

ATTACHMENT A

ETA 563 Electronic Reporting Procedures and Formats

1. Purpose of Electronic Reporting. States are encouraged to submit ETA 563 reports in electronic format on 3-1/2" floppy disks. There are several reasons for reporting in this way.

a. Accuracy. By the time ETA 563 reports reach the OTAA National Office, they have been through several levels of data entry and review. It is inevitable that errors will occur every time data are transcribed and reentered by hand.

b. Efficiency. Money and staff time are both wasted when reports are transcribed and reentered by hand.

c. Compliance. Electronic reporting helps greatly in complying with the Paperwork Reduction Act. OTAA has already received positive feedback from the Office of Management and Budget (OMB) because of the reductions in paperwork achieved through electronic reporting.

2. Support for Electronic Reporting. Many States already have equipment and software in place, and trained staff or contractors available to collect and report Form ETA 563 data electronically. Other States do not. Administrative funds available under the NAFTA-TAA program are available to help States develop and deploy electronic data gathering and reporting systems. Field Memorandum No. 1-95, Change 2 describes this assistance and application procedures in detail.

3. Future of Electronic Reporting. Potentially, electronic reports can be filed over the Internet rather than by mailing a floppy disk. At this time, however, very few States have full Internet access.

4. Electronic Reporting Formats. Form ETA 563 reports may be submitted in any of five formats. There are three ASCII text formats, one for spreadsheets in Lotus 1-2-3 .WK1 format, and one for .DBF database files. Implementation details for each of these formats are provided on the attached pages. Reports for the regular TAA program and those for the NAFTA-TAA program should be submitted in separate files on the same disk. File names should reflect the contents of the file. Reports must be submitted on 3-1/2", IBM-compatible floppy disks. Magnetic tape and 5-1/4" floppy disks cannot be used.

5. Due Date. Electronic reports are due at the same time, and should be sent to the same address, as reports submitted on paper.

ETA 563 Reporting Format 1 - ASCII Text, Fixed Field

Reporting format 1 describes 80-character records with eight-character fields for the data items, but not the heading items; each report requires 6 records. This format may be most suitable for States which are retrieving data from mainframe computers, since mainframes often use 80-character records by default. Since this format is a fixed-field format, data items must be zero-filled to the left, that is, padded with enough zeroes on the left until the item exactly fills the space allotted for it.

Data Positions

The first fifteen characters of each of the six 80-character records needed to report the data for one petition are the same. The sixteen character is the record number.

1 - 2 FIPS State code
3 - 4 Report month
5 - 6 Last two digits of report year
7 - 7 T = TAA, N = NAFTA
8 - 13 Petition number
14 - 14 Report type (1 = Initial, 2 = Continuing)
15 - 15 Amended code (0 = regular submission, 1 = amended report)
16 - 16 Record number (1, 2, 3, 4, 5, or 6)

The last 64 characters are different for each record. They should be filled as follows, with reference to sections of Form ETA 563:

<u>Record Number 1</u> <u>Section A, Line 100</u>	<u>Record Number 2</u> <u>Section A, Line 110</u>	<u>Record Number 3</u> <u>Section B, Line 200</u>
17 - 24 Column 1	17 - 24 Column 2	17 - 24 Column 8
25 - 32 Column 2	25 - 32 Column 3	25 - 32 Column 9
33 - 40 Column 3	33 - 40 Column 4	33 - 40 Column 10
41 - 48 Column 4	41 - 48 Column 5	41 - 48 Column 11
49 - 56 Column 5	49 - 56 Column 6	49 - 56 Column 12
57 - 64 Column 6	57 - 80 All zeroes	57 - 64 Column 13
65 - 72 Column 7		65 - 72 Column 14
73 - 80 All zeroes		73 - 80 Column 15

<u>Record Number 4</u> <u>Section C, Line 300</u>	<u>Record Number 5</u> <u>Section D, Line 400</u>	<u>Record Number 6</u> <u>Section D, Lines 500, 510</u>
17 - 24 Column 16	17 - 24 Column 21	17 - 24 Line 500, Column 27
25 - 32 Column 17	25 - 32 Column 22	25 - 32 Line 500, Column 28
33 - 40 Column 18	33 - 40 Column 23	33 - 40 Line 500, Column 29
41 - 48 Column 19	41 - 48 Column 24	41 - 48 Line 510, Column 27
49 - 56 Column 20	49 - 56 Column 25	49 - 56 Line 510, Column 28
57 - 80 All zeroes	57 - 64 Column 26	57 - 64 Line 510, Column 29

65 - 80 All zeroes

65 - 80 All zeroes

ETA 563 Reporting Format 2 - ASCII Text, Fixed Field

Reporting format 2 describes 260-character records with different length fields for the data items; each report requires only one record. Since this format is a fixed-field format, data items must be zero-filled to the left, that is, padded with enough zeroes on the left until the item exactly fills the space allotted for it. Sections and line numbers refer to the Form ETA 563.

Data Positions

1 - 2 Report Month
3 - 6 Report Year (all four digits)
7 - 8 FIPS State Code
9 - 9 T = TAA, N = NAFTA
10 - 15 Petition Number
16 - 16 Report Type (1 = Initial, 2 = Continuing)
17 - 17 Amended Code (0 = regular submission, 1 = amended report)

Section A, Line 100

18 - 23 Requests for Determinations
24 - 29 Number Determined Entitled
30 - 35 First Payments
36 - 41 Weeks Paid
42 - 50 Amount Paid
51 - 56 Final Payments (Exhaustions)
57 - 62 Final Payments (Elig Expired)

Section C, Line 300

150 - 155 Applicants, New
156 - 161 Applicants, Active
162 - 167 Job Referrals
168 - 173 Obtained Job
174 - 179 Title III Participants

Section A, Line 110

63 - 68 Number Determined Entitled
69 - 74 First Payments
75 - 80 Weeks Paid
81 - 89 Amount Paid
90 - 95 Final Payments (Exhaustions)

Section D, Line 400

180 - 185 Entered Training (Occup)
186 - 191 Entered Training (Remed)
192 - 197 Entered Training (OJT)
198 - 203 In Training
204 - 209 Completed Training
210 - 215 Obtained Job After
Training

Section B, Line 200

96 - 101 EB Work Test Disqual.
102 - 107 Training Disqual.
108 - 113 Training Waivers Issued
114 - 119 Training Waivers Revoked
120 - 125 Overpayments (Total Number)
126 - 134 Overpayments (Total Amount)
135 - 140 Overpayments (Fraud Number)
141 - 149 Overpayments (Fraud Amount)

Section D, Line 500 - Recipients

216 - 221 Training Related Cost
222 - 227 Job Search Allowance
228 - 233 Relocation Allowances

Section D, Line 510 - Amount Paid

234 - 242 Training Related Cost
243 - 251 Job Search Allowance
252 - 260 Relocation Allowance

There are 44 fields in each 260 character record.

ETA 563 Reporting Format 3 - ASCII Text, Comma-Delimited

Reporting format 3 describes records with different length fields for the data items; each report requires only one record. The data items are separated by commas; this format is called comma-delimited. The data items should NOT be zero-filled. Each record must contain data items in the order listed below. Sections and line numbers refer to the Form ETA 563.

Data Item Numbers

- 1 Report Month
- 2 Report Year (all four digits)
- 3 FIPS State Code
- 4 T = TAA, N = NAFTA
- 5 Petition Number
- 6 Report Type (1 = Initial, 2 = Continuing)
- 7 Amended Code (0 = regular submission, 1 = amended report)

Section A, Line 100

- 8 Requests for Determinations
- 9 Number Determined Entitled
- 10 First Payments
- 11 Weeks Paid
- 12 Amount Paid
- 13 Final Payments (Exhaustions)
- 14 Final Payments (Elig Expired)

Section C, Line 300

- 28 Applicants, New
- 29 Applicants, Active
- 30 Job Referrals
- 31 Obtained Job
- 32 Title III Participants

Section A, Line 110

- 15 Number Determined Entitled
- 16 First Payments
- 17 Weeks Paid
- 18 Amount Paid
- 19 Final Payments (Exhaustions)

Section D, Line 400

- 33 Entered Training (Occup)
- 34 Entered Training (Remed)
- 35 Entered Training (OJT)
- 36 In Training
- 37 Completed Training
- 38 Obtained Job After
Training

Section B, Line 200

- 20 EB Work Test Disqual.
- 21 Training Disqual.
- 22 Training Waivers Issued
- 23 Training Waivers Revoked
- 24 Overpayments (Total Number)
- 25 Overpayments (Total Amount)
- 26 Overpayments (Fraud Number)
- 27 Overpayments (Fraud Amount)

Section D, Line 500 - Recipients

- 39 Training Related Cost
- 40 Job Search Allowance
- 41 Relocation Allowances

Section D, Line 510 - Amount Paid

- 42 Training Related Cost
- 43 Job Search Allowance
- 44 Relocation Allowance

ETA 563 Reporting Format 4 - Spreadsheet

Reporting format 4 describes how to use a spreadsheet to report Form ETA 563 data. Each report should occupy one row in the spreadsheet; columns A through AR each contain one data item in the order listed below. Sections and line numbers refer to Form ETA 563. Reports in this format must be submitted as Lotus 1-2-3 .WK1 files. Users of other spreadsheets such as Excel or Quattro Pro or Windows versions of Lotus 1-2-3 can save their files as .WK1 files through the "File, Save As" menu. All formatting (fonts, underlining, page breaks, etc.) must be removed before the file is saved.

Spreadsheet Columns for Data Items

A Report Month
B Report Year (all four digits)
C FIPS State Code
D T = TAA, N = NAFTA
E Petition Number
F Report Type (1 = Initial, 2 = Continuing)
G Amended Code (0 = regular submission, 1 = amended report)

Section A, Line 100

H Requests for Determinations
I Number Determined Entitled
J First Payments
K Weeks Paid
L Amount Paid
M Final Payments (Exhaustions)
N Final Payments (Elig Expired)

Section A, Line 110

O Number Determined Entitled
P First Payments
Q Weeks Paid
R Amount Paid
S Final Payments (Exhaustions)

Section B, Line 200

T EB Work Test Disqual.
U Training Disqual.
V Training Waivers Issued
W Training Waivers Revoked
X Overpayments (Total Number)
Y Overpayments (Total Amount)

Section C, Line 300

AB Applicants, New
AC Applicants, Active
AD Job Referrals
AE Obtained Job
AF Title III Participants

Section D, Line 400

AG Entered Training (Occup)
AH Entered Training (Remed)
AI Entered Training (OJT)
AJ In Training
AK Completed Training
AL Obtained Job After
Training

Section D, Line 500 - Recipients

AM Training Related Cost
AN Job Search Allowance
AO Relocation Allowances

Section D, Line 510 - Amount Paid

Z Overpayments (Fraud Number)
AA Overpayments (Fraud Amount)

AP Training Related Cost
AQ Job Search Allowance
AR Relocation Allowance

ETA 563 Reporting Format 5 - Database Format

Reporting format 5 describes how to use a database file to report Form ETA 563 data. Each report should occupy one row in the table; data fields must be arranged in the same order as for spreadsheet files. The file must be saved in dBase .DBF format. Most PC-based database management software allows the user to do that. States using this format should refer to the Format 4 description to see how to lay out the fields in the data table.