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Definition of the Term "Fiduciary"; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

Document: EBSA-2010-0050-DRAFT-8049

Comment on FR Doc # 2015-08831

Submitter Information

Name: Todd Swathwood

General Comment

The language in this proposed rule would cause my brokerage firm to be considered a fiduciary, placing them in a position to violate the rule if they allow me to buy or sell options in my IRA account. This would cause me significant harm, not protect me from harm, in that I trade options in my IRA to increase my gains in that account. This rule should not be enacted as written because of this harm.