

U.S. Department of Labor Occupational Safety and Health Administration
2300 Main Street
Suite 10071
Kansas City, MO 64108



01/13/2023

US Engineering Services
and its successors
3433 Roanoke Road
Kansas City, MO 64111

Dear Employer:

Enclosed you will find citations for violations of the Occupational Safety and Health Act of 1970 (the Act) which may have accompanying proposed penalties. Also enclosed is a booklet entitled, "Employer Rights and Responsibilities Following an OSHA Inspection", (OSHA 3000-04R) revised 2018, which explains your rights and responsibilities under the Act. If you have any questions about the enclosed citations and penalties, I would welcome further discussions in person or by telephone. Please contact me at (816) 483-9531.

You will note on page 6 of the booklet that, for violations which you do not contest, you must (1) notify this office promptly by letter that you have taken appropriate corrective action within the time set forth on the citation; and (2) pay any penalties assessed. Please inform me of the abatement steps you have taken and of their dates together with adequate supporting documentation; e.g., drawings or photographs of corrected conditions, purchase/work orders related to abatement actions, air sampling results. This information will allow us to close the case.

As indicated on page 3 of the booklet, you may request an informal conference with me during the 15-working-day notice of contest period. During such an informal conference you may present any evidence or views which you believe would support an adjustment to the citation or the penalty.

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of the citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete the attached notice at the bottom of this letter and post it next to the Citations as soon as the time, date and the place of the informal conference have been determined. Be sure to bring to the conference with you any and all supporting documentation of existing conditions as well as of any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation. You are encouraged to review the information concerning your establishment at www.osha.gov. If you have any dispute with the accuracy of the information displayed, please contact this office.

Sincerely,

Joseph M. Foderaro AIA for
Karena Lorek
Area Director

Enclosures

U.S. Department of Labor
Occupational Safety and Health Administration
2300 Main Street
Suite 10071
Kansas City, MO 64108



Citation and Notification of Penalty

To:
US Engineering Services
and its successors
3433 Roanoke Road
Kansas City, MO 64111

Inspection Number: 1617003
Inspection Date(s): 08/24/2022 - 08/24/2022
Issuance Date: 01/13/2023

Inspection Site:
6801 Holmes Rd
Kansas City, MO 64131

The violation(s) described in this Citation and Notification of Penalty is (are) alleged to have occurred on or about the day(s) the inspection was made unless otherwise indicated within the description given below.

This Citation and Notification of Penalty (this Citation) describes violations of the Occupational Safety and Health Act of 1970. The penalty(ies) listed herein is (are) based on these violations. You must abate the violations referred to in this Citation by the dates listed and pay the penalties proposed, unless within 15 working days (excluding weekends and Federal holidays) from your receipt of this Citation and Notification of Penalty **you either call to schedule an informal conference (see paragraph below) or** you mail a notice of contest to the U.S. Department of Labor Area Office at the address shown above. Please refer to the enclosed booklet (OSHA 3000) which outlines your rights and responsibilities and which should be read in conjunction with this form. Issuance of this Citation does not constitute a finding that a violation of the Act has occurred unless there is a failure to contest as provided for in the Act or, if contested, unless this Citation is affirmed by the Review Commission or a court.

Posting - The law requires that a copy of this Citation and Notification of Penalty be posted immediately in a prominent place at or near the location of the violation(s) cited herein, or, if it is not practicable because of the nature of the employer's operations, where it will be readily observable by all affected employees. This Citation must remain posted until the violation(s) cited herein has (have) been abated, or for 3 working days (excluding weekends and Federal holidays), whichever is longer.

Informal Conference - An informal conference is not required. However, if you wish to have such a conference you may request one with the Area Director during the 15 working day contest period by calling (816) 483-9531. During such an informal conference, you may present any evidence or views which you believe would support an adjustment to the citation(s) and/or penalty(ies).

If you are considering a request for an informal conference to discuss any issues related to this Citation and Notification of Penalty, you must take care to schedule it early enough to allow time to contest after the informal conference, should you decide to do so. Please keep in mind that a written letter of intent to contest must be submitted to the Area Director within 15 working days of your receipt of this Citation. The running of this contest period is not interrupted by an informal conference.

If you decide to request an informal conference, please complete, remove and post the Notice to Employees next to this Citation and Notification of Penalty as soon as the time, date, and place of the informal conference have been determined. Be sure to bring to the conference any and all supporting documentation of existing conditions as well as any abatement steps taken thus far. If conditions warrant, we can enter into an informal settlement agreement which amicably resolves this matter without litigation or contest.

Right to Contest – You have the right to contest this Citation and Notification of Penalty. You may contest all citation items or only individual items. You may also contest proposed penalties and/or abatement dates without contesting the underlying violations. **Unless you inform the Area Director in writing that you intend to contest the citation(s) and/or proposed penalty(ies) within 15 working days after receipt, the citation(s) and the proposed penalty(ies) will become a final order of the Occupational Safety and Health Review Commission and may not be reviewed by any court or agency.**

Penalty Payment – Penalties are due within 15 working days of receipt of this notification unless contested. (See the enclosed booklet and the additional information provided related to the Debt Collection Act of 1982.) Make your check or money order payable to “DOL-OSHA”. Please indicate the Inspection Number on the remittance. You can also make your payment electronically at www.pay.gov. At the top of the [pay.gov](http://www.pay.gov) homepage, type "OSHA" in the Search field and select Search. From **OSHA Penalty Payment Form** search result, select Continue. The direct link is:

<https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>

You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202) 693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will process the payments as if these restrictions or conditions do not exist.

Notification of Corrective Action – For each violation which you do not contest, you must provide **abatement certification** to the Area Director of the OSHA office issuing the citation and identified above. This abatement certification is to be provided by letter within 10 calendar days after each abatement date. Abatement certification includes the date and method of abatement. If the citation indicates that the violation was corrected during the inspection, no abatement certification is required for that item. The abatement certification letter must be posted at the location where the violation appeared and the corrective action took place or employees must otherwise be effectively informed about abatement activities. A sample abatement certification letter is enclosed with this Citation. In addition, where the citation indicates that **abatement documentation** is necessary, evidence of the purchase or repair of equipment, photographs or video, receipts, training records, etc., verifying that abatement has occurred is required to be provided to the Area Director.

Employer Discrimination Unlawful – The law prohibits discrimination by an employer against an

employee for filing a complaint or for exercising any rights under this Act. An employee who believes that he/she has been discriminated against may file a complaint no later than 30 days after the discrimination occurred with the U.S. Department of Labor Area Office at the address shown above.

Employer Rights and Responsibilities – The enclosed booklet (OSHA 3000) outlines additional employer rights and responsibilities and should be read in conjunction with this notification.

Notice to Employees – The law gives an employee or his/her representative the opportunity to object to any abatement date set for a violation if he/she believes the date to be unreasonable. The contest must be mailed to the U.S. Department of Labor Area Office at the address shown above and postmarked within 15 working days (excluding weekends and Federal holidays) of the receipt by the employer of this Citation and Notification of Penalty.

Inspection Activity Data – You should be aware that OSHA publishes information on its inspection and citation activity on the Internet under the provisions of the Electronic Freedom of Information Act. The information related to these alleged violations will be posted when our system indicates that you have received this citation. You are encouraged to review the information concerning your establishment at www.osha.gov. If you have any dispute with the accuracy of the information displayed, please contact this office.



NOTICE TO EMPLOYEES OF INFORMAL CONFERENCE

An informal conference has been scheduled with OSHA to discuss the citation(s) issued on 01/13/2023. The conference will be held by telephone or at the OSHA office located at 2300

Main Street, Suite 10071, Kansas City, MO 64108 on _____ at

_____. Employees and/or representatives of employees have a right to attend an informal conference.

CERTIFICATION OF CORRECTIVE ACTION WORKSHEET

Inspection Number: 1617003

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131
Issuance Date: 01/13/2023

List the specific method of correction for each item on this citation in this package that does not read "Corrected During Inspection" and return to: **U.S. Department of Labor – Occupational Safety and Health Administration, 2300 Main Street, Suite 10071, Kansas City, MO 64108.**

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

Citation Number _____ and Item Number _____ was corrected on _____
By (Method of Abatement): _____

I certify that the information contained in this document is accurate and that the affected employees and their representatives have been informed of the abatement.

Signature

Date

Typed or Printed Name

Title

NOTE: 29 USC 666(g) whoever knowingly makes any false statements, representation or certification in any application, record, plan or other documents filed or required to be maintained pursuant to the Act shall, upon conviction, be punished by a fine of not more than \$10,000 or by imprisonment of not more than 6 months or both.

POSTING: A copy of completed Corrective Action Worksheet should be posted for employee review

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1617003
Inspection Date(s): 08/24/2022 - 08/24/2022
Issuance Date: 01/13/2023



Citation and Notification of Penalty

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131

Citation 1 Item 1 Type of Violation: **Serious**

29 CFR 1910.303(b)(1)(iv): Electric equipment was not free from recognized hazards that were likely to cause serious physical harm to employees, such as damaged electrical insulation:

The employer is failing to protect employees from electrical shock hazards associated with energized equipment. This was most recently documented on August 24, 2022. At a U.S. Engineering Services jobsite located at 6801 Holmes Rd, Kansas City, Missouri. An employee was exposed to serious injuries due to electrical shock, fire, or burns in that an electrical extension cord repaired with a Legrand Pass and Seymour 15 Amp 125-volt yellow straight blade connector, used to power the Dayton brand wet/dry vac Model 3VE21 was found to be in disrepair with damaged outer insulation, abrasions, and exposed inner wires covered with black electrical tape.

29 CFR 1903.19(c)(1) requires certification that the abatement of the above violation is complete.

Date By Which Violation Must be Abated:
Proposed Penalty:

February 21, 2023
\$9,116.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration

Inspection Number: 1617003
Inspection Date(s): 08/24/2022 - 08/24/2022
Issuance Date: 01/13/2023



Citation and Notification of Penalty

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131

Citation 1 Item 2 Type of Violation: **Serious**

29 CFR 1910.333(b)(2)(i): The employer did not make a written copy of the procedures outlined in paragraph (b)(2) of 29 CFR 1910.333 available for inspection by employees and by the Assistant Secretary of Labor and his or her authorized representatives:

The employer is failing to protect employees from electrical shock hazards associated with servicing energized equipment. This was most recently documented on August 24, 2022. At a U.S. Engineering Services jobsite located at 6801 Holmes Rd, Kansas City, Missouri. Where the employer has an employee exposed to shock, burns and electrocution hazards from stored energy when servicing the AHU-1 HVAC equipment without having developed and implemented a written procedure.

29 CFR 1903.19(d)(1) requires certification and documentation that the abatement of the above violation is complete.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:	March 03, 2023
Proposed Penalty:	\$14,502.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



Citation and Notification of Penalty

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131

Citation 1 Item 3 Type of Violation: **Serious**

29 CFR 1910.335(a)(1)(i): Employees working in areas where there were potential electrical hazards were not using electrical protective equipment that was appropriate for the specific parts of the body to be protected and for the work to be performed:

The employer is failing to protect employees from electrical shock hazards associated with servicing energized equipment. This was most recently documented on August 24, 2022. At a U.S. Engineering Services jobsite located at 6801 Holmes Rd, Kansas City, Missouri. Where the employer has an employee exposed to shock, burns and electrocution hazards while servicing the AHU-1 HVAC equipment as a result of the employer's failure to ensure employees are provided and utilize the required electrical PPE.

29 CFR 1903.19(d)(1) requires certification and documentation that the abatement of the above violation is complete.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:	March 03, 2023
Proposed Penalty:	\$14,502.00



Citation and Notification of Penalty

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131

Citation 2 Item 1 Type of Violation: **Repeat - Serious**

29 CFR 1910.132(d)(2): The employer did not verify, through a written certification, that the required workplace hazard assessment had been performed through a written certification that identifies the workplace evaluated; the person certifying that the evaluation has been performed; the date(s) of the hazard assessment; and, which identifies the document as a certification of hazard assessment.

The employer is failing to protect employees from electrical shock hazards associated with energized equipment. This was most recently documented on August 24, 2022. At a U.S. Engineering Services jobsite located at 6801 Holmes Rd, Kansas City, Missouri. Employees were exposed to serious electrical hazards in that a written hazard assessment certification had not been completed to certify the PPE needs and requirements for the contracted sites, where field employees provide HVAC services.

US Engineering Service was previously cited for a violation of this occupational safety and health standard or its equivalent standard, which was contained in OSHA inspection number 1541625, citation number 1, item number 1 and was affirmed as a final order on 11-19-2021, with respect to a workplace located at 801 E. Douglas Avenue, Wichita, Kansas.

29 CFR 1903.19(c)(1) requires certification that the abatement of the above violation is complete.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:	February 21, 2023
Proposed Penalty:	\$79,761.00



Citation and Notification of Penalty

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131

The alleged violations below have been grouped because they involve similar or related hazards that may increase the potential for injury or illness.

Citation 2 Item 2 a Type of Violation: **Repeat - Serious**

29 CFR 1910.333(a)(1): Live parts to which an employee was exposed shall be deenergized before the employee works on or near them.

The employer is failing to protect employees from electrical shock hazards associated with maintaining equipment with energized parts. This was most recently documented on August 24, 2022. At a U.S. Engineering Services jobsite located at 6801 Holmes Rd, Kansas City, Missouri. The employer did not ensure that employees were deenergizing electrical hazards that were observed during field work on contracted scheduled maintenance and service calls. The employer is failing to protect employees from electrical shock hazards associated with energized equipment. This was most recently documented on August 24, 2022. At a U.S. Engineering Services jobsite located at 6801 Holmes Rd, Kansas City, Missouri. The employer did not ensure that employees were deenergizing electrical hazards that were observed during field work on contracted scheduled maintenance and service calls.

US Engineering Service was previously cited for a violation of this occupational safety and health standard or its equivalent standard, which was contained in OSHA inspection number 1541625, citation number 1, item number 2 and was affirmed as a final order on 11-19-2021, with respect to a workplace located at 801 E. Douglas Avenue, Wichita, Kansas.

29 CFR 1903.19(d)(1) requires certification and documentation that the abatement of the above violation is complete.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated:	March 03, 2023
Proposed Penalty:	\$79,761.00

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.



Citation and Notification of Penalty

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131

Citation 2 Item 2 b Type of Violation: **Repeat - Serious**

29 CFR 1910.333(b)(2):

While any employee is exposed to contact with parts of fixed electric equipment or circuits which have been deenergized, the circuits energizing the parts shall be locked out or tagged or both in accordance with the requirements of this paragraph.

The employer is failing to protect employees from electrical shock hazards associated with energized equipment. This was most recently documented on August 24, 2022. At a U.S. Engineering Services jobsite located at 6801 Holmes Rd, Kansas City, Missouri. Where the employer has an employee exposed to shock, burns and electrocution hazards from stored energy while servicing equipment.

US Engineering Service was previously cited for a violation of this occupational safety and health standard or its equivalent standard, which was contained in OSHA inspection number 1541625, citation number 1, item number 2 and was affirmed as a final order on 11-19-2021, with respect to a workplace located at 801 E. Douglas Avenue, Wichita, Kansas.

29 CFR 1903.19(d)(1) requires certification and documentation that the abatement of the above violation is complete.

ABATEMENT DOCUMENTATION REQUIRED FOR THIS ITEM

Date By Which Violation Must be Abated: **March 03, 2023**
Proposed Penalty: **\$0.00**

Joseph M. Foderaro III for
Kafena Lorek
Area Director

See pages 1 through 4 of this Citation and Notification of Penalty for information on employer and employee rights and responsibilities.

U.S. Department of Labor
Occupational Safety and Health Administration
2300 Main Street
Suite 10071
Kansas City, MO 64108



**INVOICE /
DEBT COLLECTION NOTICE**

Company Name: US Engineering Services
Inspection Site: 6801 Holmes Rd, Kansas City, MO 64131
Issuance Date: 01/13/2023

Summary of Penalties for Inspection Number: 1617003

Citation 1 Item 1, Serious	\$9,116.00
Citation 1 Item 2, Serious	\$14,502.00
Citation 1 Item 3, Serious	\$14,502.00
Citation 2 Item 1, Repeat - Serious	\$79,761.00
Citation 2 Item 2a, Repeat - Serious	\$79,761.00
Citation 2 Item 2b, Repeat - Serious	\$0.00

TOTAL PROPOSED PENALTIES: **\$197,642.00**

To avoid additional charges, please remit payment promptly to this Area Office for the total amount of the uncontested penalties summarized above. Make your check or money order payable to: "DOL-OSHA". Please indicate OSHA's Inspection Number (indicated above) on the remittance. You can also make your payment electronically at www.pay.gov. At the top of the [pay.gov](http://www.pay.gov) homepage, type "OSHA" in the Search field and select Search. From the **OSHA Penalty Payment Form** search result, select Continue. The direct link is: <https://www.pay.gov/paygov/forms/formInstance.html?agencyFormId=53090334>. You will be required to enter your inspection number when making the payment. Payments can be made by credit card or Automated Clearing House (ACH) using your banking information. Payments of \$25,000 or more require a Transaction ID, and also must be paid using ACH. If you require a Transaction ID, please contact the OSHA Debt Collection Team at (202)

693-2170.

OSHA does not agree to any restrictions or conditions or endorsements put on any check, money order, or electronic payment for less than the full amount due, and will cash the check or money order as if these restrictions or conditions do not exist.

If a personal check is issued, it will be converted into an electronic fund transfer (EFT). This means that our bank will copy your check and use the account information on it to electronically debit your account for the amount of the check. The debit from your account will then usually occur within 24 hours and will be shown on your regular account statement. You will not receive your original check back. The bank will destroy your original check, but will keep a copy of it. If the EFT cannot be completed because of insufficient funds or closed account, the bank will attempt to make the transfer up to two times.

Pursuant to the Debt Collection Act of 1982 (Public Law 97-365) and regulations of the U.S. Department of Labor (29 CFR Part 20), the Occupational Safety and Health Administration is required to assess interest, delinquent charges, and administrative costs for the collection of delinquent penalty debts for violations of the Occupational Safety and Health Act.

Interest: Interest charges will be assessed at an annual rate determined by the Secretary of the Treasury on all penalty debt amounts not paid within one month (30 calendar days) of the date on which the debt amount becomes due and payable (penalty due date). The current interest rate is one percent (1%). Interest will accrue from the date on which the penalty amounts (as proposed or adjusted) become a final order of the Occupational Safety and Health Review Commission (that is, 15 working days from your receipt of the Citation and Notification of Penalty), unless you file a notice of contest. Interest charges will be waived if the full amount owed is paid within 30 calendar days of the final order.

Delinquent Charges: A debt is considered delinquent if it has not been paid within one month (30 calendar days) of the penalty due date or if a satisfactory payment arrangement has not been made. If the debt remains delinquent for more than 90 calendar days, a delinquent charge of six percent (6%) per annum will be assessed accruing from the date that the debt became delinquent.

Administrative Costs: Agencies of the Department of Labor are required to assess additional charges for the recovery of delinquent debts. These additional charges are administrative costs incurred by the Agency in its attempt to collect an unpaid debt. Administrative costs will be assessed for demand letters sent in an attempt to collect the unpaid debt.


Karena Lorek

Area Director

01/13/2023

Date