

# PUBLIC SUBMISSION

<b>Received:</b> December 11, 2023 <b>Tracking No.</b> lq1-fbut-ynq4 <b>Comments Due:</b> January 02, 2024 <b>Submission Type:</b> API
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**Docket:** EBSA-2023-0014  
Definition of an Investment Advice Fiduciary

**Comment On:** EBSA-2023-0014-0001  
Retirement Security Rule: Definition of an Investment Advice Fiduciary

**Document:** 1210-AC02 comment 00039 Ochab 12112023

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## Submitter Information

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## General Comment

I am a financial professional who has worked as an advisor, operations manager and an assistant. As a member of the Financial Services Institute, I am writing to express my concern with the Department of Labor's (DOL) recently proposed Retirement Security Rule. If adopted, the Proposed Rule will harm the very retirement savers it seeks to help by limiting their access to the affordable financial advice, products and services on which they rely to secure a dignified retirement.

I urge the DOL to withdraw this rulemaking to support Main Street investors, small business owners, and our community's access to crucial financial advice.