

PUBLIC SUBMISSION

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Docket: EBSA-2023-0014
Definition of an Investment Advice Fiduciary

Comment On: EBSA-2023-0014-0001
Retirement Security Rule: Definition of an Investment Advice Fiduciary

Document: 1210-AC02 comment 00360 Berger 01022024

Submitter Information

Name: Rodney Berger

General Comment

The Honorable Lisa M. Gomez
Assistant Secretary of Labor
Employee Benefits Security Administration
U. S. Department of Labor
200 Constitution Avenue, N.W.
Washington, D.C. 20210

RE: RIN 1210-AC02

Dear Honorable Gomez,

“As a NAIFA member, my colleagues and I represent all types of households and their finances. This is an unnecessary expansion of the DOL’s mission. Adoption of this rule will make it more difficult and more expensive for families to get the needed advice, products, and services. I urge you to reject the adoption of the Fiduciary Rule as clients are more than adequately covered by the current regulations.”

Sincerely,
Rodney Berger
Iowa

