

PUBLIC SUBMISSION

As of: September 28, 2015
Received: September 24, 2015
Status: Pending_Post
Tracking No. 1jz-8lbd-gchy
Comments Due: September 24, 2015
Submission Type: Web

Docket: EBSA-2010-0050

Definition of the Term ‘Fiduciary’; Conflict of Interest Rule—Retirement Investment Advice; Notice of proposed rulemaking and withdrawal of previous proposed rule.

Comment On: EBSA-2010-0050-0204

Definition of the Term Fiduciary; Conflict of Interest Rule- Retirement Investment Advice

Document: EBSA-2010-0050-DRAFT-8038

Comment on FR Doc # 2015-08831

Submitter Information

Name: C S

General Comment

RIN 1210 - AB32

I believe the inclusion of just about anyone under the proposed language is not the intent of the proposed regulation. Depending on interpretation, self administered IRAs or 401Ks and the like are not allowed to conduct certain types of investment actions such as but not limited to puts, calls, shorting, etc. For an individual to manage his/her accounts, it certainly is not a conflict of interest for that person to legally exercise current rights to types of investments permitted under the law.