Date	12/31/2020
Final Delivery Date	6/30/2021
Contract Completion Date	9/30/2021

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN FOR SVC

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$911,741 Federal Share. Added quantity of 23. See attached POP for Subrecipient Names and

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Propulsion	Fuel Type	Vehicle Condition
N/A	Gasoline	New

Funding Source

5311 - Rural Area Formula (CARES Act)

Local

Local/In-Kind

State

State/In-Kind

Other Federal

Transportation Development Credit

Adjustment

Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	3/5/2019
Contract Award Date	5/14/2019
Initial Delivery Date	12/31/2020
Final Delivery Date	6/30/2021
Contract Completion Date	9/30/2021

Budget Activity Line Item: 11.42.06 - ACQUIRE - SHOP EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06	ACQUIRE - S

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with an \$84,350 Federal Share. Added quantity of 47. See attached POP for Subrecipient Names and

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020
Contract Award Date	6/30/2021
Contract Completion Date	10/31/2021

Budget Activity Line Item: 11.42.07 - ACQUIRE - ADP HARDWARE

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07	ACQUIRE - S

Extended Budget Description

Budget Revision #1 - August 21, 2020

This Budget Revision will increase the Federal Share on this ALI by \$2,000 for a new total of \$259,401. This Budget Revision provides useful life information.

Amendment #1 – July 10, 2020

Add this ALI Code with a \$257,401 Federal Share. Added quantity of 412. See attached POP for Subrecipient Names and

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020
Contract Award Date	4/30/2021
Contract Completion Date	10/31/2021

Budget Activity Line Item: 11.42.08 - ACQUIRE - ADP SOFTWARE

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08	ACQUIRE -

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$350,000 Federal Share. Added quantity of 2. See attached POP for Subrecipient Names and

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal

Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020
Estimated Contract Completion Date	10/31/2020
Contract Award Date	4/30/2021

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	ACQUIRE - MOBILE

Extended Budget Description

Budget Revision #1 - August 21, 2020

This Budget Revision will increase the Federal Share on this ALI by \$54,620 for a new total of \$540,860. This Budget Revision includes useful life information.

Amendment #1 – July 10, 2020

Add this ALI Code with a \$486,240 Federal Share. Added quantity of 119. See attached POP for Subrecipient Names and

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020
Contract Award Date	4/30/2021
Contract Completion Date	10/31/2021

Budget Activity Line Item: 11.44.01 - REHAB/RENOVATE - ADMINISTRATIVE FACILITY

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.01	REHAB/RENOVATE -

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$36,000 Federal Share. Added quantity of 1. See attached POP for Subrecipient Names and A

302 Eastwood Drive Fulton, KY and owned by Fulton County Transit Authority (was, previously, constructed with FTA fun

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020
Contract Award Date	4/30/2021
Contract Completion Date	10/31/2021

Budget Activity Line Item: 11.62.20 - PURCHASE MISC COMMUNICATIONS EQUIP

Scope Name / Code	Line Item #
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$205,000 Federal Share. Added quantity of 2. See attached POP for Subrecipient Names and A

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind

State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020
Contract Award Date	4/30/2021
Contract Completion Date	10/31/2021

Budget Activity Line Item: 11.12.15 - BUY REPLACEMENT VAN

Scope Name / Code	Line Item #	LI
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15	BU

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$39,374 Federal Share. Added quantity of 1. See attached POP for Subrecipient Names and Amounts.

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	3/5/2019
Contract Award Date	5/14/2019
Initial Delivery Date	12/31/2020
Final Delivery Date	6/30/2021
Contract Completion Date	9/30/2021

Budget Activity Line Item: 11.13.15 - BUY VAN FOR SVC EXPANSION

Scope Name / Code	Line Item #	Line Item Name
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15	BUY VAN FOR SVC EXPANSION

Extended Budget Description

Budget Revision #1 - August 21, 2020

This Budget Revision will decrease the Federal Share on this ALI by \$56,620 for a new total of \$778,290. Total quantity s

Amendment #1 – July 10, 2020

Add this ALI Code with a \$834,910 Federal Share. Added quantity of 10. See attached POP for Subrecipient Names and

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source

5311 - Rural Area Formula (CARES Act)

Local

Local/In-Kind

State

State/In-Kind

Other Federal

Transportation Development Credit

Adjustment

Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	3/5/2019
Contract Award Date	5/14/2019
Initial Delivery Date	12/31/2020
Final Delivery Date	6/30/2021
Contract Completion Date	9/30/2021

Budget Activity Line Item: 11.42.09 - ACQUIRE - MOBILE SURV/SECURITY EQUIP

Scope Name / Code	Line Item #	Line Item Name
INTERCITY BUS TRANSPORTATION (634-00)	11.42.09	ACQUIRE - MOBILE SURV/SECURITY EQUIP

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$19,200 Federal Share. Added quantity of 6. See attached POP for Subrecipient Names and An

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020
Contract Award Date	4/30/2021
Contract Completion Date	10/31/2021

Budget Activity Line Item: 11.42.20 - ACQUIRE - MISC SUPPORT EQUIPMENT

Scope Name / Code	Line Item #	Line Item Name
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20	ACQUIRE - MISC S

Extended Budget Description

Amendment #1 – July 10, 2020

Add this ALI Code with a \$245,871 Federal Share. Added quantity of 597. See attached POP for Subrecipient Names and

Will 3rd Party contractors be used to fulfill this activity line item?

Yes, 3rd Party Contractors will be used for this line item.

Funding Source
5311 - Rural Area Formula (CARES Act)
Local
Local/In-Kind
State
State/In-Kind
Other Federal
Transportation Development Credit
Adjustment
Total Eligible Cost

Milestone Name	Est. Completion Date
RFP/IFB Issue Date	10/1/2020

Contract Award Date	4/30/2021
Contract Completion Date	10/31/2021

Project Environmental Findings

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) projects do not require documentation or preparation of an environmental assessment or an environmental impact statement. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance, operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name
INTERCITY BUS TRANSPORTATION (634-00)	30.09.08	EMER RELIEF - OF

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) projects do not require documentation or preparation of an environmental assessment or an environmental impact statement. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance, operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name
APPALACHIAN DEV PUB TRANSIT ASSIST (648-00)	30.09.01	Appala

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) projects do not require documentation or preparation of an environmental assessment or an environmental impact statement. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance, operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name
OPERATING ASSISTANCE (300-00)	30.09.08	EMER RELIEF - OPER

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name
BUS - ROLLING STOCK (111-00)	11.12.02	BUY REPAIR

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item Name
BUS - ROLLING STOCK (111-00)	11.12.15	BUY REPAIR

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line Item
BUS - ROLLING STOCK (111-00)	11.13.15	BUY VAN

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.06

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.07

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.08

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.09	A

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 08: Maintenance, rehabilitation, and reconstruction of facilities that occupy substantially the same geographic footprint as existing facilities; and construction of platform extensions, passing track, and retaining walls; and improvements to tracks and railbeds.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.44.01	REH.

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line
SIGNAL & COMM EQUIPMENT (BUS) (116-00)	11.62.20	PURC

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) projects do not require an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number
INTERCITY BUS TRANSPORTATION (634-00)	11.12.15

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) projects do not require an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number
INTERCITY BUS TRANSPORTATION (634-00)	11.13.15

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) projects do not require an environmental assessment or an environmental impact statement. Class II(c) does not require documentation.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people carriers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line
INTERCITY BUS TRANSPORTATION (634-00)	11.42.09	ACQ

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 07: Acquisition, installation, rehabilitation, replacement, and maintenance of vehicles or equipment, within or adjacent to existing transit facilities and with no substantial off-site impacts; and vehicles, including buses, rail cars, trolley cars, ferry boats and people movers.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.42.20

Finding: Class II(c) - Categorical Exclusions (C-List)

Class Level Description

Class II(c) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(c) does not require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 04: Planning and administrative activities which do not involve or lead directly to construction, such as: training, technical assistance, operating assistance to transit authorities to continue existing service or increase service to meet routine demand.

Date Description

Class IIc CE Approved

Scope Name / Code	Line Item Number	Line
BUS: SUPPORT EQUIP AND FACILITIES (114-00)	11.41.03	ENG

Finding: Class II(d) - Categorical Exclusions (D-List)

Class Level Description

Class II(d) consists of projects called categorical exclusions (CEs) which are known not to have, either individually or cumulatively, the potential to significantly affect the environment. Class II(d) does require documentation or preparation of an environmental assessment or an environmental impact statement.

Categorical Exclusion Description

Type 08: Modernization or minor expansions of transit structures and facilities outside existing right-of-way, such as bridges, tunnels, and transit stations.

Date Description

Class II(d) CE Approved

Scope Name / Code

Line Item Number

BUS: SUPPORT EQUIP AND FACILITIES (114-00)

11.44.03

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Part 4: Fleet Details

Fleet Type: Paratransit

Fleet Comments

None provided.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	2
	B. Spares	1
	C. Total (A+B)	3
	D. Spare Ratio (B/A)	50%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	0
	C. Total (A+B)	0
III.	Total (I.C and II.C)	3

Fleet Type: Fixed Route

Fleet Comments

Paducah Transit Authority will replace (2) 35 ft. buses. Total fleet will not change.
FFY18 5339(b) Competitive Grant

		Current Value
I.	Active Fleet	
	A. Peak Requirement	65
	B. Spares	3
	C. Total (A+B)	68
	D. Spare Ratio (B/A)	4.62%
II.	Inactive Fleet	
	A. Other	8
	B. Pending Disposal	2
	C. Total (A+B)	10
III.	Total (I.C and II.C)	78

Fleet Type: Other

Fleet Comments

Replace 7 vehicles total and add 13 expansion vehicles.

		Current Value
I.	Active Fleet	
	A. Peak Requirement	1346
	B. Spares	155
	C. Total (A+B)	1501
	D. Spare Ratio (B/A)	11.52%
II.	Inactive Fleet	
	A. Other	0
	B. Pending Disposal	76
	C. Total (A+B)	76
III.	Total (I.C and II.C)	1577

Part 5: FTA Review Comments

FTA Comments for DOL

Comment By Holly Liles

Comment Type DOL Review for Information

Date 9/23/2020

Comment Per A to Z, 5311 goes to DOL for information only

Application Review Comments

Comment By Holly Liles

Comment Type FTA Post Review Comments for Grantee

Date 9/22/2020

Please address comment:

Comment

- 11.44.03: Pennyrile Facility
 - o Additional information needed about this project in the EBD. FTA purchased the facility in 2012 with a 50 year useful life, but now they want to renovate? Is this an addition? Or, are we renovating the existing structure that hasn't met it's useful life? Please have them provide additional information about the grant that previously funded this facility.

Application Review Comments

Comment By Eric Perez

Comment Type Line Item Review

Date 9/22/2020

Project Title 5311 CARES Act Operating, Intercity Operating, & Appalachian Operating

Project Number KY-2020-011-01-02

Comment PACS purchased the facility in 2012 with an FTA 5309 Grant (KY-04-0037) and the facility was already 50 years old at that time (built in 1962). Now PACS is requesting to renovate the current facility to meet current, emerging, and future public transportation needs. This is not an addition. The renovation will add a wash bay, employee breakroom, restrooms, training room, and additional offices. The wash bay is not an addition, just renovating the current maintenance area to be used as a wash bay.

Part 6: Agreement

**UNITED STATES OF AMERICA
DEPARTMENT OF TRANSPORTATION
FEDERAL TRANSIT ADMINISTRATION**

**GRANT AGREEMENT
(FTA G-26, October 1, 2019)**

On the date the authorized U.S. Department of Transportation, Federal Transit Administration (FTA) official signs this Grant Agreement, FTA has obligated and awarded federal assistance as provided below. Upon execution of this Grant Agreement by the Recipient named below, the Recipient affirms this FTA Award, enters into this Grant Agreement with FTA, and binds its compliance with the terms of this Grant Agreement.

The following documents are incorporated by reference and made part of this Grant Agreement:

- (1) "Federal Transit Administration Master Agreement," FTA MA(26), October 1, 2019, <http://www.transit.dot.gov>,
- (2) The Certifications and Assurances applicable to the FTA Award that the Recipient has selected and provided to FTA, and
- (3) Any Award notification containing special conditions or requirements, if issued.

WHEN THE TERM "FTA AWARD" OR "AWARD" IS USED, EITHER IN THIS GRANT AGREEMENT OR THE APPLICABLE MASTER AGREEMENT, "AWARD" ALSO INCLUDES ALL TERMS AND CONDITIONS SET FORTH IN THIS GRANT AGREEMENT.

FTA OR THE FEDERAL GOVERNMENT MAY WITHDRAW ITS OBLIGATION TO PROVIDE FEDERAL ASSISTANCE IF THE RECIPIENT DOES NOT EXECUTE THIS GRANT AGREEMENT WITHIN 90 DAYS FOLLOWING FTA's AWARD DATE SET FORTH HEREIN.

FTA AWARD

Federal Transit Administration (FTA) hereby awards a Federal Grant as follows:

Recipient Information

Recipient Name: Transportation Cabinet, Kentucky

Recipient ID: 1003

DUNS No: 927328732

Application Information

Federal Award Identification Number: KY-2020-011-02

Application Name: KYTC/OTD 5311 CARES ACT Operating; Rural Kentucky Statewide

Application Start Date: 5/4/2020

Original Award End Date: 3/30/2023

Current Award End Date: 3/30/2024

Application Executive Summary: Amendment #2 – August 28, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$1,802,579 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$1,802,579 in Capital Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456. The current balance is \$31,265,178. This Amendment decreases the total balance to \$29,462,599.

Total Revised Federal Share: \$31,109,857.

Currently only \$2,740,798 (9.34%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,080,470 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and useful life information.

KYTC will not use indirect funds.

This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or

Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Start date is Date of Award. End date is 03/30/2024.

Amendment #1 – July 17, 2020

This is an Amendment for FFY 2020 Section 5311 CARES Act funds in the amount of \$6,413,177 (100% Federal Share). This application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$469,650 in Operating Assistance, \$354,418 in Intercity Operating Assistance, \$4,695,625 in Capital Assistance, and \$893,484 in Intercity Capital Assistance for rural transit Agencies statewide in Kentucky.

The total 5311 and 5340 apportionment is \$58,808,456, and there is a current balance of \$37,678,355. This Amendment decreases the total balance to \$31,265,178.

Total Revised Federal Share: \$29,307,278.

Currently, only \$2,797,418 (10.16%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$6,023,850 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

See Attached POP and STIP.

See attached POP for Subrecipient Names, Amounts by ALI Code and Scope, and replacement vehicle information.

KYTC will not use indirect funds. Some subrecipients will claim indirect costs.
This is not a Research and Development Grant.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will require subrecipients to have Independent Cost Estimates and a Price/Cost Analysis on file for these projects, to ensure contractors procured will not be on the FTA Debarment and Suspension List, & to follow all 3rd party procurement policies as defined in C 4220.1F.

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

KYTC/OTD will hold liens on the vehicles. Subrecipients are required to have insurance, oversee maintenance, and generate reports on the vehicles. All subrecipients will follow useful life standards as defined in the Kentucky State Management Plan.

KYTC/OTD will ensure that all vehicles are purchased from FTA approved TVM and reported to FTA within 30 days after contract is signed.

KYTC/OTD will check the current listing of Transit Vehicle Manufacturers (TVM) (<https://www.transit.dot.gov/regulations-and-guidance/civil-rights-ada/eligible-tvms-list>) to ensure each is listed as a certified TVM in compliance with FTA regulations.

Start date is Date of Award. End date is 03/30/2024.

Original Application

This is an FFY 2020 Section 5311 CARES Act application in the amount of \$22,894,101 (100% Federal Share)), this application utilizes CARES Act funding to help respond to and recover from the COVID-19 pandemic. Per the CARES Act, the grant requests 100% Federal Share. The application scope of work includes \$19,580,585 in Operating Assistance, \$1,549,516 in Intercity Operating Assistance, and \$1,764,000 in Appalachian Operating Assistance for rural transit Agencies statewide in Kentucky. Please see attached POP for details.

The total 5311 and 5340 apportionment is \$58,808,456, so this application leaves a balance of \$37,678,355 which will be requested in future grants or amendments. The Appalachian apportionment is \$1,764,000, so this application leaves a balance of \$0.

Currently only \$1,549,516 (6.77%) of the 5311 CARES Act grant has been programmed for Intercity. Although 15% (\$8,821,268) of the total Apportionment has not yet been fully designated for Intercity, when the remaining apportionment balance is applied for, it will include at least another \$7,271,752 for Intercity. This will meet the requirement of 15% of the total 5311 CARES Act Apportionment to be spent on Intercity.

The Office of Transportation Delivery (OTD) has neither applied for nor received funding from FEMA or any other Federal Agency. The Recipient agrees that if it receives Federal funding from the Federal Emergency Management Agency (FEMA) or through a pass-through entity through the Robert T. Stafford Disaster Relief and Emergency Assistance Act, a different Federal agency, or insurance proceeds for any portion of a project activity approved for FTA funding under this Grant Agreement, it will provide written notification to FTA, and reimburse FTA for any Federal share that duplicates funding provided by FEMA, another Federal agency, or an insurance company.

No projects in this application lead to a functional, location, or capacity change. Per the CARES Act, the project(s) in this application is not required to be programmed in the Long-Range Transportation Plan or Statewide Transportation Improvement Program.

KYTC/OTD is a designated recipient of the Section 5311 funds.

The Operational Expenses requested in this application were determined by subrecipients' requests that were based on current & past expenditures and projected revenues & expenses due to COVID-19. The KYTC/OTD understands that the expenses requested in this application are subject to review as part of the agency's next State Management Review.

No insurance claims have been filed, and no insurance proceeds have been received.

KYTC/OTD will follow all 3rd party procurement policies as defined in C4220.1F (Third Party Contracting Guidance).

KYTC/OTD will ensure contractors procured will not be on the FTA Suspension and Debarment list.

Research and Development: This award does not include research and development activities.

Indirect Costs: This award does not include an indirect cost rate.

Suballocation Funds: Recipient organization is the Designated Recipient and can apply for and receive these apportioned funds.

Pre-Award Authority: This award is using Pre-Award Authority.

Application Budget

Total Application Budget: \$31,109,857.00

Amount of Federal Assistance Obligated for This FTA Action (in U.S. Dollars): \$1,802,579.00

Amount of Non-Federal Funds Committed to This FTA Action (in U.S. Dollars): \$0.00

Total FTA Amount Awarded and Obligated (in U.S. Dollars): \$31,109,857.00

Total Non-Federal Funds Committed to the Overall Award (in U.S. Dollars): \$0.00

Application Budget Control Totals

(The Budget includes the individual Project Budgets (Scopes and Activity Line Items) or as attached)

Funding Source	Section of Statute	CFDA Number	Amount
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5311 - Rural Area Formula (CARES Act)	5311-5	20509	\$29,345,857
5311 Appalachian Dev Formula (CARES Act)	5311-6	20509	\$1,764,000
Local			\$0
Local/In-Kind			\$0
State			\$0
State/In-Kind			\$0
Other Federal			\$0
Transportation Development Credit			\$0
Adjustment			\$0
Total Eligible Cost			\$31,109,857

(The Transportation Development Credits are not added to the amount of the Total Award Budget.)

U.S. Department of Labor Certification of Public Transportation Employee Protective Arrangements:

Original Certification Date:

Special Conditions

There are no special conditions.

FINDINGS AND DETERMINATIONS

By signing this Award on behalf of FTA, I am making all the determinations and findings required by federal law and regulations before this Award may be made.

FTA AWARD OF THE GRANT AGREEMENT

Awarded By:

FEDERAL TRANSIT ADMINISTRATION
U.S. DEPARTMENT OF TRANSPORTATION
Contact Info:
Award Date:

EXECUTION OF THE GRANT AGREEMENT

Upon full execution of this Grant Agreement by the Recipient, the Effective Date will be the date FTA or the Federal Government awarded Federal assistance for this Grant Agreement.

By executing this Grant Agreement, the Recipient intends to enter into a legally binding agreement in which the Recipient:

- (1) Affirms this FTA Award,
- (2) Adopts and ratifies all of the following information it has submitted to FTA:
 - (a) Statements,

- (b) Representations,
 - (c) Warranties,
 - (d) Covenants, and
 - (e) Materials,
- (3) Consents to comply with the requirements of this FTA Award, and
- (4) Agrees to all terms and conditions set forth in this Grant Agreement.

Executed By:

Transportation Cabinet, Kentucky