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Definition of the Term "Fiduciary"; Conflict of Interest Rule—Retirement

Investment Advice

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Definition of Term Fiduciary; Conflict of Interest Rule-Retirement Investment

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General Comment

There are enough rules and regulations in place now to effectively monitor and enforce statutory requirements that will both protect the client and salesperson. STOP the overreach to a problem that in general that doesn't exist except for unscrupulous people. We professionals in general always put the customers best interests first and foremost.